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Quantifying the fragility of the coral reefs to hurricane impacts: A case study of the Florida Keys and Puerto Rico

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15 Abstract

Ecosystems like coral reefs mitigate rising coastal flood risks, but investments into their 16 conservation remain low relative to the investments into engineered risk-mitigation struc-17 tures. One reason is that quantifying the risk-reduction benefits of coral reefs requires 18 an estimate of their fragility to severe stresses. Engineered structures typically have as-19 sociated fragility functions which predict the probability of exceeding a damage state with 20 the increasing loading intensity imposed by a stressor, like a hurricane. Here, we pro-21 pose a preliminary framework for capturing the fragility of coral reefs towards hurricanes 22 in an analogous way to that of an engineered structure. We base our framework on Dis-23 turbance Response Monitoring data collected in the Florida Keys and Puerto Rico fol-24 lowing Hurricanes Irma and Maria. We first establish a qualitatively consistent corre-25 lation between hurricane impacts and coral mortality rates using two surveys of coral 26 health. We focus specifically on stony coral mortality as a metric for reef damage, sim-27 plifying the effect of coral morphology into a single quantitative index at the site scale. 28 To quantify the loading intensity of a hurricane, we propose a Hurricane Wind Expo-29 sure Time that captures spatial variations in the exposure of different coral reef sites to 30 hurricane force winds. We ultimately derive a simple empirical fragility function for the 31 Florida Keys and Puerto Rico to support side-by-side comparisons of the cost-effectiveness 32 of a coral reef and engineered solutions to flood risk reduction in these regions. 33

³⁴ 1 Introduction

To mitigate the increasing risk posed by coastal flooding identified by the Inter-35 national Panel on Climate Change [Oppenheimer et al., 2014], planners are increasingly 36 considering nature-based solutions for coastal risk reduction [e.g., Arkema et al., 2017]. 37 Evidence from around the world indicates that some natural ecosystems such as coral 38 reefs are effective at reducing the financial impact of coastal flooding [Narayan et al., 2016, 39 Beck et al., 2018], but it is often less clear how coral reefs compare to conventional en-40 gineering solutions in terms of cost-effectiveness. Preliminary evidence from field obser-41 vations and modeling suggests that coastal habitats may be cost-effective [Narayan et 42 al., 2016, Reguero et al., 2018]. However, we currently lack quantitative frameworks that 43 enable governments, investors and risk managers to assess the benefits of nature-based 44 solutions in direct comparison with conventional engineering approaches. 45

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To enable a side-by-side comparison of the cost-effectiveness of natural and engi-46 neered solutions to flood risk reduction, we need to quantify how hurricanes and other 47 stressors can affect a coral reef's risk-reduction capacity. In engineering, fragility func-48 tions [Merz et al., 2010, Hammond et al., 2015, Gerl et al., 2016] serve this purpose by 49 predicting the probability of reaching and exceeding a predetermined damage state with 50 increasing values of some loading intensity, such as the probability of a structure collaps-51 ing at increasing levels of earthquake ground motion [Baker et al., 2021]. By assessing 52 the likelihood of damage to these structures due to impacts from extreme events dur-53 ing their lifetime, fragility functions inform the costs for repairs or maintenance that are 54 to be expected [Simm et al., 2008]. The damage states within a fragility function are typ-55 ically discrete, and associated with discrete post-damage responses (e.g., repair minor 56 damage or replace a collapsed structure). 57

Numerically, fragility functions are often quantified by probabilistic lognormal cu mulative distribution functions:

$$P(F|LI = x) = \Phi\left(\frac{\ln(x/\mu)}{\sigma}\right) \tag{1}$$

where F denotes failure of the system relative to a damage threshold, LI quantifies loading intensity, Φ is the standard normal cumulative distribution function, and μ and σ are the mean and standard deviation of the logarithm of the loading intensity, $\ln(LI)$, causing failure. Quantification of a fragility function thus consists of identifying damage states and a loading intensity metric LI, and estimating the model parameters μ and σ . Parameter estimation is performed using empirical data indicating intensities and failure occurrences or non-occurrences from past events.

The goal of this paper is to propose a template for characterizing the fragility of 67 coral reefs to hurricanes in an analogous way to the fragility of engineered structures us-68 ing the Florida Keys and Puerto Rico as a case study. To fit the fragility model, we use 69 existing Disturbance Response Monitoring (DRM) for these two coral reefs. The main 70 advantage of using DRM data as compared to other coral health assessments is that these 71 surveys are conducted soon after a hurricane impact to identify its effects on the reef [Viehman 72 et al., 2018, 2020]. To derive our hurricane fragility model, we follow the standard steps 73 described in the previous paragraph consisting of first identifying a damage variable, a 74

relevant metric for loading intensity, and then estimating the model parameters from DRMdata.

