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# Effects of Annealing Process on the WO<sub>3</sub> Thin Films Prepared by Pulsed Laser Deposition

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**Abstract**: This work presents a study of the effect of annealing temperature on the optical and structural properties of WO<sub>3</sub> that has been deposited by Pulsed Laser Deposition (PLD) method at 300°C on the rules of glass and indicates the effect of annealing temperatures 350, 450 and 550°C for one hour on those properties. The results of X-Ray diffraction showed all the films prepared with the installation of multi-crystalline tetragonal and directional prevalent (010) for all models after annealing. The annealing led to an increase in the grain size. Full width at half maximum FWHM values of the (010) peaks of these films decreased from  $1.492^{\circ}$  to  $1.064^{\circ}$  with increasing annealing temperature, and the highest value of the specific surface area was 63 m<sup>2</sup>/gm. The natural structure of the WO<sub>3</sub> nanoparticles films were studied by using field emission scanning electron microscopy (FESEM), it revealed that the average grain size increased with increasing of annealing temperature from 46.57 to 485.1nm. The optical features of the films were studied by Photoluminescence PL, the results gave one emission peaks located at 437 nm, and there was a red shift when the annealing temperatures were increased.

#### 1. Introduction

There are some allotropic modifications, such as tetragonal, monoclinic and orthorhombic structure that could be seen for tungsten oxide thin films. Researchers pointed out that these structures are affected by many factors, including temperature, impurity and substrate material. Tungsten oxide WO<sub>3</sub> films are n-type semiconductors with an energy band gap of 2.8 eV [1] having a strong absorption within the solar spectrum of 440 nm. These films have been widely studied for various applications such as photocatalysis [2], high density memory devices, smart windows [3], gas sensor [4], photoelectrochemical water splitting, and electrochromism [5,6]. Generally, the characterization of thin films is carried out by using different tools, like x-ray diffraction [7] and field emission-scanning electron microscopy [8]. The general properties, such structure, composition, surface morphology and band gap of thin films can be investigated. Several techniques, such as spin coating, colloidal, electrophoretic deposition chemical and physical vapor deposition can be used. Electrophoretic deposition (EPD) provides an easy and reliable coating technique, where the charged particles suspended in the solution are attracted to the electrode surface under the influence of an electric field [9]. Almost all the oxide-based (EC) devices employ tungsten oxide [10].

In this work, nanoparticle WO<sub>3</sub> films have been deposited on a glass substrate by using PLD method with a sputtering pressure of  $10^{-2}$  mbar and annealed at 350, 450 and 550°C. The surface morphological, structural, and optical features of nanostructured WO<sub>3</sub> films have been investigated and discussed.

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#### 2. Experimental work

The precipitation was executed utilizing a second harmonic generation SHG Nd:YAG O switched laser at a wavelength of 532 nm with repetition rate 6 Hz and pulse width 7 nsec. The deliberated films were fabricated using pure WO<sub>3</sub> nanoparticles targets. WO<sub>3</sub> films were grown on glass substrates by pulsed laser deposition at a distance of 10 cm from the target. A rectangular glass substrates of rib length of 2 cm WO<sub>3</sub> with purity of 99.99% have been selected from one of the well-known international company producing this material, then taking 3 grams of WO<sub>3</sub> and putting inside a press to obtain a hard disc, which is regarded as a target and will be held in the instruments. chamber and bombarded by pulsed laser. During the deposition, the chamber was retained at vacuum pressure of  $10^{-2}$  mbar, while the substrate temperature (T<sub>s</sub>) was set at 300°C, laser influence at 2.64 J/cm<sup>2</sup> and 700 numbers of pulses. For the purpose of knowing the type of the crystal structure of any material, X-Ray diffraction technique was used, where the samples were examined by a device XR-DIRACTOMETER/6000 of the type Shimadzu. To measure the particle or grain size for all samples, SEM technique was used, type T EASCAN manufactured by a company UEGA. LM of Geck origin. The EDS measurement confirmed the wt % of individual elements and the absence of impurities. The PL Photolummince was carried out via Perkin-Elmer luminescence spectrometer model: LS55 equipped with a xenon flash lamp.

