ENVIRONMENTAL RESEARCH

PAPER • OPEN ACCESS

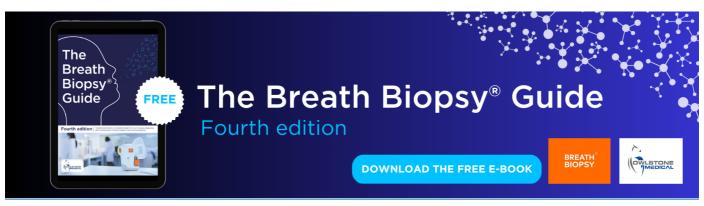
The impact of the permafrost carbon feedback on global climate

To cite this article: Kevin Schaefer et al 2014 Environ. Res. Lett. 9 085003

View the article online for updates and enhancements.

You may also like

- Climate change impacts and adaptation to permafrost change in High Mountain Asia: a comprehensive review Prashant Baral, Simon Allen, Jakob F Steiner et al.
- Mapping and understanding the vulnerability of northern peatlands to permafrost thaw at scales relevant to community adaptation planning C Gibson, K Cottenie, T Gingras-Hill et al.
- <u>Revisiting permafrost carbon feedback and</u> <u>economic impacts</u> Yang Zhu, Kang Wang, Wenxian Jiao et al.



This content was downloaded from IP address 18.119.135.202 on 24/04/2024 at 23:50

Environ. Res. Lett. 9 (2014) 085003 (9pp)

The impact of the permafrost carbon feedback on global climate

Kevin Schaefer¹, Hugues Lantuit^{2,7}, Vladimir E Romanovsky^{3,4}, Edward A G Schuur⁵ and Ronald Witt⁶

¹National Snow and Ice Data Center, Cooperative Institute for Research in Environmental Sciences, University of Colorado, Boulder, Colorado, USA

² Alfred Wegener Institute for Polar and Marine Research, Telegrafenberg A43 Potsdam, D-14473, Germany

³ Geophysical Institute, University of Alaska Fairbanks, 903 Koyukuk Drive, Fairbanks, Alaska, USA
⁴ Earth Cryosphere Institute, Tyumen, Russian Federation

⁵ Department of Biology, University of Florida, Gainesville, Florida, USA

⁶ Division of Early Warning and Assessment, United Nations Environmental Programme, PO Box

30552-00100, Nairobi, Kenya

⁷ University of Potsdam, Potsdam, Germany

E-mail: kevin.schaefer@nsidc.org, Hugues.Lantuit@awi.de, veromanovsky@alaska.edu, tschuur@ufl.edu and Ron.Witt@grid.unep.ch

Received 14 March 2014, revised 29 June 2014 Accepted for publication 1 July 2014 Published 15 August 2014

Abstract

Degrading permafrost can alter ecosystems, damage infrastructure, and release enough carbon dioxide (CO_2) and methane (CH_4) to influence global climate. The permafrost carbon feedback (PCF) is the amplification of surface warming due to CO_2 and CH_4 emissions from thawing permafrost. An analysis of available estimates PCF strength and timing indicate 120 ± 85 Gt of carbon emissions from thawing permafrost by 2100. This is equivalent to $5.7 \pm 4.0\%$ of total anthropogenic emissions for the Intergovernmental Panel on Climate Change (IPCC) representative concentration pathway (RCP) 8.5 scenario and would increase global temperatures by 0.29 ± 0.21 °C or $7.8 \pm 5.7\%$. For RCP4.5, the scenario closest to the 2 °C warming target for the climate change treaty, the range of cumulative emissions in 2100 from thawing permafrost decreases to between 27 and 100 Gt C with temperature increases between 0.05 and 0.15 °C, but the relative fraction of permafrost to total emissions increases to between 3% and 11%. Any substantial warming results in a committed, long-term carbon release from thawing permafrost with 60% of emissions occurring after 2100, indicating that not accounting for permafrost emissions risks overshooting the 2 °C warming target. Climate projections in the IPCC Fifth Assessment Report (AR5), and any emissions targets based on those projections, do not adequately account for emissions from thawing permafrost and the effects of the PCF on global climate. We recommend the IPCC commission a special assessment focusing on the PCF and its impact on global climate to supplement the AR5 in support of treaty negotiation.

Keywords: permafrost carbon feedback, permafrost, global climate

1. Introduction

Content from this work may be used under the terms of the Creative Commons Attribution 3.0 licence. Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

Permafrost soils contain ~1700 gigatonnes (Gt) of carbon in the form of frozen organic matter, nearly twice as much carbon than is currently in the atmosphere (Tarnocai *et al* 2009). Half of the frozen organic matter lies in the top 3 m of permafrost and the rest is in highly localized deposits

1

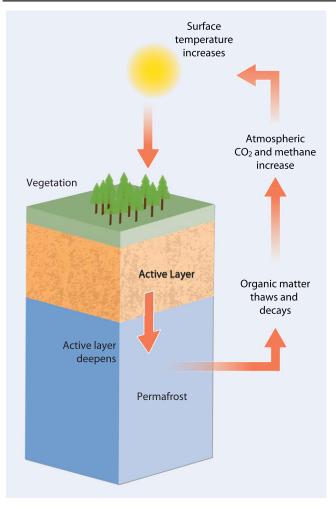


Figure 1. The permafrost carbon feedback (PCF) is an amplification of surface warming due to the thaw of organic material currently frozen in permafrost, which will then decay and release CO_2 and CH_4 into the atmosphere.

that can extend down to 30 m depth (Tarnocai *et al* 2009). Plant remains and other organic material was buried and frozen into permafrost during or since the last ice age by dust deposition, sedimentation in flood plains and peat development on time scales of decades to millennia (Zimov *et al* 2006a, 2006b, Schuur *et al* 2008). Vertical mixing of soil during repeated freeze/thaw cycles accelerated the burial process (Schuur *et al* 2008). Nearly all the frozen organic matter consists of plant remains (roots, stems and leaves) and partially decayed plant organic material. Decay essentially stops once the soil is frozen, so this organic matter has been preserved, frozen in permafrost, for thousands of years.