DRM surveys are standardized, involving transect dives through several reef regions 77 to catalog each encountered stony coral colony [Florida Reef Resilience Program, 2022, 78 Viehman et al., 2018]. Divers note each colony's size, species, health, and a mortality 79 type, detailed specifically in Viehman et al. [2018]. A diver documents a mortality type 80 based on what fraction of the colony appears to have died fairly long ago (i.e., "Old Mor-81 tality"), and what fraction of the colony appears to have died recently (i.e., "Recent Mor-82 tality") [Florida Reef Resilience Program, 2022]. Because we are interested in recent im-83 pacts in particular, we focus on an average Recent Mortality variable weighted by coral 84 colony size. Although these dives have been conducted annually in the Florida Keys since 85 2005, they are not necessarily conducted in the same precise locations, meaning each year 86 involves surveying a different set of corals. 87

Rather than cataloguing every organism that contributes to the coral reef ecosys-88 tem, DRM surveys only catalogue stony corals. Stony corals represent a broad category 89 of not only *Scleractinia* stony corals, but also *Hydrozoa* fire corals as classified by the 90 Florida Fish and Wildlife Conservation Commission [Jaap et al., 2001, Callahan et al., 91 2007, Ruzicka et al., 2010]. We use the same convention to emphasize their key similar-92 ity: the stony corals and fire corals are major contributors to the external rigid limestone 93 skeleton that underlies a coral reef [Lewis, 1989, 2006], in contrast to the Octocorals which 94 form their own internal flexible skeletons [Sheppard et al., 2009]. High levels of these stony 95 corals could maintain, improve, and expand the rigid coral reef structure despite the pres-96 ence of major disturbing forces [Beeden et al., 2015]. Because these stony corals contribute 97 so significantly to the structural integrity needed to buffer hurricane impacts, we choose 98 the average Recent Mortality of stony corals as our damage variable. We classify dam-99 age as Major, Moderate or Minor to obtain discrete damage states. 100

Having identified the damage states, we need a quantification for a hurricane's loading intensity. While several factors can contribute to the mechanical damage done to coral reefs by a hurricane [Harmelin-Vivien, 1994], the primary source of damage arises from the wave impacts generated by hurricane winds [Puotinen et al., 2016, Fabricius et al., 2008]. However, reliably evaluating wave impacts requires modeled wave data such as WW3DG [e.g., 2019], estimations based on observations from buoys, or data to model

locations susceptible to wave damage [e.g. Puotinen et al., 2016]; none of these options 107 suffice for the context of the reefs in the Florida Keys or Puerto Rico due to the insuf-108 ficient resolution of model inputs or outputs. To provide risk managers with a pragmatic 109 solution, we take advantage of a finding from a study of reef damage following cyclone 110 Ingrid in the Great Barrier Reef arguing that peak wind speed, storm duration, and cu-111 mulative wind energy all correlated with large hydrodynamic forcing and high extents 112 of damage [Fabricius et al., 2008]. After evaluating a few candidate metrics associated 113 with winds and waves (available in the Online Supplement), we use the Hurricane Wind 114 Exposure Time (HWET) to capture the spatial variations of hazard exposure in differ-115 ent reef sites. 116

To fit a fragility function using these proxies, we first need to establish a robust trend 117 between hurricane impact and coral reef damage. Prior work has shown that while hur-118 ricanes have severe impacts on the structure of ecosystems like coral reefs and mangroves 119 [De'Ath et al., 2012, Lagomasino et al., 2021], there is surprising variability in damage 120 severity. After Hurricane Donna in 1960, Ball et al. [1967] reported sedimentary depo-121 sition on corals in certain reef segments and extensive broken coral rubble. Perkins & 122 Enos [1968] revisited some of the same sites following Hurricane Betsy in 1965, and con-123 cluded that Betsy caused less damage and sedimentary erosion than Donna, potentially 124 because of the removal of less resistant reef elements by the earlier Donna. Similarly, Hur-125 ricane Andrews in 1992 did not cause the significant damage expected based on its in-126 tensity [Pimm et al., 1994]. Even more surprisingly, Manzello et al. [2007] contended that 127 Hurricane Wilma induced cooling in 2005, benefiting the heavily bleached reefs in the 128 Florida Keys. The data used in the analysis by Manzello et al. [2007], however, is not 129 available and our analysis of the existing data from the Coral Reef Evaluation and Mon-130 itoring Project (CREMP) [J. Porter, 2021b,a, 2020a,b,c] does not support the claim. 131