### 3. Results and Discussion:

Through the X-Ray diffraction measurements, a complete analysis of the X-Ray diffraction is conducted and what represents each of the forms and changes that occurred, as well as it was conducted to identity the nature of crystalline and synthetic growth of the crystal and the stages in which the prepared material. X-Ray spectrum is greatly influenced the preparatory conditions, which have the effect of completing crystalline growth. XRD patterns of the tungsten oxide nanoparticles heat treated at 350, 450 and 550 °C are depicted in Figures 1a to 1c. Figure 1a, demonstrates the polycrystalline structure of tungsten oxide nanoparticles for the sample that heat treated at 350 °C. In the samples that exposed to the elevated temperature of annealing of 450  $^{\circ}$ C as shown in Figure 1b. the creation of triplet peaks has been detected, and revealed the well oriented and high intensity of the quality of the crystalline. The obtained phases were compatible to ICDD card no. 5-0386 which they correspond to the crystalline structure of monoclinic. Furthermore, the construction of predominant triple peaks of 010 progress directions displays the structure of monoclinic, polycrystalline phase and texture progression of the samples heat treated at 350°C to 550 °C, elucidated that the samples were growing along the axes a, b, and c, correspondingly approving the textured growth and columnar of the samples. The strong sharp peaks in X-Ray diffraction pattern emphasize the stoichiometry and crystallinity of the tungsten oxide nanoparticles. The nature of single phase of the samples was likewise definite from the existence of X-Ray diffraction peaks relating to the tungsten oxide phase only. By increasing the temperature of annealing, the diffracted peaks intensity grows into high intensity and high degree of sharpness. The boosted preferential orientation after heat treatment at elevated degree of temperatures could be attributed to the atoms that moving along the surface of the substrate to touch the weak energy nucleation sites and rising preferentially there itself. After interpreting the previous figures, it can be concluded that the crystalline structure is affected by changes of the heat treatment temperature; the thin film generally depends on the sedimentation temperature, the type of precipitated base and the temperature changes as well as other decomposition factors. And, the results of X-Ray diffraction explained the variation in crystalline levels, where the compressive stresses generated in the sample as a result of the preparation method play a role to change the peaks of brag. As well the temperature difference plays a role in the composition of crystalline levels whether monocrystalline or multi-crystalline or random crystalline inaddition to the dependence on the type of precipitated base. The reasons for the low rate of crystallization can be due to the type of nucleus formed between the atoms of the thin film material or because at the specific heat at the solid body [11] or because of different melting points of the components of the material or thermodynamic properties of the mixture which are consistent with the reference [12]. The crystallization of the thin film greatly affects many properties, including the gap energy of the crystalline material [13]. The crystalline size was calculated using the Scherrer formula [14].

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$$D = 0.94 \lambda / [L_{2\theta} \cos \theta]$$
 (1)

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Where

D: crystalline sizes

 $\theta$ : angle between plans

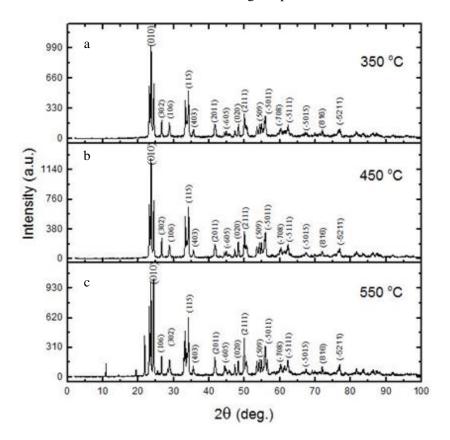
 $\lambda$ : wavelength of X-Ray of Cu K $\alpha$  radiation which equals to 1.540 A°

L: pulse width at half maximum of diffraction peaks.

