The Polar Precursor Method for Solar Cycle Prediction: Comparison of Predictors and Their Temporal Range

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Abstract

The polar precursor method is widely considered to be the most robust physically motivated method to predict the amplitude of an upcoming solar cycle. It uses indicators of the magnetic field concentrated near the poles around the sunspot minimum. Here, we present an extensive analysis of the performance of various such predictors, based on both observational data (Wilcox Solar Observatory (WSO) magnetograms, Mount Wilson Observatory polar faculae counts, and Pulkovo A(t) index) and outputs (polar cap magnetic flux and global dipole moment) of various existing flux transport dynamo models. We calculate Pearson correlation coefficients (r) of the predictors with the next cycle amplitude as a function of time measured from several solar cycle landmarks: setting r = 0.8 as a lower limit for acceptable predictions, we find that observations and models alike indicate that the earliest time when the polar predictor can be safely used is 4 yr after the polar field reversal. This is typically 2–3 yr before the solar minimum and about 7 yr before the predictors another 3 yr later, at the time of the solar minimum, further increases the correlation level to $r \gtrsim 0.9$. As an illustration of the result, we determine the predicted amplitude of Cycle 25 based on the value of the WSO polar field at the now official minimum date of 2019 December as 126 ± 3 . A forecast based on the value in early 2017, 4 yr after the polar reversal would have only differed from this final prediction by $3.1 \pm 14.7\%$.

Unified Astronomy Thesaurus concepts: Solar cycle (1487); Solar dynamo (2001); Solar magnetic fields (1503); Sunspot cycle (1650)

1. Introduction

The Sun's magnetic field increases and decreases in time with a polarity reversal every 11 yr. This cycle of magnetic field is widely studied using the sunspot number and areas as they are the signatures of the strong field and are available for a longer duration than the direct observations of the surface magnetic field, which have been available in the form of synoptic maps only since around 1955. The strength of the solar magnetic field, however, varies from cycle to cycle in an irregular manner (Hathaway 2015). The Maunder minimum, an extended period of weaker field, is an extreme example of such irregular behavior. It is this variable magnetic field that drives the fluctuations of the solar wind and terrestrial space weather, which may have hazardous effects on human activities that depend on the stability of our magnetospheric environment (space missions, satellites, telecommunications, etc.). To assess and prevent those risks, reliable predictions of future solar activity are now more than ever essential.⁵

Many attempts have been made to predict an upcoming solar cycle based on the extrapolation of the time series of some proxy of solar activity. However, most of the time, they produce diverging results (Pesnell 2012).

Predictions of the solar cycle based on dynamo theory have also been made (Petrovay 2020). In most dynamo models, the polar magnetic field is a natural predictor of the following cycle. Indeed, there is considerable evidence that the solar dynamo is a magnetohydrodynamical $\alpha\omega$ -dynamo (Charbonneau 2010) where

Following this idea, Schatten et al. (1978) used the polar field strength at the solar minimum to make the first prediction of the sunspot number during Cycle 21. Later, this idea was validated by many authors using different proxies of the polar field, such as the aa-index, polar faculae, and active networks (Makarov et al. 1989; Choudhuri et al. 2007; Jiang et al. 2007; Wang & Sheeley 2009; Kitchatinov & Olemskoy 2011; Muñoz-Jaramillo et al. 2013; Prival et al. 2014). Furthermore, surface flux transport (SFT) models, which provide the evolution of the surface radial field by utilizing the observed bipolar magnetic regions (BMRs) and largescale flows have also been used to predict the amplitude of an upcoming solar cycle (Iijima et al. 2017; Jiang et al. 2018; Upton & Hathaway 2018). As a whole, predictions based on these polar precursors tend to yield much more consistent results than time series methods, and there is now wide consensus that this is the most robust physically motivated method to predict the amplitude of an upcoming solar cycle.

However, when applying polar precursor methods, a variety of choices need to be made regarding the measures or proxies of the poloidal field to use, and the exact time at which they are evaluated. The purpose of the present work is to make a systematic analysis of the performance of various such

the strong toroidal field, manifest in the form of sunspots, is generated by the winding up of a weaker poloidal magnetic field by differential rotation. This windup is essentially a linear process; hence, the amplitude of a solar cycle is expected to be proportional to the amplitude of the poloidal field around the start of the cycle. As this poloidal field is strongly concentrated to the poles, the amplitude of the polar surface magnetic field is a plausible measure of its amplitude.

⁵ See, e.g., https://www.swpc.noaa.gov/products/solar-cycle-progression.

predictors and determine a temporal window when their evaluation is optimal. Some of the questions we address are: is it the polar field at the time of its peak value that best determines the strength of the next cycle or is it at a different time? Is there an acceptable window over which we can use the polar field data to make a prediction? Do we need to wait until the activity minimum to make a reliable prediction of the next cycle?

In Section 2 these questions are considered on the basis of the available observational data. This analysis leads to some interesting findings, the most important of which is that the temporal scope of the polar precursor method is longer than generally thought: reliable predictions can be made already 4 yr after the reversal of the Sun's polar magnetic field, that is on average 3 yr before the minimum and 7 yr before the next maximum. This significant extension of the temporal scope of the polar precursor method is potentially highly relevant for solar cycle prediction efforts.

As, however, it is based on observational data covering only a limited number of solar cycles, the statistical robustness of this result may reveal as being somewhat doubtful. Hence, in Section 3 we extend our analysis to some dynamo models that have been calibrated to represent reasonably well the observed spatiotemporal variation of solar activity. As in these models a higher number of cycles can be simulated, in this case the size of the statistical sample is not a concern. On the other hand, the three dynamo models considered are different in their construction and in the parameter range where they operate, so a direct comparison of the models with each other or with the real Sun is questionable. Hence, we focus here only on those results where all models agree with each other and the observations, and consider these as robust and independent of model details.

As we conclude in Section 4, the possibility to predict an upcoming cycle on the basis of a measure of the poloidal field 4 yr after the observed time of polar reversal is found to be one such robust result. To illustrate the result, in the Conclusions we also compare predictions of Cycle 25 that could have been made 4 yr after polar reversal, i.e., in early 2017, to those based on the polar field amplitude at the now official date (2019 December) for the start of the new cycle.

Throughout the paper, we will use the generic symbolic notation P for the different predictors (poloidal field measures) considered, and T for the predicted variable characterizing the solar activity level (e.g., sunspot area (SSA), sunspot number, or toroidal field amplitude).

