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PAPER

Tuning the order of the nonequilibrium quantum phase transition in a hybrid atom-optomechanical system

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Abstract

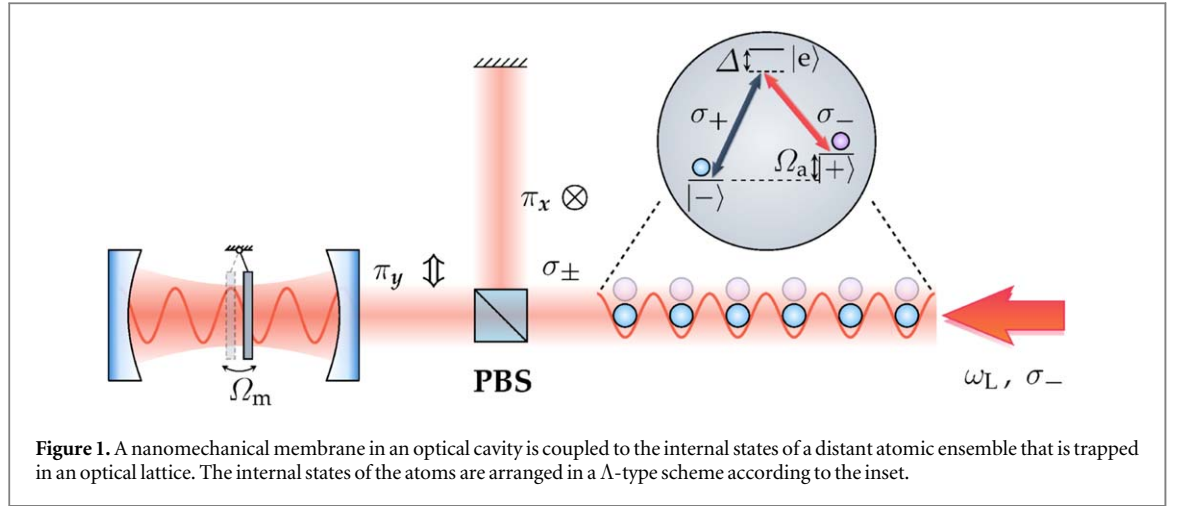
We show that a hybrid atom-optomechanical quantum many-body system with two internal atom states undergoes both first- and second-order nonequilibrium quantum phase transitions (NQPTs). A nanomembrane is placed in a pumped optical cavity, whose outcoupled light forms a lattice for an ultracold Bose gas. By changing the pump strength, the effective membrane-atom coupling can be tuned. Above a critical intensity, a symmetry-broken phase emerges which is characterized by a sizeable occupation of the high-energy internal states and a displaced membrane. The order of this NQPT can be changed by tuning the transition frequency. For a symmetric coupling, the transition is continuous below a certain transition frequency and discontinuous above. For an asymmetric coupling, a first-order phase transition occurs.

1. Introduction

Using the concept of phase transitions, a great variety of different physical systems can be classified in terms of their emergent collective behavior [1–3]. While phase transitions of both classical and quantum systems in equilibrium are by now quite well understood, the extension to nonequilibrium is a relatively new field. In particular, it is of interest to understand which equilibrium properties survive at nonequilibrium, involving both external driving and dissipation. On the other hand, novel properties may emerge in driven dissipative systems, where energy is not conserved, and the detailed balance condition and the fluctuation–dissipation theorem are no longer valid. Yet, from an experimental point of view, it is not easy to realize and control systems with a nonequilibrium phase transition, in particular when quantum fluctuations dominate over thermal effects from the environment. Currently discussed systems, which show nonequilibrium quantum phase transitions (NQPTs), are ultracold atoms in a lattice inside an optical cavity [4–8] and microcavity-polariton systems [9–11]. Laser-driving offers the unique possibility to address and switch between different phases of quantum many-body systems by tuning the pump strength.