The permafrost carbon feedback (PCF) is the amplification of anthropogenic warming due to carbon emissions from thawing permafrost. If temperatures rise and permafrost thaws, the organic material will also thaw and begin to decay, releasing carbon dioxide (CO₂) and methane (CH₄) into the atmosphere and amplifying the warming due to anthropogenic greenhouse gas emissions (figure 1) (Zimov *et al* 2006b, Schuur *et al* 2009, 2013). Thermokarst lakes are especially effective in inducing rapid thaw of permafrost, with subsequent release of substantial amounts of CH_4 (Walter *et al* 2007), which is 33 times more effective a greenhouse gas than CO_2 (Shindell *et al* 2009). The release of CO_2 and CH_4 from thawing permafrost will amplify global warming due to anthropogenic greenhouse gas emissions and further accelerate permafrost degradation. Warmer conditions and increased atmospheric CO_2 will enhance plant growth that will remove some CO_2 from the atmosphere (Friedlingstein *et al* 2006), but this may only partially compensate for the much greater carbon losses from thawing permafrost. The PCF is irreversible on human time scales because in a warming climate, the burial mechanisms described above slow down or stop, so there is no way to convert CO_2 into organic matter and freeze it back into the permafrost.

There are few published estimates that quantify CO_2 and CH_4 emissions from thawing permafrost, making it difficult to evaluate the effects of the PCF on global climate. Here we perform a detailed meta-analysis of currently published projections of future permafrost degradation and associated emissions of CO_2 and CH_4 to better quantify how the PCF influences global climate. We then evaluate how the PCF influences the negotiations of anthropogenic emissions targets (Schaefer *et al* 2012).

2. Impacts of thawing permafrost

2.1. Current permafrost status

The Global Terrestrial Network for Permafrost (GTN-P) monitors permafrost status and degradation (figure 2). The GTN-P consists of two global networks to monitor permafrost: the thermal state of permafrost (TSP) and the Circumpolar Active Layer Monitoring (CALM) networks. The TSP network measures permafrost temperature at multiple depths at 860 borehole sites (Brown et al 2010, Romanovsky et al 2010a). The CALM network measures active layer thickness (ALT) or maximum annual thaw depth at 260 sites either mechanically using a probe, or electronically with a vertical array of temperature sensors (Brown et al 2000, Streletskiy et al 2008, Shiklomanov et al 2010). Permafrost temperature and ALT were both identified as essential climate variables for monitoring the state of the cryosphere and global climate by the Global Climate Observing System and the Global Terrestrial Observing System. The International Permafrost Association currently coordinates international development and operation of the TSP and CALM networks. Logistical support costs restrict TSP and CALM sites to areas with reasonable access by truck, plane or boat, resulting in a clustering of sites along roads, rivers, and the Arctic coastline. The number of sites in the GTN-P has doubled in the past decade, but coverage is sparse in discontinuous permafrost zones where we expect the greatest permafrost degradation (Anisimov et al 2007).

Observations from the TSP network indicate rising permafrost temperatures over the last several decades. Coastal sites show continuous warming since the 1980s and in Alaska this warming trend has propagated south from the Arctic coast

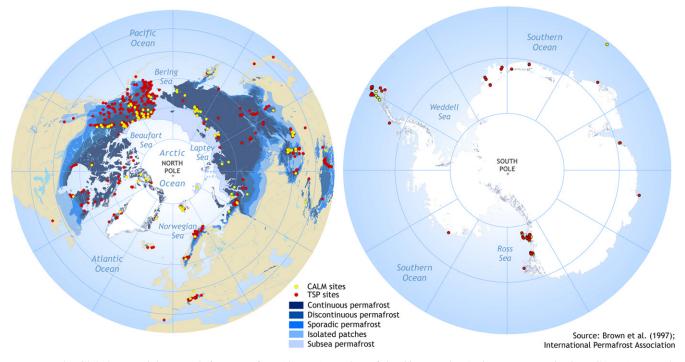


Figure 2. The Global terrestrial Network for Permafrost (GTN-P) consists of the Circumpolar Active Layer Monitoring (CALM) network, which measures ALT, and the thermal state of permafrost (TSP) network, which measures permafrost temperature.

towards the Brooks Range, with statistically significant warming in the upper 20 m of permafrost since 2008 (Romanovsky *et al* 2011, 2012). Northern Russia and Northwest Canada show increases in permafrost temperature similar in magnitude to those in Alaska during the last 30–35 years (Drozdov *et al* 2008, Oberman 2008, Romanovsky *et al* 2010b, Smith *et al* 2010). The same pattern repeats across the Arctic with coastal sites warming faster than more southerly sites (Romanovsky *et al* 2010a).

Trends in ALT from the CALM network are less conclusive, with some sites showing increases and others showing no trend at all. ALT has increased on the Qinghai-Tibet Plateau and in the Russian European North, but not in West Siberia (Mazhitova 2008, Vasiliev *et al* 2008, Wu and Zhang 2010, Zhao *et al* 2010). Although ALT has increased in the Alaskan and Canadian interior, there is no obvious trend near the Arctic coastline (Streletskiy *et al* 2008, Shiklomanov *et al* 2010, Smith *et al* 2009, 2010, Burn and Kokelj 2009). The melting of excess ground ice can produce long-term trends in surface subsidence indicative of permafrost degradation even if the observed ALT show no consistent trends (Liu *et al* 2010, 2012, Shiklomanov *et al* 2013).

