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To cite this article: Yoichi Kawaguchi *et al* 1974 *Jpn. J. Appl. Phys.* **13** 429

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## Electrical Properties OF Cs Covered Real Si Surfaces

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The conductivity and the electron mobility in inversion layers on etched Si surfaces covered with Cs have been measured at temperatures between 80 and 400 K.

The temperature dependence of the electron concentration can be explained by following energy level scheme at the surface. (1) Acceptor-like trap levels of  $1.9 \times 10^{12} \text{ cm}^{-2}$  exist at 0.3 eV below the conduction band. (2) Cs-associated donor levels whose concentration decreases from  $1.9 \times 10^{12} \text{ cm}^{-2}$  to zero with the increase of the adsorbed Cs lies at 0.098 ~ 0.070 eV. (3) Cs = associated donor levels whose concentration increases from zero to  $2 \times 10^{13} \text{ cm}^{-2}$  which is almost equal to the maximum number of adsorbed Cs lies at 0.01 ~ 0.005 eV.

### §1. Introduction

Analysis of the temperature dependence data of the charge carrier concentration is a standard technique to determine the electronic energy level scheme in semiconductors. This classical method has been, however, not necessarily successful at surfaces due mainly to the difficulty in the separation of the surface from the bulk.<sup>1,2)</sup>

A surface inversion layer is separated completely from the bulk electrically by a  $p$ - $n$  junction. Therefore, one can perform standard transport measurements for the inversion layer to analyse the surface levels.

In the present paper, experimental results of the temperature dependence of the resistivity and the electron mobility in  $n$ -type inversion layers on etched  $p$ -type Si surface at various cesium coverages and the electronic level scheme at the surfaces analysed from the data will be presented.

### §2. Experimental

Specimens used had  $n^+$ -circular concentric electrodes on (100) surface of  $p$ -type Si substrate containing acceptors of  $1 \times 10^{15} \text{ cm}^{-3}$ . The diameters of the inner and the outer electrodes were 580 and 620  $\mu\text{m}$ , respectively. After taking off the oxide layer in HF, the specimens were etched in the mixture of  $\text{NH}_4\text{F}:\text{H}_2\text{O}_2=1:1$  for 2 minutes and rinsed in distilled water.

$\text{CsCO}_3$  was used as a Cs source.  $\text{CsCO}_3$  in porous  $\text{Al}_2\text{O}_3$  coated on W filaments was thermally decomposed by passing a current through the filaments. Number of adsorbed

Cs was estimated from the ionic current between the Cs source and the specimen. Experiments were performed in vacua lower than  $1 \times 10^{-7}$  Torr in a oil free system.

The resistance and magnetoresistance were measured at temperatures,  $T$ , between 400 and 77 K, and magnetic fields up to 23 KOe.

### §3. Results and Discussion

The surface conductivity,  $\sigma$ , at 300 K is shown as a function of the number of adsorbed Cs per unit area,  $N_{\text{Cs}}$ , in Fig. 1. The surface conductivity was initially about  $4 \times 10^{-9} \text{ mho}/\square$  and almost constant up to the  $N_{\text{Cs}}$  reached to  $1 \times 10^{12} \text{ cm}^{-2}$ . After the  $N_{\text{Cs}}$  exceeded this critical number, the surface conductivity increased drastically with the increase of the Cs coverage. These results are considered to show that electrons in adsorbed-Cs-associated surface states (Cs states) fall into surface states originally existed in etched Si (trap states) until the trap states are completely filled with electrons and thereafter they are excited to the conduction band. The trap state density estimated from the above mentioned model is about  $2 \times 10^{12} \text{ cm}^{-2}$ .