The average crystalline sizes of tungsten oxide nanoparticles samples annealed at 350, 450, and  $550^{\circ}$ C were obtained by utilizing formula and were about 13.11, 18.48, and 21.4 nm, respectively, which define the nature of nanocrystalline of the samples. Table 1. elucidates that the crystalline size increased as the temperature of annealing is increasing [15]. The specific surface area S.S.A of WO<sub>3</sub> nanoparticles is given by equation 2 [16].

$$S.S.A = 6000 / D * \rho_d$$
 2

Where  $\rho$  is the density of WO<sub>3</sub> nanoparticles and it is calculated based on the density of WO<sub>3</sub> by taking into account the percentage of elements in EDS analysis. Table 1 also shows the value of 2 $\theta$ , FWHM, Crystalline size, and Surface area for annealing temperature 350, 450, and 550°C.



**Figure 1:** XRD diffraction of WO<sub>3</sub> films at different annealing temperatures.

Table 1: XRD properties.					
Sample	20	FWHM	Crystalline size nm	Surface Area gm <sup>2</sup> /cm	
350°C	24.75	0.62	13.11	63.56	
450°C	24.71	0.44	18.48	45.09	
550°C	24.85	0.38	21.40	38.94	

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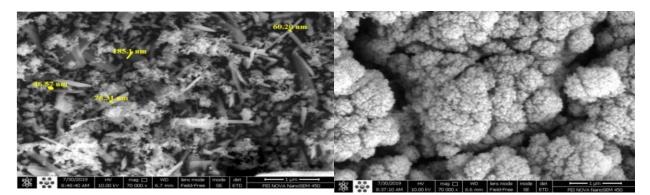
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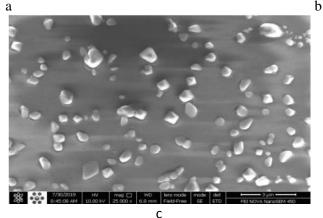
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### 4. Structure analysis

The surface of the deposited thin film as well as the effect of annealing temperature on these prepared thin film were studied in the same preparation conditions, and the changes on these surfaces were observed through field the emission-scanning electron microscopy FE-SEM technique, which gives very accurate and clear shapes that reach very high magnification, and has the ability to calculate the average grain size of these surfaces. The action of thermal retrogression at 350°C could be noted in the FESEM images that indicated in Figure 2. The images displayed a highly brittle, very dense aggregated nanoparticles and nanorods shape.

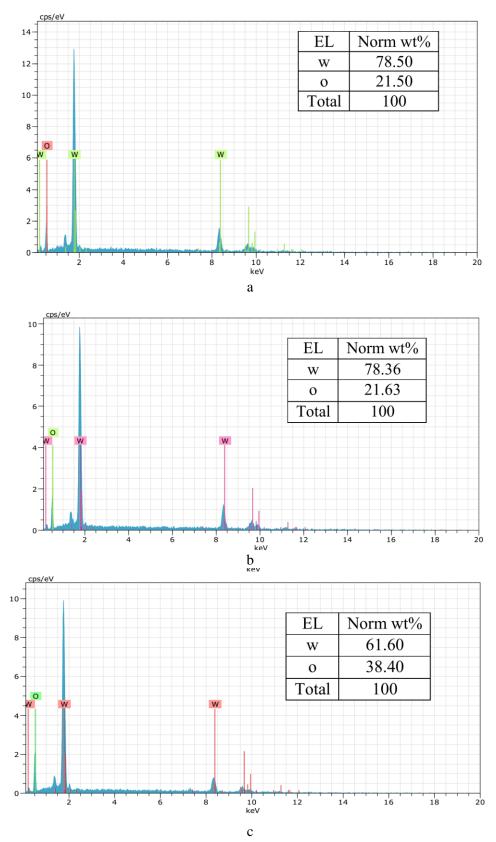
The nanorods present the widths of nanometers and the lengths of some microns. However, higher annealing temperature of  $450^{\circ}$ C leads to the materialization of agglomerated clouded WO<sub>3</sub> nanoparticles as revealed in the Figure 2b. No insights of nanorods are recognized confronting to the development of nanoparticles at the overhead of nanorods. When the annealing process at different temperatures achieved on the samples, it was noticed that the annealing resulted in the clarity of the characteristics of the grain size of the samples and varied among the samples. It ca be seen that the clarity starts from lower grades to higher grades, which are  $550^{\circ}$ C and as shown in Figure 2c. where the shape of nanoparticles is dictated by their edges leading to squared or hexagonal-like nanoparticles.