2.2. Permafrost in the future

Permafrost degradation in response to warming starts with increases in ALT followed by talik formation. As temperatures rise, the simulated ALT increases and eventually, the active layer becomes too deep to completely refreeze during winter, forming a talik (Sazonova *et al* 2004, Schaefer *et al* 2011). The southern margins of northern hemisphere permafrost regions have the warmest permafrost and will see

the greatest talik formation (Zhang *et al* 2008b). Eventually, the permafrost will become patchy and then disappear, and the boundaries of continuous and discontinuous permafrost will move north. Although near-surface permafrost in the top few meters of soil may disappear, deeper permafrost may persist for many years or even centuries. Over time the remaining permafrost will contract around the coldest regions in the Northern hemisphere, Northern Siberia and the islands of Northeast Canada, where the permafrost is most resistant to thaw.

Projections indicate ALT will increase and the areal extent of near-surface permafrost will decrease, but show a wide range in projected permafrost degradation. Table 1 shows projections of permafrost degradation for various future emissions scenarios defined for the Intergovernmental Panel on Climate Change (IPCC) Fourth Assessment Report (AR4) and Fifth Assessment Report (AR5). Studies highlighted in bold also included estimates of PCF strength and timing (see table 2 below). The current simulated permafrost area varied by a factor of two between models and the mean loss of permafrost area by 2100 was $52 \pm 23\%$. Much of the spread in estimated permafrost degradation resulted from assuming different emissions scenarios and associated warming, but even models assuming the same scenario show a large spread in projected permafrost degradation.

The spread between models resulted from differences in how they represented snow processes, soil organic matter, and associated soil and snow thermodynamic properties (Koven *et al* 2013). Snow in winter is very insulating, resulting in permafrost temperatures that are usually several degrees warmer than the air temperature (Zhang 2005, Schaefer *et al* 2009). Most of the spread between models resulted from Environ. Res. Lett. 9 (2014) 085003

Table 1. Predicted	permafrost degradation by	2100 arranged in order	of increasing loss of	f permafrost area.
--------------------	---------------------------	------------------------	-----------------------	--------------------

Study	Decrease in perma- frost area (%)	Initial permafrost area (×10 ⁶ km ²)	2100 permafrost area (×10 ⁶ km ²)	Increase in active layer (cm)	IPCC scenario	Domain
Zhang et al (2008b)	17.4±1.5	na	na	190–500	A2	Canada
Zhang et al (2008a)	20.5-24.0	na	na	30-80	A2	Canada
Euskirchen et al (2006)	26 ± 1^{a}	21.7	16.1 ± 0.2	na	A1B	No. hem.
Koven <i>et al</i> (2011)	30	14	9.8	30–60 ^a	A2	No. hem.
Schaefer et al (2011)	30 ± 10	12.5	7.6 ± 1.3	56–92	A1B	No. hem.
Koven et al (2013)	32 ± 45^{a}	14.4	8.9 ± 6.5	na	RCP8.5	No. hem.
Marchenko et al (2008)	53 ^a	1.3	0.6	162 ^b	A1B	Alaska
Schuur et al (2013)	55 ± 5^{a}	15.3	6.9 ± 0.8	na	RCP8.5	No. hem.
MacDougall et al (2012)	56±3	15.8	7 ± 0.5	na	RCP8.5	Global
Schneider von Deimling <i>et al</i> (2012)	57 ± 20	na	na	na	RCP8.5	No. hem.
Saito <i>et al</i> (2007)	60	18.1	7.3	50-300	A1B	No. hem.
Burke <i>et al</i> (2012)	65	23.8	8.5	59	RCP8.5	No. hem.
Lawrence et al (2012)	72	12.5	3.5	na	A2	No. hem.
Eliseev et al (2009)	80 ± 7^{a}	21.0	4.2 ± 1.4	100–200	A2	No. hem.
Lawrence et al (2008)	85 ± 2^a	10.7	1.6 ± 0.2	50-300	A1B	No. hem.
Lawrence and Sla- ter (2005)	90 ± 2^{a}	10.5	1.0 ± 0.2	50-300	A2	No. hem.

^a Calculated from numbers or tables in text.

[°] Calculated from estimated trends.

differences in how they represented snow and associated insulating effects on soil temperature (Koven et al 2013). The surface organic layer is very insulating, especially when it dries in the summer, and tends to slow thawing of the active layer (Williams and Smith 1989). Models with no organic soil layer simulated deeper active layers and less permafrost than currently observed and were more sensitive to permafrost degradation in response to future climate change (Koven et al 2013). In addition, none of these models account for melting of excess ground ice ubiquitous in many permafrost regions, which will slow permafrost degradation due to latent heat effects (Burn and Nelson 2006). A more detailed evaluation of the AR5 models against observed permafrost temperatures and ALT will help better isolate how to improve simulated permafrost dynamics. However, improvements in projections of permafrost degradation should focus on improving the representation of the soil organic layer, snow processes, and excess ground ice.

Although the models vary widely, they all agree that permafrost degradation will occur in the future, resulting in substantial changes to the landscape due to abrupt changes in soil physical properties and hydrology. Expensive and extensive damage to buildings, roads, and other key infrastructure can occur quickly once permafrost begins to thaw, impacting national and regional budget planning and public services. However, there are very few studies and reports that quantify the risks, costs and mitigation associated with property and infrastructure damage due to permafrost degradation.