The electron mobility,  $\mu$ , at 290 and 90 K is shown as a function of the number of carriers per unit area,  $N_s$ , in Fig. 2. The magnitude of  $\mu$  for  $N_s < 5 \times 10^{11} \text{ cm}^{-2}$  was about 580  $\text{cm}^2/\text{v.s}$  which was almost independent of  $T$  and  $N_s$ . The mobility decreased with the increase of  $N_s$  for  $N_s > 5 \times 10^{11} \text{ cm}^{-2}$ . The magnitude of the mobility in the whole region is much smaller than the mobility in Si MOS-FET. Therefore, the impurity scattering is the dominant process in the carrier transport in the

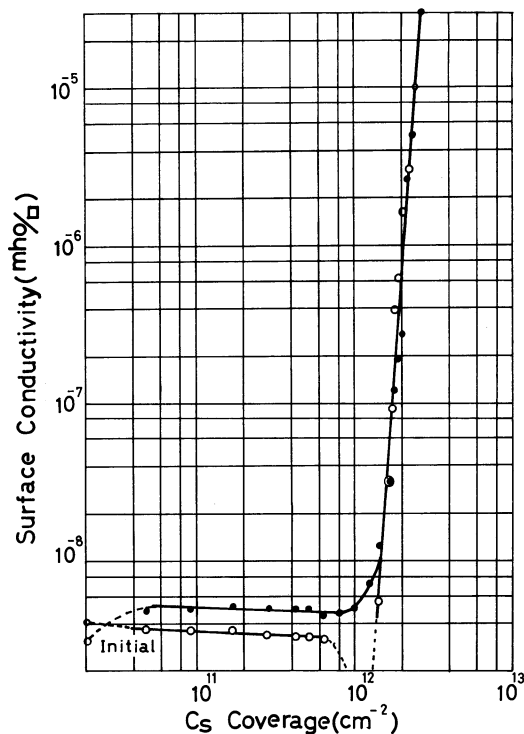


Fig. 1. Surface conductivity of (100) Cs covered real Si surfaces vs Cs coverage at 300 K.

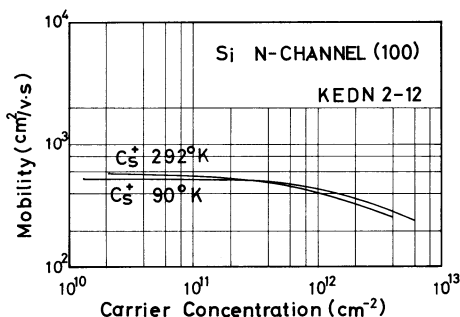


Fig. 2. Mobility of electrons in inversion layer vs surface carrier concentration at 292 and 300 K.

present surfaces.

In Fig. 3, the temperature dependence of the surface resistance for various number of adsorbed Cs are shown. The surface resistance,  $R$ , changed as  $R = R_0 \exp(\Delta E/kT)$ .  $\Delta E$  decreased from 0.3 eV at  $N_{Cs} = 1 \times 10^{12} \text{ cm}^{-2}$  to almost zero with the increase of  $N_{Cs}$ . The data in Fig. 3 can be converted to the temperature dependence of  $N_s$  by use of the mobility data.

In what follows, a procedure to obtain energy levels of the trap states and the Cs states will be described. In the first place, the Fermi level at the surface will be determined

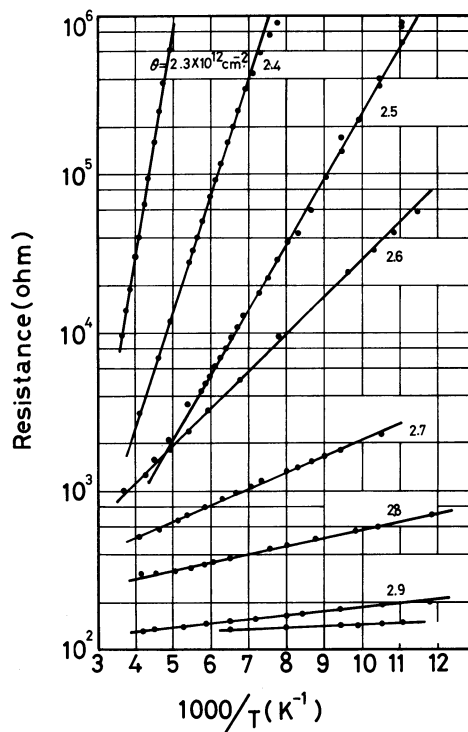


Fig. 3. Surface resistance vs reciprocal temperature at various Cs coverages.

as a function of  $N_s$  and  $T$ . Secondly, a model surface level scheme will be assumed most simply as far as the experimental temperature dependence can be explained. Finally, on a basis of the electrical neutrality condition, the energy of each surface level will be calculated at various temperatures to fit the experimental Fermi level as a function of the concentration of the level. A set of a concentration and a energy of the surface level which do not vary with temperature will be chosen as the physical quantities to be determined.