Recently, also for hybrid atom-optomechanical quantum systems [12–16], a NQPT of second-order has been predicted [17] and a rich phase diagram has been obtained [18]. Such hybrids combine optomechanics with atom optics, as theoretically proposed [12] and later experimentally realized [13–16]. The vibrational motion of a nanomembrane in an optical cavity is coupled to the spatial motion of a distant cloud of cold ⁸⁷Rb atoms that reside in the optical lattice of the outcoupled light field. By combining different cooling mechanisms such as optical feedback cooling [15] and sympathetic cooling by utilizing the atom gas as a coolant [12–15], the nanooscillator can be cooled close to its quantum mechanical ground state. Quantum many-body effects lead to collective atomic motion with an instability [16] and a second-order NQPT [17, 18] to a state with a spatially shifted optical lattice. Besides, indirect quantum measurement, atom-membrane entanglement and coherent state transfer are in the focus of interest [19–23].

A significant drawback in the motional coupling scheme [12] is the strong frequency mismatch between the nanooscillator and the atomic motion in the optical trap which hinders resonant coupling. A decisive advance is



the use of internal atomic states instead of their spatial degree of freedom, such that this internal state coupling scheme [24] enables resonant coupling. Here, the motion of the mechanical membrane is indirectly coupled to transitions between internal states of the atoms via translating the phase shift of the light, caused by the membrane displacement, into a polarization rotation using a polarizing beam splitter (PBS). By this scheme, membrane cooling [24, 25], or a displacement-squeezed membrane [26] can be realized. The atoms can implement an effective harmonic oscillator with negative mass [27], that, in turn, can be utilized for quantum back-action evading measurements [28], enabling a high displacement sensitivity. Moreover, the collective nature of the hybrid system mediates long-range interactions in the atom gas, similar to those in a spinor dipolar Bose–Einstein condensate [29, 30].

In this work, we show that the internal state coupling scheme also allows for a NQPT, whose order can be readily tuned by changing the atomic transition frequency. Thus, both a first- and a second-order NQPT can be realized in the same physical set-up by only changing a directly accessible control parameter. We show this for the ‘membrane-in-the-middle-setup’ [24], where the adiabatic elimination of the light field yields an effective coupling between the membrane and the transition between two states in the atom gas, see figure 1. In a mean-field description, the atomic part is reduced to a single-site problem with a Gaussian ansatz for the condensate profile. Tuning the atom-membrane coupling by changing the laser intensity, the system undergoes a NQPT. We provide simple analytical expressions for the resulting critical point. Moreover, by tuning the atomic transition frequency, even the order of the phase transition can be changed from second- to first-order and vice versa. In case of a discontinuous phase transition, the system exhibits a characteristic hysteresis which can be detected by measuring the occupation of the internal states of the atom gas. Throughout this work, we assume natural units and consequently set $\hbar = c = 1$.

2. Model and adiabatic elimination of the light field

We consider an ensemble of N ultracold ^{87}Rb atoms placed in an external optical lattice. The atoms exhibit three relevant internal states $\tau \in \{+, -, e\}$ that are arranged in a Λ -type level scheme. The two low-energy states are energetically separated by the atomic transition frequency Ω_a , which can be tuned by an external magnetic field. The transition between the states $|+\rangle$ and $|e\rangle$ is driven by an applied σ_- circularly polarized laser with frequency ω_L . The passing beam is directed to a PBS, which divides the circularly polarized light into linearly polarized π_x and π_y light beams on two perpendicular arms, which are of equal length measured for an undisplaced membrane, see figure 1. The vertical path involves a fixed mirror which reflects light with conserved polarization π_x . In the horizontal path, a nanomembrane with resonance frequency Ω_m is placed inside a low-finesse cavity, which reflects $\pi_y \rightarrow \pi_y$ light when undisplaced. The light of both arms is directed back onto the atoms mediating the effective atom-membrane coupling.

In a quasi-static picture, a finite displacement of the membrane induces a finite phase shift on the propagating horizontal π_y beam leading to a rotated polarization after the light has passed the PBS backwards. The emergent σ_+ photon now impinges on an atom and may induce a two-photon transition between the states $|-\rangle \leftrightarrow |+\rangle$, when the resonance condition $\Omega_m \simeq \Omega_a$ is met. The back-action of the atoms on the membrane is induced by a transition of the atoms between the states $|-\rangle$ and $|+\rangle$. The emitted σ_+ photons pass the PBS with 50% probability horizontally and hit the membrane. This changes the radiation pressure on the membrane.