2. Observational Data Analysis

2.1. Data

For observational measures and proxies of the solar poloidal field P, we consider the polar field strength and global dipole moment (DM) values derived from Wilcox Solar Observatory (WSO) magnetic field measurements for the last four cycles (1974–2019); Mount Wilson Observatory (MWO) polar faculae counts for the last 10 cycles (1907–2011); and Pulkovo Observatory A(t) index series for the period of 1915–1999.

The monthly time series of WSO polar magnetic field data,⁶ smoothed using a Gaussian filter with FWHM = 6 months (Hathaway et al. 2002), is denoted here by $B_N(t)$ and $B_S(t)$ for

the Northern and Southern hemispheres, respectively. As a further possible predictor we also consider the global solar DM defined as

$$DM(t) = \frac{3}{2} \int_{-\pi/2}^{\pi/2} B(\lambda, t) \sin \lambda \cos \lambda \, d\lambda, \qquad (1)$$

where λ is the heliographic latitude and $B = \frac{1}{2\pi} \int_0^{2\pi} B_r \, d\phi$ is the azimuthally averaged field strength. Values of DM(*t*) computed using this formula with WSO data for the period of 1976–2017 were kindly provided to us by Jiang et al. (2018).

The polar faculae count is considered a proxy of the polar field as they share a significant correlation (Sheeley 1991). The MWO faculae counts used here were determined by Muñoz-Jaramillo et al. (2012).⁷

The A(t) index, representing the sum of the intensities of the dipole and octupole components of the Sun's large-scale magnetic field, as reconstructed on the basis of Pulkovo H_{α} synoptic maps, was obtained from Makarov et al. (2001). Since this index does not include the quadrupolar component, symmetric to the equator, it is expected to scale roughly with the antisymmetric part of the polar field s, $(B_N - B_S)/2$.

To characterize the amplitude of solar cycles, we use the peak of the distribution of the SSA obtained from the Greenwich Royal Observatory,⁸ smoothed using a Gaussian filter of FWHM = 12 months.

2.2. Solar Cycle Landmarks

We consider two types of landmarks during a solar cycle to which the time of the evaluation of the precursor P can be bound: the maxima (or minima) of the smoothed SSA⁹, from which we measure backward delays, and the time of reversal (zero crossing) of the various poloidal field indicators P, from which we measure forward delays. The relative time shifts between these landmarks varies from cycle to cycle; their average values are listed in Table 1 for later reference. We note that in the polar faculae data, the epochs of polar field reversal are not available, and thus we take these as the same as the time of minima of the faculae.

2.3. Correlations in the Observed Data

Scatter plots of the peak values of various measures or proxies of the poloidal field P against the amplitude of the following sunspot cycle are shown in Figure 1. We note that Pearson correlations are given here and throughout this paper.

While the correlation is high in the WSO data (Figure 1(a)), it is less impressive in faculae data (Figure 1(b)). Particularly, in the northern hemisphere, the correlation is relatively poor because of Cycles 16, 18, and 20. Note that faculae counts for Cycle 16 may be problematic, as already noted by Priyal et al. (2014). If we exclude only Cycle 16, then the correlation considerably improves. Figure 1(c) shows that the A(t) index, available for the longest time interval of all the considered polar field measures, performs quite well as a predictor. This is somewhat surprising, given that the index is based on a very rough reconstruction of the large-scale solar magnetic fields: a simple two-valued function (+1 or -1, constant in each

⁷ 10.7910/DVN/KF96B2

⁸ https://solarscience.msfc.nasa.gov/greenwch.shtml

⁹ http://sidc.oma.be/silso/DATA/SN_ms_tot_V2.0.txt

 Table 1

 Relative Time Shifts (in Years) between Different Solar Cycle Landmarks:

 Observations and Models

		Observations		
Shift between				
$t_{\text{SSA,min},i}$ to $t_{\text{SSA,min},i+1}$ $t_{\text{SSA,min},i}$ to $t_{\text{SSA,max},i}$	$\begin{array}{c} 10.83 \pm 0.83 \\ 4.58 \pm 0.81 \end{array}$			
	Faculae	PF	DM	A(t) Index
tSSA,min,i to t _{p,rev,i} tSSA,max,i to t _p ,max,i t _{p,max,i-1} to tSSA,max,i t _{p,rev,i} to t _{p,max,i} t _{p,rev,i} to t _{SSA,min,i+1} t _{p,max,i-1} to tSSA,min,i+1	$\begin{array}{c} 5.01 \pm 0.64 \\ 5.93 \pm 1.89 \\ 4.64 \pm 1.18 \\ 5.76 \pm 1.49 \\ 5.60 \pm 1.01 \\ 10.72 \pm 1.45 \end{array}$	$\begin{array}{l} 4.12 \pm 0.36 \\ 4.40 \pm 0.99 \\ 5.78 \pm 1.89 \\ 5.48 \pm 0.74 \\ 6.81 \pm 1.53 \\ 10.94 \pm 2.28 \end{array}$	$\begin{array}{c} 3.53 \pm 0.27 \\ 1.84 \pm 0.80 \\ 7.88 \pm 2.43 \\ 3.52 \pm 1.16 \\ 7.39 \pm 1.34 \\ 12.92 \pm 3.42 \end{array}$	$\begin{array}{l} 3.55 \pm 0.48 \\ 4.85 \pm 1.47 \\ 5.66 \pm 1.26 \\ 4.96 \pm 0.78 \\ 6.88 \pm 0.61 \\ 12.08 \pm 1.28 \end{array}$