2.3. The PCF

There are currently 14 published estimates of CO_2 and CH_4 emissions from thawing permafrost and impacts of the PCF on global temperature (table 2). All but three of the projections in table 2 are based on the IPCC AR5 representative concentration pathway (RCP)8.5 scenario, or its equivalent in the AR4, the A2 scenario. The methods used to estimate permafrost carbon flux vary: nine estimates are based on models, three on observations (Dutta *et al* 2006, Schuur *et al* 2009, Harden *et al* 2012), one on qualitative risks (Gruber *et al* 2004), and one on an expert solicitation (Schuur *et al* 2013). The Burke *et al* (2013) estimate is an ensemble average of emissions estimates based on changes in

Study	2100	Permafrost car- bon emissions (Gt C)2200	2300	Flux uncer- tainty (%)	Temperature increase (K)2100	Initial carbon stock (Gt C)	Permafrost area loss (%)2100	Scenario
Zhuang <i>et al</i> (2006) ^b	37 (46)	na ^c	na	3%	na	na		A2
Dutta <i>et al</i> (2006)	40 (50)	na	na	na	na	460		5 °C Siberia
Burke et al (2013)	50 (62) ^e	na	99 (124) ^e	41%	na	850	76 ± 20	RCP8.5
Koven et al (2011)	62 (78)	na	na	11%	na	504	30	A2
Schneider von Deimling <i>et al</i> (2012)	63 (79)	302 (378)	380 (476)	16%	0.13 ± 0.10	800	57 ± 20	RCP8.5
Schuur <i>et al</i> $(2009)^{b}$	85 (107)	na	na	15%	na	818		A2
Schaphoff et al [2013]	98 (122)	na	226 (283) ^b	23%	na	952	24	5 °C global
Gruber et al (2004)	100 (125)	na	na	na	na	400		2 °C global
Schaefer et al (2011)	104 (130)	190 (238)	na	36%	na	313	30 ± 10	A1B
Burke et al (2012)	150 (188)	na	na	67%	0.22 ± 0.14	951	65	RCP8.5
Schuur et al (2013)	158 (198)	na	345 (432)	24%	na	1488	$55 \pm 5^{\mathrm{a}}$	RCP8.5
MacDougall et al (2012)	174 (218)	na	na	61%	0.27 ± 0.16	1026	56 ± 3	RCP8.5
Harden et al (2012)	218 (273) ^e	na	436 (546) ^e	85%	na	1060	74	RCP8.5
Raupach and Canadell (2008) ^d	347 (435)	na	na	na	0.7	500		A2

 $^{\circ}$ CO₂ equivalent calculated assuming 2.3% of total emissions is CH₄ (Schuur *et al* 2013) and a global warming potential of 33 (Shindell *et al* 2009). ^b Calculated from rates in the paper.

Not available.

^d Calculated from a predicted atmospheric concentration assuming 0.4606 ppm Gt C^{-1} and half of all emissions stay in the atmosphere (Schaefer *et al* 2011).

^e Assumes half of the estimated committed carbon is respired by 2100 and the rest by 2300.

permafrost extent from 17 global climate projections from AR5. Four of the model projections include estimates of global temperature increases due to emissions from thawing permafrost: three based on simulated climate sensitivities (Schneider von Deimling *et al* 2012, Burke *et al* 2012, Raupach and Canadell 2008) and one using a fully coupled land–ocean–atmosphere model (MacDougall *et al* 2012). All four of the estimates of temperature increase account for subsequent uptake of permafrost emissions by the terrestrial biosphere and the ocean. Except for MacDougall *et al* (2012), none of the projections represent the complete or 'closed' feedback loop on global temperature, where emissions from thawing permafrost influence air temperature and the simulated permafrost thaw rate.

The ensemble average of estimated cumulative emissions from thawing permafrost by 2100 is 120 ± 85 Gt C and the median is 100 Gt C, but the spread in flux estimates is as broad as seen in table 1. Five of the studies include estimates of CH₄ as well as CO₂ emissions, but for consistency, we calculated all CO₂ equivalents assuming 2.3% of the emissions from thawing permafrost will be CH₄ (Schuur *et al* 2013) and a global warming potential of 33 (Shindell *et al* 2009). The average of estimated uncertainties from individual studies is 54% or ±66 Gt C, but a more realistic estimate is 72% or ±85 Gt C based on the standard deviation of the model ensemble. Enhanced plant growth currently removes roughly one-quarter of all anthropogenic CO₂ emissions, and projections indicate a cumulative land uptake by 2100 of approximately 160 Gt C (Friedlingstein *et al* 2006). The PCF estimates in table 2 indicate emissions from thawing permafrost could cancel out 19%–100% of this global land uptake of CO₂ emissions.

The large spread in cumulative flux estimates in 2100 resulted primarily from differences in simulated permafrost thaw rates, organic matter decay rates, and, to a lesser extent, differences in assumed initial stock of frozen carbon. Models

assumed different amounts of initial frozen carbon, which determined the theoretical upper limit on the cumulative flux in 2100. However, the change in permafrost area determined the amount of thawed organic matter and the simulated flux. The correlation between estimated cumulative flux and the permafrost area in 2100 is 0.9, which is statistically significant at 95% confidence using a two-tailed Student *t*-test.

Essentially, the factors described above that determine the projected areal loss of permafrost in 2100 also determine the cumulative flux from thawing permafrost. However, the simulated temperature sensitivity of organic matter decay plays a role as well. For example, the Raupach and Canadell (2008) cumulative flux in 2100 is double the next lowest estimate not because of the simulated loss of permafrost area, which is comparable to the other estimates, but because their model did not shut down respiration when the organic matter refreezes in winter, resulting in relatively fast decay and a large cumulative flux. Consequently, improving how models represent the effects of soil organic matter, snow processes, excess ground ice, initial frozen carbon, and decay temperature sensitivity will improve estimates of emissions from thawing permafrost.

We may be committed to long-term CO₂ and CH₄ emissions from thawing permafrost that will influence the climate system for centuries (Schaefer et al 2011, Burke et al 2012, Schaphoff et al 2013, Schuur et al 2013). The decay of thawed organic material is slow in permafrost regions because the soil will always be cold and wet in summer and periodically refreeze in winter (Koven et al 2011, Schaefer et al 2011, Schneider von Deimling et al 2012). Schaefer et al (2011) simulated a characteristic carbon turnover time of ~75 years, indicating it would take ~150 years for 95% of the thawed organic matter to decay away. Also, permafrost and additional organic matter will continue to thaw for decades or even centuries after warming stops (Schaefer et al 2011). The six long-term estimates in table 2 indicate that $\sim 60\%$ of the cumulative emissions from thawing permafrost will occur after 2100. Future studies should extend their projections to 2200 or even 2300 to evaluate the longterm impacts of the PCF on global climate.