To establish a robust correlation between hurricane impact and coral mortality, we 132 complement DRM surveys with CREMP data for the Florida Keys to construct a longer 133 time-series of annual mortality levels in corals. CREMP has shared their annual surveys 134 of the Keys' reefs through the time period 1996-2018 [J. Porter, 2021b,a, 2020a,b,c]. In 135 these surveys, divers record video transects of the same set of specific reef sites every sum-136 mer and record the percent of area covered by each individual stony coral species, Oc-137 tocorals, other wildlife, and bare substrate, a process more specifically described in any 138 of the project's reports [e.g., Jaap et al., 2001, Callahan et al., 2007, Ruzicka et al., 2010]. 139

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Because CREMP surveys focus on the same sites in time, they are particularly well suited
for detailing the time dynamics of the surveyed coral reef sites.

CREMP data extends the time-series of stony coral damage available for our anal-142 ysis, and sheds light on the damage that hurricanes cause to other reef species. We briefly 143 compare and contrast stony corals and Octocorals to demonstrate the difference in the 144 recovery dynamics of these two classes of species. Although coral reefs may resemble off-145 shore breakwaters structurally, they are in principle adaptive and interconnected sys-146 tems. In the absence of external stressors, corals regenerate and grow rather than de-147 grading over time as engineered structures would and hence has the potential for long-148 term effectiveness and adaptation [Gardner et al., 2005, Mumby et al., 2014]. However, 149 this ability to recover depends on the health of the reef ecosystem [Cheal et al., 2017, 150 Ateweberhan et al., 2013, Dubinsky & Stambler, 1996] and appears to be largely sup-151 pressed for stony corals in Florida. In contrast, we still find evidence of recovery for Oc-152 tocorals, but these flexible coral species provide less risk-mitigation services. 153

Our assessment does not currently capture the expected effects of climate change 154 on coral fragility such as coral bleaching [McWilliams et al., 2005] and the enhanced spread 155 of coral diseases [Bruno et al., 2007, Cervino et al., 2004]. There is little doubt that ther-156 mal stresses are becoming more frequent and may lead to an inability for Caribbean coral 157 reefs to recover [Neal et al., 2017, Edmunds, 2013]. But high temperatures are not the 158 only concern; after the 2010 Freezing Event in the Florida Keys, Colella et al. [2012] in-159 vestigated several patch reefs and noted significant losses within stony coral communi-160 ties. Instead of addressing climate-change effects directly, we condense publicly available 161 data of the coral reefs in the Florida Keys to inform a preliminary fragility model for the 162 Florida Keys and Puerto Rico. Our model may not generalize to other reef types or other 163 locations worldwide, and does not capture climate change impacts nor temperature stresses, 164 but provides a first step towards a hurricane fragility model for coral reefs to integrate 165 into risk mitigation planning. 166

167 2 Methods

To connect our damage variable, stony coral mortality, to discrete damage states for a fragility curve, we take advantage of the fact that divers classify the extent of aggregate damage seen at select reef sites into the categories of Major, Moderate, or Mi-

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¹⁷¹ nor. We connect these damage state classifications to our damage metric by aggregat-

- ¹⁷² ing observations where they are available to create a threshold stony coral mortality for
- each category. This threshold is applied to all of the coral reef sites to determine if a reef
- ¹⁷⁴ site exceeded some damage state, or in other words:

$$\label{eq:def-Damage State} \text{Damage State}_i = \begin{cases} \text{Major} & \text{Mortality}_i > \text{Major Threshold} \\ \text{Moderate} & \text{Mortality}_i > \text{Moderate Threshold} \\ \text{Minor} & \text{Mortality}_i > \text{Minor Threshold} \end{cases}$$

where the subscript i identifies the site, and thresholds are determined by sites with observed damage state classifications.