The energy levels of electrons in a thin inversion layer are grouped in two dimensional sub-bands whose bottoms are connected with quantized levels in the motion normal to the surface.<sup>4)</sup> A self-consistent calculation should be performed to determine the energy levels of the sub-bands. Here, we used in the following calculation, however, eigen values in a inverted triangular potential well in which the constant electric field,  $E_s$ , is given by the field at the center of the electron distribution in the inversion layer:  $E_s = e(N_s/2 + N_{dep})/\epsilon_{sc}$ , where  $\epsilon_{sc}$  the dielectric constant of the semiconductor and  $N_{dep}$  the total number of negative charge

per unit area in the depletion layer. The surface carrier concentration is given by

$$N_s = \sum_n \frac{kT}{\pi \hbar^2} v_n m_{dn} \cdot \ln \{1 + \exp [(E_F - E_n)/kT]\}$$

where  $v_n$  the valley degeneracy,  $m_{dn}$  the density-of-state mass,  $E_n$  the energy level of  $n$ -th sub-band bottom. If  $(E_F - E_n)/kT \ll -1$ , which is fairly well satisfied in the present experiment, the Fermi level is given by

$$E_F = kT \left[ \ln N_s - \ln \left\{ \frac{kT v_n m_{dn}}{\pi \hbar^2} \sum_n \exp(-E_n/kT) \right\} \right]$$

$E_F$  has been calculated as a function of  $N_s$  determined by experiments at various temperatures for various Cs coverages. For  $N_s$  less than  $5 \times 10^{11} \text{ cm}^{-2}$ , the value of  $E_F$  is in good agreement with those obtained by classical surface space charge layer calculations.<sup>1,2)</sup> The results are represented well by

$$(E_F - E_c)_s = (E_F - E_c)_{s0} - \alpha T.$$

$(E_F - E_c)_{s0}$  and  $\alpha$  are shown in Table I, for various  $N_{Cs}$ .

In order to explain the surface potential and its temperature variation for various Cs coverages, we have assumed a level scheme at the surface as shown in Fig. 4. Here we have assumed as follows.

- 1) Three discrete sets of localized surface levels, trap states, lower Cs states and higher Cs states exist at the surface.
- 2) The trap states are acceptor-like and the two kinds of Cs states are donor-like.
- 3) The number of the lower Cs states,  $N_1$ , is equal to the number of adsorbed Cs,  $N_{Cs}$ , when  $N_{Cs} < N_t$  (the number of the trap states).
- 4) When  $N_{Cs} > N_t$ , the higher Cs states whose number,  $N_2$ , is equal to  $(N_{Cs} - N_1)$  are

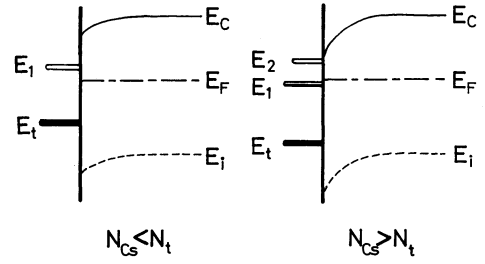


Fig. 4. Schematic band diagram at the Cs covered real Si surface.  $E_t$  is the acceptor-like trap states originally existed in the etched Si surface.  $E_1$  and  $E_2$  are donor-like adsorbed-Cs-associated surface states.

created.

Note that two kinds of Cs levels are necessary to explain the experimental temperature dependence of the Fermi level at the surfaces.

Case 1. For  $N_{Cs} < N_t$ , the electrical neutrality condition is given by

$$N_1^+ - N_t^- = N_s + N_{dep}.$$

Case 1-a. When  $(E_1 - E_F) \gg kT$ , lower Cs states are fully ionized and  $\alpha$  is positive.  $(E_t - E_c)_s$  is given by

$$(E_t - E_c)_s = (E_F - E_c)_{s0} - \alpha T + kT \cdot \ln \left( \frac{N_t}{N_1 - (N_s + N_{dep})} - 1 \right)$$

$(E_t - E_c)_s$  has been solved numerically as a function of  $N_t$  and  $T$  and a temperature independent  $(E_t - E_c)_s$  for a  $N_t$  has been determined for each  $N_{Cs}$ .