Using the model results summarized here, we estimate that the PCF will increase the global average surface air temperature by 0.29 ± 0.21 °C in 2100. To make this estimate, we first calculated the regression of global temperature increase as a function of cumulative flux for the four estimates in table 2 that include temperature impacts: $0.0019 \circ C \text{ Gt } C^{-1}$. Although the four studies used different estimates of climate sensitivity, this regression has an r^2 of 0.95 and is statistically significant at 95% confidence using a two-tailed Student ttest. These four estimates account for subsequent terrestrial and ocean uptake of CO2 from thawing permafrost, so this regression represents the temperature impacts of net carbon emissions from permafrost. Multiplying by the ensemble average cumulative emissions of 120 ± 85 Gt C gives a temperature increase of 0.23 ± 0.17 °C in 2100, consistent with temperature increases due to historical anthropogenic emissions (IPCC 2013). Using the CO_2 equivalent emissions increases this to 0.29 ± 0.21 °C by 2100, indicating CH₄ emissions from thawing permafrost would increase global temperatures by 0.06 ± 0.05 °C in 2100. The assumed cumulative anthropogenic emissions for RCP8.5 is ~2100 Gt C in 2100 (IPCC 2013), so the PCF would increase emissions by $5.7 \pm 4.0\%$. The projected increase in global average air temperature for RCP8.5 is 3.7 ± 1.1 °C in 2100 (IPCC 2013), so the PCF would increase global temperatures by $7.8 \pm 5.7\%$.

Our simple estimate of a 0.06 ± 0.05 °C increase in global temperature in 2100 due to CH₄ emissions from thawing permafrost is consistent with other published estimates based on more sophisticated models. We estimate that CH₄ emissions will contribute 21% of the total warming due to the PCF while Schneider von Deimling et al (2012) and Burke et al (2012) estimate CH_4 will contribute 10% and 25% respectively, corresponding to 0.013 °C and 0.055 °C in 2100. Anisimov (2007) estimated a global temperature increase of 0.012 °C and Gao et al (2013) estimated an increase of 0.1 °C in 2100 due to CH₄ releases from thawing peatlands and wetlands. Anisimov (2007) and Gao et al (2013) did not include CO₂ emissions, but comparing to our ensemble average of 0.29 ± 0.21 °C indicates their estimates would contribute 4% and 34% of the total warming due to the PCF respectively. All these are less than the estimated 30-50% based on an expert solicitation (Schuur et al 2013). An ensemble average of these estimates indicates that CH₄ emissions from thawing permafrost will contribute no more than 0.05 ± 0.04 °C or ~16% of the warming due to the PCF in 2100 and represents no more $\sim 1\%$ of the warming due to anthropogenic emissions.

There are large sources of uncertainty in these PCF estimates that need to be quantified and reduced. The simulated permafrost extent and the loss of permafrost area for a given warming scenario is the largest source of uncertainty in these projections (Koven et al 2013). Differences in the assumed IPCC scenario and associated warming rates and the exact amount of frozen organic matter are also large sources of uncertainty. These estimates also do not account for processes that could either enhance or reduce emissions from thawing permafrost. For example, these estimates do not account for either potential enhanced peat growth, which would compensate for permafrost emissions (Camill et al 2001), or the development of thermokarst features and thermal erosion, which would accelerate permafrost emissions. Some of the thawed organic matter will be dissolved into the ground water and carried off into lakes and oceans, but how much would be buried in deep water and how much would be oxidized and released into the atmosphere as CO₂ and CH₄ is not known.

The PCF should influence the negotiation of emissions reductions in the international treaty to address global climate change. The treaty currently under negotiation to replace the 1997 Kyoto Protocol focuses on a target warming of 2 °C above pre-industrial temperatures by 2100 (UNEP 2011). When adopted and ratified, this treaty would succeed the 1997 Kyoto Protocol and place limits on anthropogenic greenhouse gas emissions for each country. The estimates in table 2 are on par with the differences in the total greenhouse gas emissions between RCP scenarios, so the long-term climate

after 2100 will be determined by both permafrost and anthropogenic greenhouse gas emissions. The IPCC scenario closest to the 2 °C warming target is RCP4.5, corresponding to an upper limit on anthropogenic emissions of ~900 Gt (IPCC 2013). Most of the estimates in table 2 correspond to RCP8.5, but, fortunately, both Schneider von Deimling et al (2012) and Burke et al (2013) ran projections for the RCP4.5 and estimated permafrost emissions by 2100 of 27 and 100 Gt C and temperature increases of 0.05 and 0.15 °C in 2100 respectively. Based on these two estimates, the PCF would account for 3%-11% of the total allowed emissions, indicating the relative importance of the PCF is greater under scenarios of lower anthropogenic emissions. If we assume 60% of committed permafrost emissions will occur after 2100, these numbers increase to 9%-33% of total allowed emissions, indicating that failure to account for CO2 and CH4 emissions from thawing permafrost in the treaty may result in overshooting the 2 °C warming target.

 CO_2 and CH_4 emissions from thawing permafrost will also complicate treaty verification. Verification of emission reductions will involve a combination of emissions reported by individual countries confirmed by estimates of actual emissions derived from models using direct measurements of atmospheric greenhouse gas concentrations. Many countries already have infrastructure to measure atmospheric greenhouse gases and estimate regional emissions, such as the Carbon Tracker system in the United States (Peters *et al* 2005). However, it is not clear whether this infrastructure can detect emissions from thawing permafrost and distinguish them from anthropogenic greenhouse gas emissions.