To quantify a hurricane's loading intensity, we use a metric capturing the time a 177 site spent within the hurricane force wind radius, which we refer to as "Hurricane Winds 178 Exposure Time" (HWET). Throughout each hurricane's track, NOAA's International 179 Best Track Archive for Climate Stewardship (IBTrACS) documents a hurricane speed 180 and an R64 value [Knapp et al., 2010]. The R64 value represents the distance from a hur-181 ricane center where hurricane force winds, i.e., wind-speeds greater than 118 km/hr (74 182 mph or 64 kts), are felt. We assume near constant R64 values in time, and compute HWET 183 using this data in combination with the locations of coral reef sites as: 184

$$\text{HWET}_{i} = \frac{2\sqrt{(\text{R64})^{2} - (\text{Minimum Distance to Hurricane Center})_{i}^{2}}}{\text{Hurricane Speed}}$$

,

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where the subscript i identifies the site.

While proxies for damage and loading intensity are the only necessities for a fragility 186 model for an engineered structure, the complexity of the coral reef ecosystem suggests 187 that additional dimensions may be necessary to estimate its fragility. In particular, cer-188 tain corals have a morphology that would have higher vulnerability to hurricane stresses, 189 suggesting the need for a vulnerability proxy. To quantify the role of the dominating coral 190 morphologies at a particular reef site, we define a metric "Massive to Branching Coral 191 Ratio" (MBCR), with the expectation that reefs with higher MBCR values will be more 192 resistant to hurricane impacts: 193

 $\text{MBCR}_{i} = \frac{(\text{Massive Coral Species Area})_{i}}{(\text{Branching Coral Species Area})_{i}}$

where the subscript i identifies the site. Larger values indicate dominance of massive corals over branching corals at a particular reef site. Other morphologies of corals are considered neither weak nor strong, and are excluded from the index.

With consideration of the engineering considerations by Madin & Connolly [2006] 197 connecting coral shape with vulnerability, we assume that the reefs where this MBCR 198 is less than 1, i.e., where branching corals dominate, will see major damage after seeing 199 any level of HWET with probability one. On the other hand, reefs where this MBCR 200 is greater than 1 is considered for a lognormal fragility curve as in equation (1) with LI =201 HWET. We find the parameters μ and σ using maximum likelihood estimation of a Bernoulli 202 variable with the associated p determined by the lognormal fragility curve, reminiscent 203 of [Shinozuka et al., 2000]. Our Bernoulli observations are formed from the assigned dam-204 age state of a reef based on DRM data, and the associated HWET observation is based 205 on the reef's location relative to a hurricane track. 206

207 3 Results

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3.1 Qualitative Comparison in the Florida Keys reveals distinct damage patterns in years of Hurricane Events and Temperature Anomalies

To derive a hurricane fragility model for coral reefs, we first identify a consistent 211 relationship between hurricane events and coral mortality. Unfortunately, the effects of 212 hurricanes on corals can be difficult to distinguish from those due to other stressors like 213 temperature anomalies or El Niño events. We adopt a data-centric approach to deter-214 mine the correlation between coral damage and extreme weather events in CREMP and 215 DRM surveys repeated over time in the Florida Keys. Figure 1 summarizes the coral mor-216 tality data from CREMP and DRM from 1996 to 2020 and the spatial extents of the Lower 217 Keys, Middle Keys, and the Upper Keys regions. During this time period, three major 218 hurricanes passed over the Keys: Georges in 1998, Wilma in 2005, and Irma in 2017. Fig-219 ure 1a shows the trajectories of these hurricanes as determined from IBTrACS data [Knapp 220 et al., 2010]. 221

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Figure 1: The relationship between coral damage and extreme weather events. (a) Map of the Keys survey sites with region designation and storm tracks. Markers indicate CREMP continuously surveyed sites, while polygons indicate the bounds of the regions in the DRM dataset. (b) Variation in stony coral annual mortality rates over time. CREMP (upper) annual mortality rates involve a year-over-year fractional change in percent area cover (YoY FCPAC) of corals with a period of unknown data, and the DRM (lower) mortality rates use an average Recent Mortality variable only going back to 2005. Monte Carlo methods were used to derive the Natural Variability confidence intervals. Scales differ on the y-axis because of the different mortality measures. (c) Comparison of mortality in patch reefs compared to other reefs in CREMP data. Darker colors indicate a higher degree of damage.