Models												
	2D	R1	3D	R1	$2 \times 2 DR1$							
Shift between	PF	DM	PF	DM	PF	DM						
$t_{\text{SSN,min},i}$ to $t_{\text{SSN,min},i+1}$	11.12 ± 1.26		10.50 ± 0.74		10.25 ± 0.93							
t _{SSN,min,i} to t _{SSN,max,i}	5.76 ± 0.53		5.29 ± 0.47		4.92 ± 0.83							
$t_{\text{SSN,min},i}$ to $t_{\text{p,rev},i}$	4.36 ± 0.41	4.96 ± 0.52	5.52 ± 0.47	4.54 ± 1.62	3.10 ± 0.72	2.67 ± 0.67						
t _{SSN,max,i} to t _{p,max,i}	3.98 ± 0.94	4.78 ± 0.96	5.40 ± 1.10	3.71 ± 1.37	2.57 ± 1.52	2.47 ± 1.28						
t _{p,max,i-1} to t _{SSN,max,i}	7.14 ± 1.26	6.44 ± 1.24	5.08 ± 0.99	6.79 ± 1.26	7.70 ± 1.52	7.79 ± 1.29						
t _{p,rev,i} to t _{p,max,i}	5.74 ± 1.14	5.62 ± 0.98	5.31 ± 1.05	4.49 ± 1.47	4.38 ± 1.33	4.72 ± 1.12						
$t_{\text{p,rev},i}$ to $t_{\text{SSN,min},i+1}$	6.75 ± 1.03	6.26 ± 0.93	4.98 ± 0.55	5.98 ± 1.30	7.14 ± 0.92	7.58 ± 0.73						
t _{p,max,i-1} to t _{SSN,min,i+1}	12.47 ± 1.92	11.86 ± 1.89	10.16 ± 1.09	12.05 ± 1.41	13.02 ± 1.44	13.10 ± 1.2						

Note. The number of cycles used in the analysis are 270, 200, and 260, respectively for models 2DR1, 3DR1, and $2 \times 2DR1$. The symbol "…" indicates that the values are the same as given in the previous column.

unipolar zone outlined by the neutral lines reconstructed from the available H_{α} synoptic maps).

We note that the time when a given *P* peaks may differ from the sunspot cycle minimum by several years. Usually, the *P* proxies peak 1–2 yr before the sunspot cycle minimum. Hence, evaluating *P*s at the solar minimum yields somewhat different values for these correlations, which are nevertheless found to be similar to the values for the peak *P*s shown in Figure 1. When evaluated at the sunspot minimum, the correlation values are, respectively for the northern and southern hemispheres, r = 0.86 (p = 0.14) and r = 0.86 (p = 0.14) for the WSO polar field data, and r = 0.60 (p = 0.06) and r = 0.66 (p = 0.04) for the MWO facular data—comparable to those reported in Muñoz-Jaramillo et al. (2012) from the same facular data.

To proceed toward the main purpose of this analysis, we now consider the time dependence of these correlations. Figure 2 shows the correlation coefficient values against time τ (in years) measured from two different solar cycle landmarks: backward from the solar maximum and forward from poloidal field reversal. The curve labeled WSO here shows the WSO polar field results. For WSO and polar facular data the correlations are calculated based on a data set consisting of the hemispheric values of the polar field versus the hemispheric amplitude of the next cycle, for both hemispheres in the same



Figure 1. Scatter plot of the peak value of the polar field (or its proxy) vs. the peak SSA in the following cycle for (a) the WSO polar field and DM (Cycles 21–24), (b) MWO polar faculae (Cycles 14–23), and (c) Pulkovo Observatory A(t) index (Cycles 15–22). Sunspot areas in (a) and (b) are hemispheric peak values, while (c) is the peak value of the hemispheric average.



Figure 2. Pearson's correlation coefficient =Corr[$T(t_{max})$, P(t)] between the peak SSA, T at the maximum of the next cycle, and polar field measures P(t) as a function of time (a) measured backward from the cycle maximum: $t = t_{max} - \tau$, (b) measured forward from the reversal of P: $t_{rev} + \tau$. Vertical lines indicate the average positions of other cycle landmarks in the given plot, with the same color coding as the associated curves. (a) Black dots: cycle minimum $T_{SSA,min,i}$, dashed lines: time shift from the time of the maximum of |P| to the next cycle maximum $T_{p,max,i-1} \rightarrow T_{SSA,max,i}$. (b) Dashed lines: time shift from the reversal of P to the cycle minimum $T_{p,rev,i-1} \rightarrow T_{SSA,min,i}$.

data set. This effectively doubles the sample size. The curves obtained are not smooth, presumably due to the limited sample size (limited number of cycles). Nevertheless, it is apparent that both panels display a 3–4 yr long plateau where correlation values are consistently high. This is the reason why no significant difference was found above, between correlation levels for predictors evaluated at the cycle minimum or at the time when they take their peak values.

The plateaus further suggest that the polar precursor may give reliable predictions at times significantly earlier than the solar minimum. Indeed, Figure 2(a) shows that WSO magnetic field data may correctly predict the amplitude of the next cycle maximum up to 7 yr ahead—that is a few years earlier than either the cycle minimum or the time when the polar field measures typically reach their maxima.

While this long temporal range of the polar precursor method is an important finding, for practical applications the precursor needs to be evaluated at a time measured forward from an already known landmark, rather than backward from an as yet unobserved one. Hence, in Figure 2(b) the correlations are now plotted against time measured forward from the reversal of the given poloidal field measure *P*. It is again apparent that reliable predictions, at a Pearson correlation level above 0.8, may be possible already 4 yr after polar reversal, that is on average 3 yr before cycle minimum.

Regarding the possible choices of precursors P, we see that polar faculae counts are clearly inferior to other Ps, including the A(t) index. Note, however, that omitting Cycle 16 can significantly improve their performance, as discussed above. The performance of the WSO polar field correlations and of the global DM are comparable.

These results indicate that the temporal range of the polar precursor method is longer than is generally thought and that reliable predictions based on this method can be made 4 yr after polar reversal, which is, on average, nearly 3 yr earlier than the cycle minimum and 7 yr before the predicted maximum. In reality, this temporal range may be even longer and a prediction may be made even earlier just by seeing how rapidly the polar field is growing. As shown in the Appendix, there is some correlation between the rise rate of the polar field and the amplitude of the next cycle.

As, however, the statistical sample size upon which these conclusions are based is limited, for further support we turn to dynamo models that are capable of simulating a larger number of solar cycles than the few that have been well observed.