Treaty negotiators will use the climate projections in AR5 to help negotiate emissions targets, but none of these projections include the effects of the PCF. Participating model teams had to stop new model development in 2009 in order to meet AR5 deadlines, before the scientific community fully realized the potential effects of the PCF on global climate and too late to incorporate PCF dynamics into their models. The AR5 sections on permafrost and the global carbon cycle evaluate our current knowledge of the PCF, but the PCF is not included in the climate projections (IPCC 2013). Most models in the AR5 simulate carbon cycle dynamics in the active layer with varying degrees of success (Todd-Brown et al 2013), but none of them include deep, frozen carbon in the permafrost below the active layer. The simulated carbon fluxes into the atmosphere are biased low because they do not account for the decay of carbon that thaws as the simulated permafrost degrades. Atmospheric CO₂ concentrations are prescribed for each RCP based only on fossil fuel emissions and do not include emissions from thawing permafrost. Other key reports, such as the Global Outlook for Ice and Snow commissioned by UNEP and the Snow, Water, Ice, and Permafrost in the Arctic assessment commissioned by the Arctic Monitoring and Assessment Programme mention CO₂ and CH₄ emissions from thawing permafrost, but do not quantify how these emissions influence global climate. Since none of the models participating in the AR5 include thawing of deep, frozen carbon as permafrost degrades, all climate projections in AR5 are biased low relative to global temperature and all K Schaefer et al

emissions targets based on those projections would be biased high.

We recommend the IPCC prepare a special assessment or similar report on CO2 and CH4 emissions from thawing permafrost suitable to supplement the AR5 in support climate change policy discussions and treaty negotiations (Schaefer et al 2012). The special assessment would require new simulations that evaluate future permafrost degradation, estimate potential CO₂ and CH₄ emissions from thawing permafrost, identify key unknowns, and quantify uncertainty. Most importantly, the IPCC should assess the potential effects of permafrost CO₂ and CH₄ emissions from thawing permafrost on global temperatures in 2100 to support treaty negotiations and in 2300 to evaluate the effect of committed emissions on long-term global climate. An IPCC special assessment on permafrost degradation and the PCF would complement the AR5 and provide international community with the scientific information required to negotiate anthropogenic emissions targets for the climate change treaty.

3. Conclusions

Degrading permafrost can alter ecosystems, damage infrastructure, and release enough CO_2 and CH_4 to initiate the PCF and influence global climate. Available estimates of the PCF indicate 120±85 Gt of carbon emissions from thawing permafrost by 2100. This is equivalent to $5.7 \pm 4.0\%$ of total anthropogenic emissions for the RCP8.5 scenario and would increase global temperatures by 0.29 ± 0.21 °C or $7.8 \pm 5.7\%$. For RCP4.5, the scenario closest to the 2 °C warming target for the climate change treaty, the range of cumulative emissions in 2100 from thawing permafrost decreases to between $27\,$ and $\,100\,Gt\,C\,$ and the impact on temperature to between 0.05 and 0.15 °C, but the relative fraction of permafrost to total emissions increases to between 3% and 11%. Projections indicate 60% of the permafrost emissions will occur after 2100, indicating that not accounting for permafrost emissions risks overshooting the 2 °C warming target. AR5 climate projections, and any emissions targets based on those projections, do not include the PCF. Consequently, we recommend the IPCC commission a special assessment focusing on the PCF and its impact on global climate to support treaty negotiation.

Acknowledgements

This article was funded by NASA under grant NNX10AR63G, by NOAA under grant NA09OAR4310063, by the NSF Permafrost Carbon Research Coordination Network under Grant 955713 and under AON Grant ARC-0856864, and by the United Nations Environmental Programme, Division of Early Warning and Assessment.

References

- Anisimov O A 2007 Potential feedback of thawing permafrost to the global climate system through methane emission *Environ. Res. Lett.* **2** 045016
- Anisimov O A, Lobanov V A, Reneva S A, Shiklomanov N I, Zhang T and Nelson F E 2007 Uncertainties in gridded air temperature fields and effects on predictive active layer modeling J. Geophys. Res. 112 F02S14
- Brown J, Hinkel K M and Nelson F E 2000 The circumpolar active layer monitoring (CALM) program: research designs and initial results *Polar Geogr.* 24 165–258
- Brown J, Kholodov A, Romanovsky V, Yoshikava K, Smith S H, Christiansen H, Viera G and Noetzli J 2010 The thermal state of permafrost: the IPY-IPA snapshot (2007–2009) *Proc.* 63rd *Canadian Geotechnical Conf. and 6th Canadian Permafrost Conf.* p 6
- Burke E J, Hartley I P and Jones C D 2012 Uncertainties in the global temperature change caused by carbon release from permafrost thawing *Cryosphere* **6** 1063–76
- Burke E J, Jones C D and Koven C D 2013 Estimating the permafrost-carbon climate response in the CMIP5 climate models using a simplified approach J. Clim. 26 4897–909
- Burn C R and Kokelj S V 2009 The environment and permafrost of the mackenzie delta area *Permafrost Periglacial Process*. 20 83–105
- Burn C R and Nelson F E 2006 Comment on 'a projection of severe near-surface permafrost degradation during the 21st century' by David M Lawrence and Andrew G Slater *Geophys. Res. Lett.* 33 L21503
- Camill P, Lynch J A, Clark J S, Adams J D and Jordan B 2001 Changes in biomass, aboveground net primary production, and peat accumulation following permafrost thaw in the boreal peatlands of Manitoba, Canada *Glob. Biogeochem. Cycles* 4 461–78
- Drozdov D S, Malkova G V and Melnikov V P 2008 Recent advances in Russian geocryological research: a contribution to the international polar year *Proc. Ninth Int. Conf. Permafrost* **1** 379–84
- Dutta K, Schuur E A G, Neff J C and Zimov S A 2006 Potential carbon release from permafrost soils of Northeastern Siberia *Glob. Change Biol.* **12** 2336–51
- Eliseev A V, Arzhanov M M, Demchenko P F and Mokhov I I 2009 Changes in climatic characteristics of Northern hemisphere extratropical land in the 21st century: assessments with the IAP RAS climate model *Izvestiya, Atmos. Ocean. Phys.* **45** 271–83
- Euskirchen E S *et al* 2006 Importance of recent shifts in soil thermal dynamics on growing season length, productivity, and carbon sequestration in terrestrial high-latitude ecosystems *Glob. Change Biol.* **12** 731–50
- Friedlingstein P *et al* 2006 Climate–carbon cycle feedback analysis: results from the (CMIP)-M-4 model intercomparison *J. Clim.* **19** 3337–53
- Gao X, Schlosser C A, Sokolov A, Walter Anthony K, Zhuang Q and Kicklighter D 2013 Permafrost degradation and methane: low risk of biogeochemical climate warming feedback *Environ. Res. Lett.* **8** 035014
- Gruber N, Friedlingstein P, Field C B, Valentini R, Heimann M, Richey J E, Romero-Lankao P, Schulze D and Chen C-T A 2004 The vulnerability of the carbon cycle in the 21st century: an assessment of carbon-climate human interactions *The Global Carbon Cycle: Integrating Humans, Climate, and the Natural World* ed C B Field and M R Raupach (Washington DC: Island Press) pp 45–76
- Harden J W et al 2012 Field information links permafrost carbon to physical vulnerabilities of thawing Geophys. Res. Lett. 39 L15704