Since we are leveraging two distinct data sets for our analysis, we use two differ-222 ent methods to determine spatially-averaged mortality rates. In the Introduction, we de-223 scribed our metric for mortality in the DRM data with an average Recent Mortality vari-224 able, weighted based on coral colony size. To describe annual mortality using CREMP 225 data, we take the fraction change in this percent area cover on consecutive years, aggre-226 gated into a metric we call year-over-year fractional change in percent area cover of corals 227 (denoted YoY FCPAC). We illustrate this choice over total change in percent area cover 228 with an example: a drop of coral from 2% cover to 1% cover (-0.5 YoY FCPAC) should 229 be more significant than a drop from 10% to 9% area cover (-0.1 YoY FCPAC), despite 230 the same total cover loss. 231

The time evolution of the two different metrics for mortality in the CREMP and 232 DRM datasets are depicted in the upper and lower panels of Figure 1b, respectively. Af-233 ter determining spatially-averaged mortality rates across the entire Keys as well as within 234 each region, we determine natural variability curves and associated confidence intervals 235 using Monte Carlo methods (specified in the supplement). Despite the high noise in this 236 data collected every year, and with the exception of Hurricane Wilma, each year corre-237 sponding to a significant stress event corresponds with one of significant coral mortal-238 ity outside the window of natural variability. In the CREMP data, YoY FCPAC falls out 239 of the natural variability confidence interval in years 1998, 1999, 2006, 2010, and 2018; 240 in the DRM data, years 2010, 2015, 2017 (which includes a special post-Irma survey), 241 and 2018 correspond to uncharacteristic coral mortality. These trends are supported by 242 prior work suggesting a significant effect by hurricanes and extreme temperature stresses 243 on the coral reefs of the Keys [Jaap et al., 2001, Colella et al., 2012] and more generally 244 [De'Ath et al., 2012]. 245

At the aggregate level of analysis in Figure 1b, it might appear that hurricane im-246 pacts and extreme weather events like the 2010 Freezing Event are associated with com-247 parable levels of coral mortality. However, Figure 1c demonstrates that this damage tends 248 to occur in different portions of the reef. Instead of aggregating coral mortality by re-249 gion, we classify several levels of mortality following major events based on whether a 250 reef is patch reef (purple) or not (pink). For all three hurricanes, damage in non-patch 251 reef zones far outweighs damage in patch reefs, a phenomenon with historical precedent 252 in Perkins & Enos [1968] and Ball et al. [1967]. In fact, neither Hurricane Wilma nor Hur-253 ricane Georges appear to have caused damage in patch reefs. In contrast, the 2010 Freez-254

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- ing Event affected patch reefs extensively, supporting a result previously suggested by
- ²⁵⁶ Colella et al. [2012] and Lirman et al. [2011].

3.2 No clear evidence of recovery among stony corals in the Florida Keys



Figure 2: Variable patterns of damage and recovery for different classes of coral species. (a) The massive stony coral *Porites asteroides* by Veron [n.d.]. (b) The branching stony coral *Acropora palmata* by Sefton [n.d.]. (c) The Octocoral *Gorgonia ventalina* by Espitia [2016]. (d) Variation in the cover of the most common reef-building coral species associated with branching/finger morphologies (light blue) vs. massive morphologies (green) in non-Patch shallow reefs. (e) Comparison of average Octocoral populations and Stony Coral populations among non-Patch shallow reefs in time. Both are based on data from CREMP, which makes observations at fixed reef sites annually.

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In principle, coral reef ecosystems have the ability to autonomously recover from the hurricane-induced structural damage without the continued investments to repair damage required by engineered structures. However, this ability for corals to recover is threatened by environmental degradation and declining fish populations [Mumby et al., 2014]. A meta-analysis of coral recovery rates after hurricane impact for the Caribbean showed that sites impacted by hurricanes continue to decline at faster rates than sites that were not impacted [Gardner et al., 2005], raising the question whether a fragility model for coral reefs needs to capture recovery. To consider this issue within the specific context of the Florida Keys, we compare the differences in the temporal trends of damage and recovery for different classes of coral species, made possible with CREMP.

Some coral species within the reef ecosystem appear more prone to instability than 268 others: Porites asteroides, shown in Figure 2a, is a stony coral with either a massive or 269 encrusting morphology, while Acropora palmata, shown in Figure 2b, is a stony coral with 270 a branching morphology. Compared to the branching morphology, the massive morphol-271 ogy appears less prone to damage by external forcing. In contrast to both of these rigid 272 stony corals, the softer Octocoral, such as Gorgonia ventalina in Figure 2c, maintain their 273 own flexible skeletons. Octocorals intuitively appear to be less stable when experienc-274 ing strong hydrodynamic forcing, like trees without roots. 275