Case 1-b. As  $E_F$  approaches to  $E_1$ , lower Cs states are partially ionized.  $(E_1 - E_c)_s$  is given by

$$(E_1 - E_c)_s = (E_F - E_c)_{s0} - \alpha T - kT \times \ln \left( \frac{N_1}{N_s + N_{dep} + N_t / \{1 + \exp[(E_t - E_F)/kT]\}} - 1 \right)$$

Table I.

No.	$(E_c = E_F)_{s0}$ in eV	$\alpha$ in eV/K	$N_t$ in $\text{cm}^{-2}$	$N_1$ in $\text{cm}^{-2}$	$N_2$ in $\text{cm}^{-2}$	$(E_c - E_t)$ in eV	$(E_c - E_1)$ in eV	$(E_c - E_2)$ in eV
1	0.340	$3.06 \times 10^{-4}$	$1.9 \times 10^{12}$	$1.7 \times 10^{12}$	$0.0 \times 10^{12}$	0.301	—	—
2	0.304	3.75	1.9	1.9	0.1	0.3060	—	—
3	0.247	2.56	"	"	"	"	"	"
4	0.098	-1.30	"	1.9	0.5	0.3	0.098	0.01
5	0.028	-2.00	"	1.2	1.4	"	0.097	0.01
6	0.030	-1.80	"	1.1	1.6	"	0.085	0.01
7	0.015	-1.60	"	0.7	2.2	"	0.070	0.008
8	-0.002	-2.20	"	0.5	2.6	"	0.070	0.009
9	-0.011	-2.20	"	0.0	3.5	"	—	0.005
10	0.005	-1.70	"	0.0	$2.0 \times 10^{13}$	"	—	0.005

$E_1$  and  $N_1$  were determined by the same way to the case 1-a.

Case 2. For  $N_{Cs} > N_t$ , the electrical neutrality condition is given by

$$N_1^+ + N_2^+ - N_t^- = N_s + N_{dep}.$$

In this case  $E_F \gg E_t$ , so  $N_t^- = N_t$ .  $(E_1 - E_c)_s$  is given by

$$(E_1 - E_c)_s = (E_F - E_c)_{s0} - \alpha T - kT \times \ln \left( \frac{N_1}{N_s + N_{dep} + N_t - N_2 / \{1 + \exp[(E_F - E_2)/kT]\}} - 1 \right)$$

$(E_2 - E_c)_s$  is given by the exchange of  $N_1$  and  $E_1$  for  $N_2$  and  $E_2$  in eq. 7, respectively. Cs states were also determined similarly to the case 1-a and b. The results of the calculations are listed up in Table I.

A level scheme proposed by Mönch<sup>3)</sup> on the cleaved (111) Si surface with adsorbed Cs has acceptor-like Cs levels at 0.1 eV above  $E_i$ . This is lower than the lower Cs levels in the present scheme. He assumed the bulk mobility for electrons in the space charge layer. However, the mobility should be much smaller than the bulk mobility in the space charge layer on the cleaved surface. Therefore, his estimation gives the lower limit of the level.

In conclusion, the surface donor levels as-

sociated with adsorbed Cs on etched *p*-type Si surfaces in 0.098~0.07 eV below the bottom of the conduction band for small Cs coverages and changes to 0.01~0.005 eV for high Cs coverages which produce a strong inversion in the space charge layer. The former agrees well with a simple hydrogen-like donor model at the surface for 3-DEG (0.106 eV) and the latter can be explained by similar model for 2-DEG (0.016 eV). Co-existence of the two types of levels in the transition region shows probably the inhomogeneity in the Cs coverage.

#### Acknowledgement

This work was supported by the Grant in Aid from Toray Science Foundation and the Grant in Aid from Matsunaga Science Foundation. The authors would like to express sincere appreciation to Dr. T. Miyazaki of Central Laboratory, Hitachi Ltd. for his supply of the Si specimens.

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