3. The Polar Precursor in Solar Dynamo Models

It is now understood that intercycle fluctuations in solar activity are due to fluctuations in the amplitude of the poloidal field that serves as a seed for the strong toroidal field in the next cycle. Fluctuations in the poloidal field, in turn, arise as a consequence of the stochastic nature of flux emergence that, upon the action of SFT processes, ultimately gives rise to the polar fields. This is the so-called Babcock-Leighton mechanism. To simulate intercycle variations two approaches are therefore open: to introduce stochastic variations directly into the poloidal component of the dynamo equations (Charbonneau & Dikpati 2000; Karak & Choudhuri 2011; Cameron & Schüssler 2017; Kitchatinov et al. 2018) or to explicitly incorporate the stochastic flux emergence process into the model (Yeates & Muñoz-Jaramillo 2013, Miesch & Dikpati 2014; Lemerle & Charbonneau 2017; Bhowmik & Nandy 2018). By calibrating the numerous parameters of these models it is possible to tune them to resemble the observed solar cycle in their behavior, which opens the possibility that assimilating past observed behavior into the models and considering future stochastic variations in an ensemble forecast approach, they may be used for the prediction of upcoming solar cycles. Some forecasts for Cycle 25 have indeed been presented using this approach (Bhowmik & Nandy 2018; Labonville et al. 2019).

In this section we use three such models to investigate the relative merits of different measures of P of the poloidal field as cycle precursors and their time span. All these models are kinematic flux transport dynamos and thus the large-scale flows, such as meridional circulation and differential rotation are imposed as guided by observations. While the differential rotation profile is the same in all the models, the meridional circulation is somewhat different.

One model, Surya (Chatterjee et al. 2004) belongs to the first class mentioned above, with stochastic fluctuations introduced directly to the polar field, while the other two models, Surface Flux Transport and Babcock–Leighton (STABLE; Karak & Miesch 2017) and $2 \times 2D$ (Lemerle & Charbonneau 2017) explicitly incorporate randomized individual active region sources.

Before discussing each model individually, it is instructive to consider how they fare in reproducing the observed time shifts between solar cycle landmarks. These time shifts are listed in Table 1 (to be compared with the observed values above), while the time profiles of some of the relevant precursors and activity indicators are shown in Figure 3. A closer comparison between the model outputs and the observations leads to a somewhat disappointing conclusion: despite their respective calibration to specific characteristics of solar observations, many of the relative phases and time shifts presented in Table 1 are not properly reproduced by any of the three models.

To start with, none of the models reproduce enough asymmetry in its cycle profiles: maxima fall at a phase of 0.48–0.52 in contrast to the observed value of 0.42. The timing of polar field reversals is also out of phase. In Surya 2DR1, the polar field reverses earlier than the DM (Figure 3(b)), in contrast to observations. In STABLE 3DR1 the average time of the reversal is too late by more than a year and its timing, especially for the DM, shows very wide haphazard intercycle variations. In the $2 \times 2D$ model the reversal is about a year earlier than observed and tends to fall in the middle of the rising phase of the cycle.

The reason for these discrepancies is mainly that the calibration of the models to observations is usually judged by a (quantitative or qualitative) agreement between the observed and modeled shapes of the activity butterfly diagram and of the supersynoptic map of the poloidal field evolution. As the polar areas cover a small fraction of the solar surface, they do not weigh much in such comparisons; and a comparison to temporal profiles of activity is often not impelled (see also Petrovay & Talafha 2019).

Facing these irregularities we embark in our study of the performance of polar precursors in dynamo models with reserve. What we are looking for is whether, despite their imperfect optimizations, their simplifications, their physical differences, and the different parameter regimes in which they operate, there are any features where the behavior of possible polar precursors agrees in all the models and observations.

3.1. Surya Dynamo Model

Surya is an axisymmetric dynamo model in which equations for the poloidal and toroidal fields are solved numerically. Diffusivity for the poloidal magnetic field in the whole convection zone (CZ) is 3×10^{12} cm² s⁻¹. In contrast, below the radius of $0.975R_{\odot}$ the diffusivity for the toroidal component is reduced to 4×10^{10} cm² s⁻¹. While a detailed model was presented in Chatterjee et al. (2004), over the years some parameters have been changed in order to make a closer comparison with observations (Karak 2010; Hazra et al. 2015; Karak et al. 2018). For the present study we use the version of the code that was used in Karak & Choudhuri (2011).

The basic Surya model tends to produce a regular magnetic cycle. However, the variations in the Babcock–Leighton process and meridional flow can produce variations in the magnetic cycle. Karak & Choudhuri (2011) showed that a



Figure 3. Time series of some predictors *P* (blue and black) and predicted activity indicators *T* (red). (a) Observations; (b) Surya 2DR1 model; (c) STABLE 3DR1 model; (d) $2 \times 2DR1$ model. Some example intervals used for the determination of the rise and decay rates (see the Appendix) of *P* are marked. Rise and decay rates in cycle *n* are defined as (P2(n) - P1(n))/(t2(n) - t1(n)) and (P4(n) - P3(n))/(t4(n) - t3(n)), respectively.

combination of 100% fluctuations with a coherence time of 1 month in the Babcock–Leighton α and 30% in the meridional flow with a coherence time of 30 yr could produce variations in the solar cycle comparable to that seen in the observed solar cycle and successfully explain the Waldmeier effect. We perform a first run with this combination of fluctuations, labeled 2DR1. We then perform two more runs for comparison: run 2DR2 is identical to 2DR1 but with the strength of the Babcock–Leighton α increased by a factor 2; and run 2DR3 is



Figure 4. Same as Figure 2, for different parameterizations of the Surya dynamo model. PF: polar cap flux; DM: global dipole moment. Vertical lines mark the mean positions of cycle landmarks with the same color coding as the associated curves. (a) Black dots: cycle minimum $T_{\text{SSN,min},i}$, dashed lines: time shift from the time of the maximum of |P| to next cycle maximum $T_{\text{p,max},i-1} \rightarrow T_{\text{SSN,max},i}$. (b) Dashed and dotted–dashed lines show the time shift from reversal of P to the cycle minimum $T_{\text{p,rev},i-1} \rightarrow T_{\text{SSN,min},i}$, respectively, for the DM and polar field.

identical to 2DR1 but with the diffusivity of the poloidal field reduced by half.

Activity level *T* in the model is characterized by the toroidal flux at $10^{\circ} \le \text{latitude} \le 30^{\circ}$ at $r = 0.71R_{\odot}$. For the precursor *P*, the polar cap flux (PF) (surface flux poleward of latitude 75°) and the global DM are considered. The time variation of the correlation between *P* and *T* is displayed in Figure 4.