Figure 2d plots the variation in time of a few dominant stony coral species in the 276 Florida Keys, separated by those associated with a more branching morphology (light 277 blues) and those with a massive morphology (dark greens), determined by Kluijver et 278 al. [2013]. The data show a sharp decline in the branching corals that appears to coin-279 cide with 1997-1999, the years following Hurricane Georges and the El Niño event of 1996-280 1997, and continues to decline if at a significantly lower rate over the following decades, 281 reaching a historic low after Hurricane Irma. In comparison, the percent area covered 282 by stony corals with massive morphologies is on a relatively stable, slow decline. 283

That analysis opens the question of whether or not any reef biota are able to re-284 cover, or if this percent area cover proxy is even able to capture a recovery dynamic. To 285 address this question, we consider the trends of damage and recovery among stony corals 286 with different morphologies in Figure 2d that are aggregated into the teal columns in Fig-287 ure 2e and compared with the Octocorals shown in red. The data shows that Octoco-288 rals see sharp declines coinciding with extreme events, but also exhibit several years of 289 consistent recovery following the perturbation, consistent with findings from Lasker, Martínez-290 Quintana, et al. [2020]. Therefore, we conclude that while certain reef species are capa-291 ble of observable recovery, stony coral populations remain stagnant or continue to de-292 cline. The ecosystem balance of coral species in the Keys thereby shifts toward Octo-293

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coral dominance, consistent with studies throughout the Caribbean [Lenz et al., 2015,
Lasker, Bramanti, et al., 2020].

The intricate ecosystem dynamics of different coral species are a crucial aspect of 296 reef health. However, to characterize the fragility of coral reefs to hurricanes, we sim-297 plify by focusing on the damage to the primary reef-builders, the stony corals. They pro-298 vide the structural integrity needed to buffer hurricane impacts comparable to the struc-299 tural integrity of engineered barriers. Moreover, there is more data available to identify 300 the impact of hurricanes on stony corals, since post-hurricane DRM surveys only mon-301 itor stony corals, and do so with comparatively less noise than CREMP. With a dam-302 age metric of stony coral mortality, the reef appears to be in a relatively steady decline 303 with no recovery and perhaps a permanent lowered level due to possible ecosystem regime 304 shift, indicating that recovery would not need to be included into a fragility model for 305 the Florida Keys. With similar ecosystem dynamics seen by other authors [Neal et al., 306 2017, Edmunds, 2013] across the Caribbean, we generalize this to Puerto Rico to form 307 a single fragility model using a stony coral mortality damage variable without recovery. 308

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3.3 Quantifying a Hurricane's Loading Intensity and a Proxy for Reef Damage to inform a Probabilistic Fragility Curve

To derive a first, quantitative fragility model for the coral reefs of the Florida Keys 311 and Puerto Rico, we analyze two extensive DRM surveys [Viehman et al., 2018, 2020]. 312 The advantage of these surveys lie in their specific identification of recent mortality, and 313 do so shortly after hurricane impact. This facilitates a move from the qualitative cor-314 relations in Sec. 3.1 to a quantitative relationship between hurricane loading intensity 315 and coral damage. One survey was conducted in the Keys following Hurricane Irma [Viehman 316 et al., 2018, while the other was conducted in the coral reefs of Puerto Rico following 317 Hurricane Maria [Viehman et al., 2020]. 318

With the metric of stony coral mortality for damage extent, we apply our quantitative proxy of the Hurricane Wind Exposure Time (HWET) for the loading intensity in a fragility function. HWET delivers optimal potential in explaining the distribution of damage extent in reefs over other modeled and measured metrics of intensity, such as wavespeeds, wave heights, windspeeds, and distances from hurricane centers. One specific advantage of HWET as a metric for loading intensity is that it explains the min-



Figure 3: The distribution of coral reefs based on their ratio of Massive and Branching corals, and the observed HWET in Puerto Rico due to Hurricane Maria (a) and in the Florida Keys due to Hurricane Irma (b). Sizes of the data points indicate relative areas of stony coral colonies found at each site. Reefs in the grey region are expected to see Major Damage regardless of HWET.

imal effect of Hurricane Wilma on the reefs of the Florida Keys. As can be seen in 1a,
Hurricane Wilma remained distant from the Keys at all times. Wilma's distance corresponded with a maximum HWET observed by any reef in the Keys of about 3.9 hours,
far lower than the maximum HWET of 10.3 hours from Hurricane Irma.