- IPCC 2013 Summary for policymakers Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change ed T F Stocker, D Qin, G-K Plattner, M Tignor, S K Allen, J Boschung, A Nauels, Y Xia, V Bex and P M Midgley (Cambridge UK: Cambridge University Press)
- Koven C D, Riley W J and Stern A 2013 Analysis of permafrost thermal dynamics and response to climate change in the CMIP5 earth system models J. Clim. 26 1877–900
- Koven C D, Ringeval B, Friedlingstein P, Ciais P, Cadule P, Khvorostyanov D, Krinner G and Tarnocai C 2011 Permafrost carbon-climate feedbacks accelerate global warming *Proc. Natl. Acad. Sci. USA* **108** 14769–74
- Lawrence D M and Slater A G 2005 A projection of severe nearsurface permafrostdegradation during the 21st century *Geophys. Res. Lett.* **32** L24401
- Lawrence D M, Slater A G, Romanovsky V E and Nicolsky D J 2008 Sensitivity of a model projection of near-surface permafrost degradation to soil column depth and representation of soil organic matter *J. Geophys. Res.* **113** F02011
- Lawrence D M, Slater A G and Swenson S C 2012 Simulation of present-day and future permafrost and seasonally frozen ground conditions in CCSM4 J. Clim. 25 2207–25
- Liu L, Schaefer K, Zhang T and Wahr J 2012 Estimating 1992–2000 average active layer thickness on the alaskan North slope from remotely sensed surface subsidence *J. Geophys. Res.* **117** F01005
- Liu L, Zhang T and Wahr J 2010 InSAR measurements of surface deformation over permafrost on the North slope of Alaska *J. Geophys. Res.* **115** F03023
- MacDougall A H, Avis C A and Weaver A J 2012 Significant contribution to climate warming from the permafrost carbon feedback *Nat. Geosci.* **5** 719–21
- Marchenko S, Romanovsky V and Tipenko G 2008 Numerical modeling of spatial permafrost dynamics in Alaska *Ninth Int. Conf. Permafrost* pp 1–6
- Mazhitova G G 2008 Soil temperature regimes in the discontinuous permafrost zone in the East European Russian Arctic *Eurasian Soil Sci.* **41** 48–62
- Oberman N G 2008 Contemporary permafrost degradation of Northern European Russia *Proc. Ninth Int. Conf. Permafrost* **2** 1305–10
- Peters W, Miller J B, Whitaker J, Denning A S, Hirsch A, Krol M C, Zupanski D, Bruhwiler L and Tans P P 2005 An ensemble data assimilation system to estimate CO₂ surface fluxes from atmospheric trace gas observations J. Geophys. Res. 110 D24304
- Raupach M and Canadell J G 2008 Observing a vulnerable carbon cycle *The Continental-Scale, Greenhouse Gas Balance of Europe* ed A J Dolman, R Valentini and A Freibauer (New York: Springer) pp 5–32
- Romanovsky V E, Smith S L and Christiansen H H 2010a Permafrost thermal state in the polar Northern hemisphere during the international polar year 2007–2009: a synthesis *Permafrost Periglacial Process.* **21** 106–16
- Romanovsky V E et al 2010b Thermal state of permafrost in Russia Permafrost Periglacial Process. 21 136–55
- Romanovsky V E, Smith S L, Christiansen H H, Shiklomanov N I, Drozdov D S, Oberman N G, Kholodov A L and Marchenko S S 2011 *Permafrost (in Arctic Report Card 2011)* http://arctic.noaa.gov/reportcard
- Romanovsky V E, Smith S L, Christiansen H H, Shiklomanov N I, Drozdov D S, Oberman N G, Kholodov A L and Marchenko S S 2012 [The Arctic] Permafrost [in 'State of the Climate in 2011'] *Bull. Am. Meteorol. Soc.* **94** S1–238
- Saito K, Kimoto M, Zhang T, Takata K and Emori S 2007 Evaluating a high-resolution climate model: simulated hydrothermal regimes in frozen ground regions and their