While the low diffusivity run 2DR3 is clearly off, it is seen that the run 2DR2, with an elevated poloidal source term, actually produces higher correlations than the reference case 2DR1. In 2DR2, both the PF and DM are found to be good predictors of the upcoming cycle amplitude, while in 2DR1 for the DM this is only true when time is measured from the reversal. Nevertheless, the PF and DM are found to be very good predictors of an upcoming solar maximum right from the time of reversal (panel (b)) for both runs, with optimal performance of the predictor reached around the cycle minimum.

Some conclusions about the physics of the model versus the real Sun may also be drawn from these plots. The fact that the correlation in panel (a) becomes strong for about $\tau > 4$ yr in

the first two runs suggests that the polar field needs at least 4 yr to be transported to the base of the CZ and produce a toroidal field for the next cycle. Keeping in mind the diffusivity of the poloidal field $(3 \times 10^{12} \text{ cm}^2 \text{ s}^{-1})$, the diffusion time for the poloidal field to reach the base of the CZ from the surface is 4.6 yr. Thus, the delay time in the correlation in Figure 4(a) for runs 2DR1 and 2DR2 is reasonable. As the average time delay from polar reversal is around 6 yr, the correlation is already good very soon after the time of reversal, in contrast to observations. This suggests that Surva runs 2DR1 and 2DR2 operate in a somewhat more diffusive regime than the solar dynamo. In agreement with this, the very low diffusivity run 2DR3 deviates starkly from observations. There is a weak negative correlation between P and T for $\tau < 7$ yr. Then it increases to positive values at large τ . This clearly shows that in this run, the model needs at least about 8 yr for the PF from the previous cycle to be transported to the deep CZ to produce strong toroidal flux. The reason for this delay correlation is the lower diffusivity. In this case, the diffusivity for the poloidal field is 1.5×10^{12} cm² s⁻¹ and thus the diffusion time is 9.2 yr, which is double that in runs 2DR1 and 2DR2. This explains the strong positive correlation in run 2DR3 for $\tau > 8$ yr.

3.2. STABLE Dynamo Model

The STABLE dynamo model was originally developed by Mark Miesch and his colleagues at the High Altitude Observatory (Miesch & Dikpati 2014; Miesch & Teweldebirhan 2016). Later this model was significantly improved by Karak & Miesch (2017) to make a close connection of the BMR eruption and evolution on the surface with observations. The salient features of this model are the following: (i) it is a full 3D dynamo model in which the induction equation is solved over the whole solar CZ. Unlike the Surya model, the turbulent diffusivity for all components of magnetic fields is the same. The diffusivity has a radial dependent profile such that in the CZ it has a value of about 10^{12} cm² s⁻¹ (4.5 × 10^{12} cm² s⁻¹ for $r > 0.956R_{\odot}$ and 1.5×10^{12} cm² s⁻¹ below) and below the CZ it drops by about four orders of magnitude. The model includes a radial downward magnetic pumping with a speed of 20 m s^{-1} in the top 10% of solar radius to mimic the asymmetric convection. (ii) Timing of the BMR eruption: this model places a BMR only when certain conditions are satisfied. First, the magnetic field at the base of the CZ must exceed a critical field strength. Second, after the first BMR is produced, the time for the second BMR will be taken from a log-normal distribution that is obtained from the observations of BMRs. (iii) Connecting the toroidal field to BMR: the rate of BMR production is regulated with the strength of the magnetic field such that when the magnetic field in the base of the CZ is strong it produces a large number of BMRs. Thus, the delay in the distribution is regulated by the magnetic field and it is the only part through which the toroidal field is linked to the BMRs (and thus the poloidal field) in this model. (iv) While the magnetic field in the BMR is fixed at 3 kG, the flux is obtained from the observed distribution. The tilt is obtained from Joy's law with a Gaussian scatter around it. A magnetic fielddependent nonlinear quenching is imposed in the tilt to saturate the magnetic field in this dynamo model. We note that recently some evidence of nonlinear quenching in the tilt has been observed in BMR data (Jha et al. 2020). As shown in Jiang (2020) and Karak (2020), the observed variation in the latitude of BMRs provides another nonlinearity to stabilize the



Figure 5. Same as Figure 4, for different parameterizations of the STABLE dynamo model.

magnetic cycles in Babcock–Leighton dynamos. In addition to the tilt quenching, this nonlinearity also operates in the model to limit the amplitudes of the magnetic cycles. For further details of the STABLE dynamo model, we refer the reader to Sections 2 and 4 of Karak & Miesch (2017).

From the simulation presented in Karak & Miesch (2017), we take the runs B10, B11, and B13 as listed in their Table 1. We relabeled them here as 3DR1, 3DR2, and 3DR3, respectively. In run 3DR1, a Gaussian scatter of zero mean and $\sigma = 15^{\circ}$ is included around Joy's law, while in run 3DR2, the scatter is doubled, i.e., $\sigma = 30^{\circ}$. Finally, run 3DR3 is the same as run 3DR1, except that the diffusivity below the CZ is made the same as that in the CZ $(1.5 \times 10^{12} \text{ cm}^2 \text{ s}^{-1})$.

Activity level T in the model is characterized by the total monthly flux in emerging BMRs. For the precursor P, the PF (surface flux polewards of latitude 75°) and the global DM are considered. The time variation of the correlations between P and T is displayed in Figure 5.

In the reference run 3DR1, both the PF and DM are found to be very good precursors of the next cycle amplitude over a broad temporal range starting 7 yr before the predicted maximum or 4 yr after polar reversal. This agrees well with observations. One interesting aspect shown in panel (a) is that the correlation exceeds about 0.8 already at $\tau \sim 2-3$ yr, implying that the poloidal flux reaches the deep CZ more quickly in these runs than in the Surya runs. While the diffusivity in the STABLE model is comparable to that of the Surya model, a downward magnetic pumping in the top 10% of the CZ is an additional agent for transporting the poloidal flux from the surface to the deep CZ. This downward pumping may help produce a strong correlation as shown in panel (a) even at small τ (panel (b) at large τ).

Run 3DR2, with increased stochasticity, produces somewhat lower correlations, as expected. On the other hand, in panel (a) the agreement with observations is improved for larger values of τ (a more rapid fall for $\tau > 7$ yr). In run 3DR3, with an increased diffusivity below the CZ, the time span of the correlation shortens to less than about 5 yr as shown in panel (a); nevertheless, in panel (b) a good correlation is still found 4–6 yr after *P* reversal. This is related to a significant change in the time shifts between the cycle landmarks (later reversal). Note that in this case, the DM performs significantly worse as a precursor than the PF.