**Figure 2:** FE-SEM images for WO<sub>3</sub> nanoparticles at annealing temperature at a. 350 °C, b. 450 °C and c. 550°C.

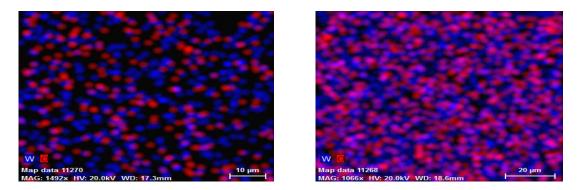
EDS technique was used to know the approximate percent are of the element which the thin film formed from the inspections that were out carried for each annealing temperature for all samples. It was shown from the Figures 3a. 3b and 3c. that the percentage of W nanoparticles is much higher than (O) even that after annealing, and Figures 4a., 4b., and 4c. reveals that the elemental mapping has no impurities with the (W) and (O) elements, were (W) represents by blue particles and (O) by red particles .

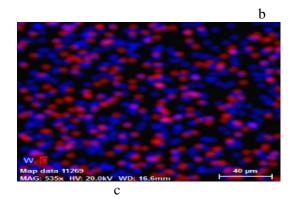


**Figure 3:** EDS graph showing the quantitative values of elements present in the WO<sub>3</sub> nanoparticles at annealing temperature a. 350 °C, b. 450°C, and c. 550°C

a

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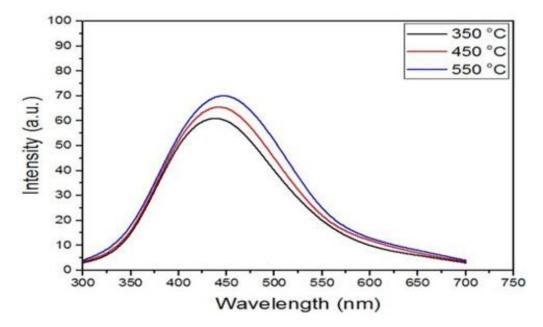




**Figure 4:** Distribution of WO<sub>3</sub> nanoparticle and elemental mapping at the annealing temperature a.  $350^{\circ}$ C, b.  $450^{\circ}$ C and. c  $550^{\circ}$ C.

# 5. Optical Properties:

The Photolummince PL spectra of WO3 samples are visible PL spectra region, as shown in Figure 5. The excitation wavelength was 225 nm. The sample that annealed at 350°C has a peak centered in 437 nm wavelength with an energy gap of 2.83 eV. There is a red shift when the annealing temperatures were increased, this can be attributed to the growth of grain size. The energy gap is listed in table 2.



**Figure 5:** Room-temperature photoluminescence of the WO<sub>3</sub> thin films as-deposited and annealed at different temperatures.

Annealing Temperature °C	Wavelength nm	Energy gap eV
350	437	2.82
450	441	2.81
550	446	2.78

**Table 2:** The value of annealing temperature, wavelength, and energy gap.

#### 6. Conclusion

XRD investigation evidently elucidates the creation of the main triple peaks of (010) growing directions, which reveal the textured growth nature, monoclinic, and polyphase of the samples annealed at 350 to 550°C. Well oriented, high intensity with the development of crystalline quality of the samples was noticed when the annealing temperature was increased. The highly oriented growth and excellent aligned peaks itemize the stoichiometric nature of the samples. Greater annealing temperature enriches the growing of oriented nanocrystalline samples and reductions of the energy band gap to 2.78 eV. FE-SEM features depicted that the thermal progression of WO<sub>3</sub> nanoparticles samples are described by a morphological transformation, where WO<sub>3</sub> nanorods go to the aggregated spherical WO<sub>3</sub> nanoparticles at a temperature of 450°C and turn into nanocubic-like structures at a temperature of 550°C. The outcomes of this work presented that the Pulsed Laser Deposition technique is a very promising method which is evident at low synthesis temperature, short production time, low cost, and leading to materials with high homogeneity and purity. The efficient structural and optical investigations mani Fested the high potential of this technique on the WO<sub>3</sub> nanoparticles and future technological applications.

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