change under the global warming scenario J. Geophys. Res. **112** F02S11

- Sazonova T S, Romanovsky V E, Walsh J E and Sergueev D O 2004 Permafrost dynamics in 20th and 21st centuries along the East-Siberian transect *J. Geophys. Res.* **109** D01108
- Schaefer K, Lantuit H, Romanovsky V E and Schuur E A G 2012 Policy implications of warming permafrost United Nations Environment Programme Special Report ISBN: 978-92-807-3308-2 Job Number: DEW/1621/NA
- Schaefer K, Zhang T, Bruhwiler L and Barrett A P 2011 Amount and timing of permafrost carbon release in response to climate warming *Tellus Series B: Chem. Phys. Met.* 63 165–80
- Schaefer K, Zhang T, Slater A G, Lu L, Etringer A and Baker I 2009 Improving simulated soil temperatures and soil freeze/thaw at high-latitude regions in the simple biosphere/carnegie-amesstanford approach model *J. Geophys. Res.* **114** F02021
- Schaphoff S, Heyder U, Ostberg S, Gerten D, Heinke J and Lucht W 2013 Contribution of permafrost soils to the global carbon budget *Environ. Res. Lett.* 8 014026
- Schneider von Deimling T, Meinshausen M, Levermann A, Huber V, Frieler K, Lawrence D M and Brovkin V 2012 Estimating the near-surface permafrost-carbon feedback on global *Biogeosciences* **9** 649–65
- Schuur E A G *et al* 2008 Vulnerability of permafrost carbon to climate change: implications for the global carbon cycle *Bioscience* **58** 701–14
- Schuur E A G *et al* 2013 Expert assessment of vulnerability of permafrost carbon to climate change *Clim. Change* **119** 359–74
- Schuur E A G, Vogel J G, Crummer K G, Lee H, Sickman J O and Osterkamp T E 2009 The effect of permafrost thaw on old carbon release and net carbon exchange from tundra *Nature* 459 556–9
- Shiklomanov N I, Streletskiy D A, Little J D and Nelson F E 2013 Isotropic thaw subsidence in undisturbed permafrost landscapes *Geophys. Res. Lett.* **40** 6356–61
- Shiklomanov N I, Streletskiy D A, Nelson F E, Hollister R D, Romanovsky V E, Tweedie C E, Bockheim J G and Brown J 2010 Decadal variations of active-layer thickness in moisturecontrolled landscapes, Barrow, Alaska J. Geophys. Res. 115 G00I04
- Shindell D T, Faluvegi G, Koch D M, Schmidt G A, Unger N and Bauer S E 2009 Improved attribution of climate forcing to emissions *Science* 326 716–8
- Smith S L, Romanovsky V E, Lewkowicz A G, Burn C R, Allard M, Clow G D, Yoshikawa K and Throop J 2010 Thermal state of permafrost in North America—a contribution to the international polar year *Permafrost Periglacial Process*. 21 117–35
- Smith S L, Wolfe S A, Riseborough D W and Nixon F M 2009 Active-layer characteristics and summer climatic indices, mackenzie valley, Northwest territories, Canada *Permafrost Periglacial Process.* 20 201–20

- Streletskiy D A, Shiklomanov N I, Nelson F E and Klene A E 2008 13 Years of observations at Alaskan CALM sites: long-term active layer and ground surface temperature trends *Proc. Ninth Int. Conf. Permafrost* 1 1727–32
- Tarnocai C, Canadell J G, Schuur E A G, Kuhry P, Mazhitova G and Zimov S 2009 Soil organic carbon pools in the northern circumpolar permafrost region *Glob. Biogeochem. Cycles* 23 GB2023
- Todd-Brown K E O, Randerson J T, Post W M, Hoffman F M, Tarnocai C, Schuur E A G and Allison S D 2013 Causes of variation in soil carbon simulations from CMIP5 earth system models and comparison with observations *Biogeosciences* **10** 1717–36
- UNEP 2011 Bridging the Emissions Gap. United Nations Environment Programme (UNEP)
- Vasiliev A A, Leibman M O and Moskalenko N G 2008 Active layer monitoring in west siberia under the CALM II program Proc. Ninth Int. Conf. Permafrost 2 1815–21
- Walter K M, Smith L C and Chapin F S 2007 Methane bubbling from northern lakes: present and future contributions to the global methane budget *Phil. Trans. R. Soc.* A 365 1657–76
- Williams P J and Smith M W 1989 The Frozen Earth, Fundamentals of Geocryology (New York: Cambridge University Press) p 304
- Wu Q and Zhang T 2010 Changes in active layer thickness over the qinghai-tibetan plateau from 1995 to 2007 J. Geophys. Res. 115 D09107
- Zhang T 2005 Influence of the seasonal snow cover on the ground thermal regime: an overview *Rev. Geophys.* **43** RG4002
- Zhang Y, Chen W and Riseborough D W 2008a Disequilibrium response of permafrost thaw to climate warming in Canada over 1850–2100 *Geophys. Res. Lett.* **35** L02502
- Zhang Y, Chen W and Riseborough D W 2008b Transient projections of permafrost distribution in Canada during the 21st century under scenarios of climate change *Glob. Planet. Change* **60** 443–56
- Zhao L, Wu Q, Marchenko S and Sharkhuu N 2010 Thermal state of permafrost and active layer in central Asia during the international polar year *Permafrost Periglacial Process*. 21 198–207
- Zhuang Q, Melillo J M, Sarofim M C, Kicklighter D W, McGuire A D, Felzer B S, Sokolov A, Prinn R G, Steudler P A and Hu S 2006 CO₂ and CH₄ exchanges between land ecosystems and the atmosphere in Northern high latitudes over the 21st century *Geophys. Res. Lett.* 33 L17403
- Zimov S A, Davydov S P, Zimova G M, Davydova A I, Schuur E A G, Dutta K and Chapin F S 2006a Permafrost carbon: stock and decomposability of a globally significant carbon pool *Geophys. Res. Lett.* **33** L20502
- Zimov S A, Schuur E A G and Chapin F S 2006b Permafrost and the global carbon budget *Science* **312** 1612–3