3.3. $2 \times 2D$ Dynamo Model

The $2 \times 2D$ hybrid solar dynamo model of Lemerle & Charbonneau (2017) is also described in detail in several recent publications (e.g., Nagy et al. 2017); hence, only its most salient features will be summarized here. The model is built by coupling a 2D SFT module and a 2D axisymmetric flux transport dynamo (FTD) module that run concurrently. Through the evolving distribution of its internal toroidal field, the FTD module provides the new BMR emergences required by the SFT. In parallel, the SFT module takes care of the spatiotemporal evolution of the surface radial magnetic field and the buildup of the global DM. The zonally averaged surface magnetic field is continuously fed to the internal FTD module via its outer boundary condition. The probability of new emergences per unit time and per latitudinal coordinate is set through an emergence function determined by the strength and spatial distribution of the internal magnetic field. This emergence function contains a lower threshold as well, below which the seed magnetic field is considered too small to be amplified and trigger new emergences. When a new BMR emerges in the model, its flux, tilt, and separation are drawn from statistical distributions built from observations of solar cycle 21 (Wang & Sheeley 1989): a log-normal distribution for the flux, a Joy's law for the tilt with Gaussian scatter dependent on the flux, and similarly for the bipole separation. The only amplitude-limiting nonlinearity in the model is a tilt quenching that depends on the amplitude of the source toroidal field. The model was calibrated to observed butterfly diagrams and surface supersynoptic maps as described in Lemerle et al. (2015) and Lemerle & Charbonneau (2017). The optimal surface diffusivity was found to be $6 \times 10^{12} \text{ cm}^2 \text{ s}^{-1}$ and the CZ diffusivity 1×10^{12} cm² s⁻¹, with an overall profile given by the method of Dikpati & Charbonneau (1999). Further parameters of the model are summarized in Table 1 of Lemerle & Charbonneau (2017). We use this optimized setup for the run $2 \times 2DR1$ described here. A run $2 \times 2DR2$, at half the tilt scatter, and a run $2 \times 2DR3$, with tilt and separation scatter entirely turned off, are also analyzed for comparison. In all three cases, sequences of 260 synthetic cycles are used.

Activity level *T* in the model is characterized by the total monthly number of emerging BMRs. For the precursor *P* the PF (surface flux polewards of latitude 70°) and the global DM are considered. The time variation of the correlation between *P* and *T* is displayed in Figure 6.



Figure 6. Same as Figure 4, for the $2 \times 2D$ dynamo model.

It is noteworthy that in the reference case (R1) only the global DM performs truly well as a precursor. Reducing stochasticity, as in runs R2 and R3, improves the predictive skill of the polar fields, but the DM still remains a better predictor, especially at early times. Correlations with the hemispherically averaged value of the polar field (not shown here) were found to follow a similar trend, so a difference in hemispherical coupling between the model and the real Sun does not explain the poorer performance of the polar fields with respect to the DM. The better predictive skill of the DM must then be related to the importance of fields at lower latitudes, possibly related to the overly dense concentration of the surface flux near the poles, due to the use of a high-latitude peaking latitudinal flow in the last optimized version of the $2 \times 2D$ model. This incoherence between the FTD and SFT optimizations was noticed in Lemerle & Charbonneau (2017) and still needs revisiting.

In the DM correlations, a short plateau is again seen in panel (a), indicating that the DM is a good precursor of the next cycle when evaluated 5–8 yr before the next cycle maximum (roughly between the DM peak and the cycle minimum). This agrees well with observations, with the extra year in the temporal range attributable to the later peak amplitude (near the symmetric cycle shape).

Similarly, as shown in panel (b) the DM is found to be a good precursor of the next cycle amplitude 5-7 yr following its

reversal. It should be taken into account that the reversal in this model is more than a year earlier than observed; hence, the cycle phase when acceptable predictions become possible is comparable to the observed range (which was acceptable for $\tau \gtrsim 4$ yr after the polar field reversal). Note also that the low correlations in the early years after the DM reversal (panel (b)) are also coherent with observations. Before $\tau \approx 5$ yr, not enough flux has reached the high latitudes to determine the faithfulness of the next cycle, in the observed Sun and especially in this highly stochastic model.

As for the rising rate of the polar fields with respect to the amplitude of the next cycle, the results are presented in the Appendix; Table 4 indicate that all models show some medium to high correlations, which are similar or higher than observations for the 2D and 3D models, but lower for the $2 \times 2D$ model. The correlations of the decay rate with amplitude are presented in the Appendix; Table 5 show high values similar to the observations for the polar fields of the 2D and 3D models, and for the DM of the $2 \times 2D$ model.

4. Conclusions

We have presented an extensive analysis of the performance of various measures of the amplitude of the solar poloidal field as precursors of an upcoming solar cycle, based on both observational data and on the outputs of various existing FTD models. We calculated correlation coefficients of the predictors with the next cycle amplitude as a function of time measured from several solar cycle landmarks. Setting a Pearson correlation level of r = 0.8 as a lower limit for acceptable predictions, the observations indicate that the earliest time when the polar predictor can be safely used is 4 yr after polar field reversal. This is typically 2-3 yr before the solar minimum and about 7 yr before the predicted maximum, considerably extending the temporal scope of the polar precursor method. Some correlation between the rise rate of the polar field with the next cycle amplitude gives an indication that the temporal scope of the precursor may be even longer in the Sun. From the observational record, the polar magnetic field, A(t) index, and global DM are found to perform roughly equally well.

As the statistical sample upon which these conclusions are based is limited to a few well observed solar cycles, for further support of these findings we turned to dynamo models calibrated to realistically represent the observed solar cycle. The three models considered differ greatly in terms of their physical backgrounds and in the parameter regimes in which they operate. They all involve significant simplifications, and unfortunately, all show obvious offsets in their relative phases and timings of characteristic solar cycle landmarks.

Nevertheless, all three models being of the self-consistent Babcock–Leighton type, they do produce the strong correlations expected between the poloidal field of an ongoing cycle and the following cycle amplitude. The stronger the correlations, the more deterministic is the model. In this sense, the Surya model allows for very early predictions, meaning that the stochastic emergence process plays only a small role in the late phases of the cycle; at the other end, the highly stochastic $2 \times 2D$ model is much more restrictive in its predictive window. The real Sun likely lies somewhere in that interval. Thus, where all the models agree, there is a good indicator of some robust feature. Our analysis of the precursor value and temporal range of the PF and DM in the models has shown that our main conclusion regarding the possibility of using the polar field or the DM as a precursor 4 yr after polar reversal holds in all of them. This supports the robustness of our main observational finding.