The prototypical system Hamiltonian can be written as a sum of five consecutive terms

$$\hat{H}_{\text{tot}} = \hat{H}_a + \hat{H}_m + \hat{H}_1 + \hat{H}_{a-1} + \hat{H}_{m-1}. \quad (1)$$

Each of the first three terms describes one of the three compounds: the atomic condensate, the nanomembrane, and the light field, respectively. The atom-light field interactions and the optomechanical coupling are included in \hat{H}_{a-1} and \hat{H}_{m-1} , respectively. We consider one well separated vibrational mode of the membrane which is modeled as a single harmonic oscillator

$$\hat{H}_m = \Omega_m \hat{a}^\dagger \hat{a}, \quad (2)$$

with the mechanical frequency Ω_m and bosonic annihilation (creation) operator \hat{a} (\hat{a}^\dagger) which follow the usual bosonic commutation relation $[\hat{a}, \hat{a}^\dagger] = 1$. The atomic gas is modeled by the standard many-body Hamiltonian

$$\hat{H}_a = \sum_{\tau=\pm} \int dz \hat{\Psi}_\tau^\dagger(z) \left[V_\tau(z) - \frac{\partial_z^2}{2m} + \frac{1}{2} \sum_{\tau'=\pm} g_{\tau\tau'} \hat{\Psi}_{\tau'}^\dagger(z) \hat{\Psi}_{\tau'}(z) \right] \hat{\Psi}_\tau(z), \quad (3)$$

where the potential $V_\tau(z)$ includes the energy of the corresponding internal state as well as an optical potential, and m is the mass of an atom. Moreover, we assume a contact interaction with the one-dimensional interaction strength $g_{\tau\tau'}$, which, in general, can be different depending on the internal states in which the atoms reside. We assume a large detuning Δ between the frequency of the pump laser and the addressed transition, such that we can eliminate the excited state $|e\rangle$. Hence, we only consider the two internal states $|-\rangle$ and $|+\rangle$ in our description. The light modes have two possible optical polarizations σ_- , σ_+ which are represented by the bosonic operators $\hat{b}_{\omega-}$, $\hat{b}_{\omega+}$, respectively, and are included over a bandwidth 2θ around the laser frequency ω_L . They are described by

$$\hat{H}_1 = \int_{\omega_L-\theta}^{\omega_L+\theta} d\omega \omega (\hat{b}_{\omega-}^\dagger \hat{b}_{\omega-} + \hat{b}_{\omega+}^\dagger \hat{b}_{\omega+}). \quad (4)$$

2.1. Linearized coupling of the membrane and the light field

The external pumping laser has a σ_- polarization, such that the coherent drive is included by the linear replacement at the laser frequency ω_L

$$\hat{b}_{\omega-} \rightarrow \hat{b}_{\omega-} + \delta(\omega - \omega_L) e^{-i\omega_L t} \alpha_L. \quad (5)$$

In the following, we assume $|\alpha_L| \gg 1$ such that the interaction between the light field and the membrane (atoms) can be linearized in the operators $\hat{b}_{\omega-}$ and $\hat{b}_{\omega+}$. In a reference frame that rotates with the laser frequency ω_L , the linearized membrane-light field interaction takes the form [24]

$$\hat{H}_{m-1} = \lambda_m (\hat{a} + \hat{a}^\dagger) \int \frac{d\omega}{\sqrt{2\pi}} (\hat{b}_{\omega-} + \hat{b}_{\omega-}^\dagger + \hat{b}_{\omega+} + \hat{b}_{\omega+}^\dagger) \quad (6)$$

with the coupling strength λ_m . In the ‘membrane-in-the-middle’ setup, the membrane-light field coupling $\lambda_m = 2\alpha_L |r_m| \omega_L \ell_m \mathcal{F} / \pi^{3/2}$ scales with the cavity finesse \mathcal{F} and the light field amplitude α_L . Moreover, $|r_m|$ is the membrane reflectivity and $\ell_m = (M\Omega_m)^{-1/2}$ denotes the amplitude of the zero-point motion of the membrane, where M stands for the mass of the membrane [12]. Here, we have neglected the quadratic term in α_L , which leads to a constant linear force on the membrane and, thus, only alters its equilibrium position. This can be accounted for by a simple redefinition of the zero-point position.