While our choice of stony coral mortality has been justified as the suitable quan-329 titative proxy for damage extent in a fragility function, naively comparing the average 330 recent mortality fraction in the stony corals with HWET neglects the significant role of 331 coral morphology suggested in section 3.2. Although higher exposure time by HWET 332 would be expected to lead to higher losses of corals, certain corals have a morphology 333 that would have higher vulnerability to hurricane stresses. This confounder can be ad-334 dressed with the Massive to Branching Coral Ratio (MBCR) proxy for vulnerability from 335 the Methods section. 336

Figure 3 summarizes the quantitative relationship between our vulnerability and damage proxies with the loading intensity for hurricanes Maria and Irma. The Puerto Rico data depicted in Figure 3a highlight the effect of morphology but provide limited spread in HWET. Because of Maria's large size and its track, almost all of the reefs experience nearly the same HWET, resulting in little vertical spread in the data. The spread in the damage level in the reefs could largely be attributed to variations in MBCR at

manuscript submitted to Environmental Research Letters



Figure 4: Lognormal Fragility Curves as a function of HWET, the Hurricane Wind Exposure Time. PR = Puerto Rico, FK = Florida Keys.

- (a) Probability of Major Damage: ($\mu = 11.835, \sigma = 0.286$).
- (b) Probability of Moderate Damage: ($\mu = 10.289, \sigma = 0.335$).
- (c) Probability of Minor Damage: $(\mu = 9.726, \sigma = 0.481)$.

the site (i.e., the horizontal spread in data correlates with changes in shading). In contrast, Figure 3b entails very few data points from sites dominated by branching corals, but exhibits more spread in HWET. For sites where strong corals dominate and MBCR is greater than 1, we recover increasing levels of mortality in HWET (i.e., vertical spread in data correlates with changes in shading). We consider just the region where this metric is greater than 1 for our fragility curves, with the assumption that sites seeing low values of this metric will almost surely see Major Damage as a result of a hurricane.

In Figure 4, we provide a preliminary set of fragility functions for risk managers to use in their cost-benefit analyses across the Florida Keys and Puerto Rico, fitted using the combined data from those regions. We complete a cross-validation of fragility functions created using this method on Puerto Rico and the Florida Keys in the supplement.

354 4 Discussion

To propose a first and preliminary model for the fragility of coral reefs to hurricane impacts, we made a deliberate, pragmatic choice to reduce the vibrant biodiversity and dynamics of the coral reef ecosystem and focus only on stony corals. Our model hence neglects the contributions of the many other coral species to the health of a reef ecosystem and the symbiosis between corals and other species like parrot fish and sea urchins [e.g., Adam et al., 2011, Lessios, 1988]. While our choice is rooted in prioritizing the significant contributions that stony corals make to the construction of habitat

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itself, we also emphasize the role of limited data: post-hurricane DRM surveys specif ically focus on stony coral mortality.

Even within the stony coral species, our fragility model collapses the exceptional 364 variability in morphology within stony coral species [Pratchett et al., 2015] into a sim-365 ple metric, MBCR, to reduce noise in the mortality data. MBCR captures some ecolog-366 ical features by covariation. It implicitly captures the varying impact of hurricanes on 367 different reef zones because the abundance of different coral morphologies varies with reef 368 zones. For example, in their observations of the Florida Keys, Hoffmeister & Multer [1968] 369 suggests that the branching coral Acropora palmata thrives in outer reef zones with strong 370 surf, a location which may be exposed to higher hydrodynamic forcing by hurricanes. 371 This suggests low MBCR could correspond with more susceptible reef zones. By collaps-372 ing variations within stony corals to an index rather than assessing losses in each species 373 across each reef zone, we can provide risk managers with a single fragility model for the 374 reef rather than requiring several fragility curves. 375

We made a key decision to not include recovery dynamics in our conceptualization, 376 because the data shown in Figure 2d does not show any indication of post-hurricane re-377 covery in the stony corals over the last few decades. Although at odds with the intuition 378 from Beeden et al. [2015] that reef ecosystems are able to recover after extreme stresses, 379 this finding is consistent with several recent studies demonstrating that stony corals in 380 the Keys are struggling to recover, likely due to the warming ocean [Precht et al., 2002, 381 J. W. Porter et al., 2001, Wilkinson, 1998]. Similar dynamics have been observed across 382 all Caribbean reefs [Neal et al., 2017] and the U.S. Virgin Islands [Edmunds, 2013]. We 383 emphasize that the lack of stony coral recovery dynamic may not be permanent and is 384 likely not indicative of the larger ecosystem dynamics of the coral reef. Octocorals can 385 see significant losses due to major events such as hurricanes, as shown in the literature 386 [e.g., Jaap et al., 2001, Ateweberhan et al., 2013] and our analysis, but also recover rel-387 atively rapidly as shown in Figure 2e and more detailed studies like Ateweberhan et al. 388 [2013], Lasker, Bramanti, et al. [2020]. 389