The temporal sequence and intervals between cycle landmarks are known to correlate with the cycle amplitude (the Waldmeier effect being an obvious example). This raises the possibility that for cycles stronger or weaker than average the time horizon τ for which reliable prediction are possible at $t = t_{p,rev} + \tau$ may show a systematic deviation from the typical value of 4 yr deduced here. This issue could in principle be addressed by splitting the sample of cycles into subsamples according to strength and looking for systematic differences. The small size of the observational data set, however, does not allow statistically meaningful inferences from such small samples. In the case of models, sample size restrictions do not arise, but as discussed in Section 3 above, the temporal sequence and spacing of cycle landmarks in these models do not represent observed solar cycles faithfully enough to give credit to inferences regarding such finer points as a possible amplitude dependence of the prediction horizon. Thus, at present it is not possible to exclude the possibility of an amplitude dependence in the result.

To illustrate our main conclusion, we use WSO polar field measurements from 2017 March (4 yr after the polar reversal in Cycle 24) to predict the amplitude of the upcoming Cycle 25. For this purpose, linear regressions between the smoothed WSO polar field and peak sunspot area are used. Using hemispherically separated data we arrive at a prediction¹⁰ of 399.45 ± 105.05 (north) and 744.38 ± 137.31 (south), while using $(B_N - B_S)/2$ as a predictor the total peak sunspot area is predicted as 553.29 ± 166.63. This translates to a peak sunspot "number" of 120 ± 25 , based on a linear regression (SSN = 0.152SSA + 36.565) between the sunspot number (Version 2¹¹) versus sunspot area.

Cycle 25 is now officially considered by Sunspot Index and Long-term Solar Observations to have started in 2019 December. Using the predictor values at this epoch, a similar linear regression analysis yields the following updated predictions for the hemispheric peak sunspot areas: 663.71 ± 91.62 (north), 523.94 ± 82.27 (south). Again based on $(B_N - B_S)/2$, the total peak sunspot area is predicted to be 589.11 ± 21.84 . (This translates to a peak sunspot number of 126 ± 3). We note that in these regression analyses, we obtained only three complete cycles; the WSO data for Cycle 20 was not complete. For the calculation of the prediction error, we have take the standard deviations in the slope and intercept of the linear regression based on the Bayesian probabilistic approach using Python's Pymc3 routine. We also note that the error in the latter prediction based on the minima of $(B_N - B_S)/2$ is very small because all three data points lie almost on a straight line, however, this did not happen in the prediction based on $(B_N - B_S)/2$ at 4 yr after the reversal.

It is thus apparent that the prediction made 3 yr earlier, that is 4 yr after the polar reversal, already yields a good approximation to the final forecast. Solar cycle 25 is predicted to be similar to or only slightly stronger than the previous cycle.

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Appendix Rise and Decay Rates of the Polar Field as Cycle Precursors

Following a reversal, the observed polar field tends to increase at a fast rate to reach a plateau lasting until the next solar minimum (see Figure 3). This suggests that the level of this plateau, and hence the predictor value 4 yr after reversal might be anticipated based on the rate of this initial rise (or even the rate of the decay of the opposite polarity polar field before the reversal). This might push the temporal scope of the polar precursor even further back in time. Indeed, Petrovay et al. (2018) found that the rate at which the "rush to the pole" feature in coronal green line supersynoptic maps tends to the poles and may be used as a precursor for the *time* of the next cycle maximum. Prompted by these considerations, here we take a closer look at any possible correlations between the rise/ decay rate of the next solar maximum.

First we consider the rise rate. The rate can be computed in different ways and at different times in the rising phase of the polar field. We consider two points at a separation of ΔT and define the rate as the difference between the polar fields at these two points divided by ΔT . This is illustrated in Figure 3. As the bin size of the polar field proxy data is 1 yr, we cannot take ΔT less than 1 yr. Table 2 shows the correlation coefficients for the rise rates computed at different times. For two particular choices of the predictor and the time interval the corresponding scatter plot is shown in Figure 7 (left). The correlations are generally unconvincing, although the very limited number of data points for the WSO measurements does occasionally result in Pearson correlation values close to one there.

Turning now to the decay rate, it is to be noted that the starting and end points of the base interval usually fall in the rise phase of the ongoing cycle. Hence, the "next cycle maximum" in this case refers to the maximum of the ongoing cycle, which is indeed found to be well predicted by the decay rate. This is shown in Figure 7 (right), with the correlation coefficients listed in Table 3. This correlation, however, is but a natural consequence of the fact that in a stronger cycle high rates of flux emergence are already seen in the rise phase, and the poleward transport of this new flux induces a more rapid decay of the polar fields left from the previous cycle. This

¹⁰ We note that for the hemispheric prediction, a single regression relation is obtained from the combined data of two hemispheres and then this relation is used to make the prediction of Cycle 25 from the polar field in the respective hemisphere.

¹¹ http://www.sidc.be/silso/datafiles



Figure 7. Scatter plots between the rise rate (left) or decay rate (right), a predictor (top: MWO polar faculae count; bottom: WSO polar field) against the amplitude of the next cycle maximum. The rates are evaluated from the values taken at t_{rev} and $t_{rev} + 3$ (rise) and t_{rev-2} and t_{rev-1} (decay).

 Table 2

 Pearson's Correlation Coefficients (r) and the p Values Computed between the Rise Rate of the Polar Field/Proxies and the Amplitude of the Next Cycle Maximum: Observations

Rise Rate	Polar I	Faculae	WSO P	olar Field	A(t) Index	DM	
	r	(<i>p</i>)	r	(<i>p</i>)	r (p)	r (p)	
Computed from	North	South	North	South			
$\overline{t_{rev} + 1}$ to $t_{rev} + 2$	0.57 (0.08)	0.28 (0.44)	0.34 (0.78)	-0.28 (0.81)	0.18 (0.68)	0.57 (0.61)	
$t_{\rm rev} + 2$ to $t_{\rm rev} + 3$	0.19 (0.61)	0.56 (0.09)	0.69 (0.51)	0.99 (0.08)	0.64 (0.04)	0.67 (0.53)	
t_{rev+1} to $t_{rev} + 3$	0.47 (0.16)	0.42 (0.23)	0.95 (0.19)	0.01 (0.99)	0.67 (0.07)	0.63 (0.56)	
$t_{\rm rev}$ to $t_{\rm rev} + 3$	0.51 (0.13)	0.61 (0.06)	0.98 (0.11)	0.98 (0.14)	0.77 (0.02)	0.48 (0.68)	

Note. Values in the first column are in years and thus the rates are obtained in $year^{-1}$.

result has little practical importance, as the correlation values are generally no better than those of the conventional polar precursor method and the temporal scope is shorter. For completeness, the corresponding results for the dynamo models are presented in Tables 4 and 5. These results are in agreement with the observational findings discussed above.

 Table 3

 Pearson's Correlation Coefficients (r) and the p Values Computed between the Decay Rate of the Polar Field/Proxies and the Amplitude of the Next Cycle Maximum: Observations

	Polar	Faculae	WSO Pc	lar Field	A(t) Index	DM	
Decay Rate	r	(<i>p</i>)	r ((p)	r (p)	r (p)	
Computed from	North	South	North	South			
$\overline{t_{\rm rev}-2}$ to $t_{\rm rev}-1$	0.69 (0.04)	0.78 (0.01)	0.71 (0.28)	0.99 (0.00)	0.39 (0.37)	0.75 (0.24)	
$t_{\rm rev} - 3$ to $t_{\rm rev} - 2$	0.66 (0.05)	0.67 (0.05)	0.10 (0.89)	0.54 (0.45)	0.63 (0.13)	0.58 (0.42)	
$t_{\rm rev} - 4$ to $t_{\rm rev} - 3$	0.29 (0.45)	-0.04(0.90)	-0.91(0.26)	-0.02(0.98)	0.89 (0.01)	0.58 (0.42)	
$t_{\rm rev} - 3$ to $t_{\rm rev} - 1$	0.73 (0.02)	0.85 (0.01)	0.62 (0.37)	0.83 (0.16)	0.69 (0.16)	0.66 (0.33)	
$t_{\rm rev} - 4$ to $t_{\rm rev} - 2$	0.47 (0.20)	0.32 (0.40)	-0.43(0.71)	0.99 (0.01)	0.94 (0.01)	0.58 (0.42)	
$t_{\rm rev} - 4$ to $t_{\rm rev} - 1$	0.60 (0.08)	0.82 (0.01)	0.94 (0.21)	0.99 (0.03)	0.84 (0.02)	0.63 (0.36)	

Note. Values in the first column are in years and thus the rates are obtained in $year^{-1}$.

Table 4

Pearson's Correlation Coefficients (r) and the p Values Computed between the Rise Rate of the Polar Field/Proxies and the Amplitude of the Next Cycle Maximum: Models

	2DR1		2DR2		2DR3		3DR1		3DR2		3DR3		$2 \times 2DR1$	
Rise Rate	r	(<i>p</i>)	r (<i>(p)</i>	r	<i>(p)</i>	r	<i>(p)</i>	r	(<i>p</i>)	r	(<i>p</i>)	r	(<i>p</i>)
Computed from	PF	DM	PF	DM	PF	DM	PF	DM	PF	DM	PF	DM	PF	DM
$\overline{t_{\rm rev} + 1}$ to $t_{\rm rev} + 2$	0.74	0.61	0.83	0.93	0.15	0.13	0.76	0.69	0.60	0.43	0.54	0.50	0.11	0.26
$t_{\rm rev} + 2$ to $t_{\rm rev} + 3$	0.57	0.27	0.66	0.79	0.18	0.16	0.78	0.57	0.59	0.40	0.60	0.49	0.11	0.28
$t_{\rm rev} + 1$ to $t_{\rm rev} + 3$	0.84	0.69	0.91	0.96	0.24	0.28	0.79	0.72	0.63	0.53	0.61	0.62	0.23	0.41
$t_{\rm rev} + 1$ to $t_{\rm rev} + 4$	0.87	0.70	0.89	0.96	0.37	0.37	0.88	0.75	0.72	0.59	0.74	0.60	0.35	0.58

Note. PF and DM are shorthand for the polar flux and axial dipole moment, respectively. Values in the first column are in years and thus the rates are obtained in year⁻¹.

 Table 5

 Pearson's Correlation Coefficients (r) and the p Values Computed between the Decay Rate of the Polar Field/Proxies and the Amplitude of the Next Cycle Maximum: Models

Decay Rate	2D	2DR1		2DR2		2DR3		3DR1		3DR2		3DR3		$2 \times 2 DR1$	
	r (p)		r (p)		r (p)		<i>r</i> (<i>p</i>)		r (p)		r (p)		r (p)		
Computed from	PF	DM	PF	DM	PF	DM	PF	DM	PF	DM	PF	DM	PF	DM	
$\overline{t_{\rm rev}-2}$ to $t_{\rm rev}-1$	0.88	0.89	0.83	0.98	0.30	0.64	0.83	0.30	0.60	0.46	0.74	0.37	0.52	0.83	
$t_{\rm rev} - 3$ to $t_{\rm rev} - 2$	0.81	0.65	0.67	0.91	0.31	0.58	0.90	0.46	0.72	0.42	0.81	0.37	0.03	0.48	
$t_{\rm rev} - 4$ to $t_{\rm rev} - 3$	0.41	0.02	0.24	0.68	0.35	0.49	0.91	0.57	0.80	0.34	0.70	0.41	0.00	-0.03	
$t_{\rm rev} - 3$ to $t_{\rm rev} - 1$	0.91	0.89	0.89	0.98	0.34	0.64	0.91	0.47	0.72	0.45	0.82	0.42	0.32	0.79	
$t_{\rm rev} - 4$ to $t_{\rm rev} - 2$	0.78	0.48	0.57	0.89	0.42	0.68	0.94	0.59	0.81	0.41	0.81	0.46	-0.04	0.28	
$t_{\rm rev} - 4$ to $t_{\rm rev} - 1$	0.91	0.86	0.89	0.97	0.42	0.71	0.95	0.65	0.86	0.46	0.78	0.42	0.13	0.68	

Note. Values in the first column are in years and thus the rates are obtained in year⁻¹.

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