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Some stony corals are expected to have the same ability to recover rapidly. For example, although the branching/finger corals are susceptible to damage from hurricanes as shown in Figure 2d, they have previously been associated with high recruitment and growth rates in the U.S. Virgin Islands [Gladfelter et al., 1978]. These high recruitment rates are not reflected in specific Florida Keys surveys [Precht et al., 2002, J. W. Porter et al., 2001, Wilkinson, 1998], nor in our own analysis. Needless to say, there is no lack of confounding factors, with one option being environmental degradation over time [Gardner et al., 2003] and stresses imposed by temperature as evident in Figure 1b and Figure 1c.

Prior work has attributed the dramatic losses in coral between 1998 and 1999 in 399 Figure 1b and Figure 2d to elevated temperatures during the El Niño event of 1997-1998 400 [Wilkinson, 1998, J. W. Porter et al., 2001], potentially exacerbated by Hurricane Georges. 401 J. W. Porter et al. [2001] suggests that the El Niño event contributed to losses in corals 402 by aiding the spread of disease in Acropora palmata within the Florida Keys, and Wilkin-403 son [1998] emphasizes the role of El Niño in causing bleaching-based mortality in the Keys 404 over that of the hurricane. Most importantly, these branching/finger corals experience 405 damage, but then do not recover in the time period studied, as shown in Figure 2d. A 406 similar loss in stony corals is evident in the bottom panel of Figure 1b as a result of the 407 El Niño event from 2014-2016. 408

On the other side of the temperature gauge, the freezing event of 2010 presented 409 a type of loss that Lirman et al. [2011], Colella et al. [2012] contends would be partic-410 ularly difficult to recover from due to its effects on certain slow-growing massive corals 411 in patch reefs. Though less vulnerable to hurricane impacts, massive corals have a very 412 slow growth rate (e.g. *Porites astreoides* has a growth rate of about 3 mm/yr compared 413 to Acropora's near 80 mm/yr [Gladfelter et al., 1978]). As a result, even without con-414 sidering the massive corals susceptibility to disease and cold temperatures, their abil-415 ity to improve and repair a reef structure is limited when compared to their branching 416 counterparts. As a result, recovery may require more time, possibly causing regime shifts 417 or potentially adverse consequences for biodiversity. 418

While not included in the current formulation of our fragility model due to the lack of data, the overall health of a coral reef could be integrated in an improved fragility model through a quality factor. Quality factors are commonly used in fragility models for engineered structures to capture the gradual decay in structural integrity over time, in the absence of investments into repair [Baker et al., 2021]. In the context of a fragility model for the coral reefs in the Florida Keys and Puerto Rico, a quality factor could capture the evolving health of the coral reefs as they are subject to stresses from El Niño events and freezing events. Due to limited quantitative data, we are currently unable to estimate this quality factor. Importantly, the inclusion of this quality factor could help quantify the risk-mitigation benefits of coral restoration efforts and demonstrate the long-term
value of these initiatives, particularly in light of the possibility that the proportion of
intense hurricanes might be increasing due to climate change [Knutson et al., 2020].

Ultimately, the goal of a fragility function for coral reefs is to be general and serve 431 as pragmatic framework for estimating likely damage, to inform investment planning and 432 risk management decision-making for coral reef natural defenses. Without a simple and 433 intuitive model in place that fits into the framework of engineered structures, risk man-434 agers may continue to look at nature-based risk-mitigation techniques in the style of a 435 coral reef with ambivalence. We deliver a suggested starting point for an ongoing sci-436 entific conversation of how to best capture the fragility of coral reefs and, eventually, other 437 nature-based features for integration into the cost-benefit analyses that inform flood-mitigation 438 decisions. 439

440 Code Availability

Python notebooks with the data used to generate the figures and analysis is available at the link http://cees-gitlab.stanford.edu/sigma/coral-reef-fragility
-to-hurricanes, or at the archive 10.5281/zenodo.7250855.

444 Author Contributions

we use the CRediT System to clarify each author's contributions to this work. All
authors contributed to the Conceptualization and Review/Editing. IM: Methodology,
Software, Formal Analysis, Investigation, Data Curation, Writing of the Original Draft,
and Visualization. AM: Methodology, Data Curation. ML: Methodology, Data Curation
using WAVEWATCH III. JS: Methodology, Formal Analysis, Writing of the Original Draft,
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