The dipolar interaction of the atoms with the light field induces an AC-Stark shift of the electronic levels of the atoms. After the elimination of the auxiliary excited state $|e\rangle$ and the linearization in the light field operators, the atom-light field coupling is given by

$$\begin{aligned} \hat{H}_{a-1} = & \lambda_a \int \frac{d\omega}{\sqrt{2\pi}} (\hat{b}_{\omega-} + \hat{b}_{\omega-}^\dagger) \int dz \hat{\Psi}_+^\dagger(z) \sin(\omega_L z) \sin(\omega z) \hat{\Psi}_+(z) \\ & + \lambda_\pm \int \frac{d\omega}{\sqrt{2\pi}} \int dz \sin(\omega_L z) \sin(\omega z) [\hat{b}_{\omega+} \hat{\Psi}_+^\dagger(z) \hat{\Psi}_-(z) + \text{h.c.}], \end{aligned} \quad (7)$$

which includes two essentially different processes. On the one hand, the first line couples atoms in the internal state $|+\rangle$ to the photon field quadrature in a fashion similar to the motional coupling scheme [12]. This interaction emerges due to the driving of the atomic transition $|+\rangle \leftrightarrow |e\rangle$ and scales according to $\lambda_a = \sqrt{2\pi} \alpha_L \omega_L \mu_+^2 \mathcal{E}_{\omega_L} / \Delta$, where μ_+ is the transition dipole element of the corresponding transition and $\mathcal{E}_{\omega_L} = \sqrt{\omega_L / \pi \mathcal{A}}$ is a normalization constant of the light mode operators with \mathcal{A} being the beam cross-sectional area. On the other hand, the second line of equation (7) includes transitions between the atomic internal states under the creation (or annihilation) of a σ_+ polarized photon. Similarly, this interaction constant is given by $\lambda_\pm = \sqrt{2\pi} \alpha_L \omega_L \mu_+ \mu_- \mathcal{E}_{\omega_L} / \Delta$, where μ_- is now the atomic transition dipole moment between the two states $|-\rangle \leftrightarrow |e\rangle$.

In order to simplify the linearized atom-light field coupling, the external potential $V_\tau(z)$ can be chosen such that the atoms are positioned around the lattice sites z_j , defined by the relation $\sin(2\omega_L z_j) = 1$. An additional potential for the atoms in the state $|+\rangle$ has to be provided in order to cancel the lattice potential generated by the coherent drive. This leads to a constant term that redefines the atomic transition frequency. Overall, we choose the potential according to $V_\tau(z) = \tau\Omega_a/2 + V \cos^2 \omega_L z$, where V characterizes the lattice depth.

2.2. Adiabatic elimination of the light field and effective equations of motion

In the following, we consider an optical cavity in the bad cavity regime in order to adiabatically eliminate the light field modes. We assume that the cavity linewidth is large compared to both the atomic and the membrane frequency such that both sideband photons are well accommodated in the response profile of the cavity. To do so, we start with the linearized Hamiltonian of equation (1) in the interaction picture with respect to the light field Hamiltonian \hat{H}_I . Hence, the light mode operators transform via $\hat{b}_{\omega\mu}(t)_I = \hat{b}_{\omega\mu} \exp[-i(\omega - \omega_L)t]$, where the index I labels the interaction picture.

The formal solution of the Schrödinger equation for any arbitrary state $|\psi\rangle$ in the interaction picture reads

$$|\psi(t)_I\rangle = \mathcal{T} \exp \left\{ -i \int_0^t ds \hat{H}'(s)_I \right\} |\psi(0)\rangle, \quad (8)$$

with the time-ordering operator \mathcal{T} and $\hat{H}' = \hat{H}_{\text{tot}} - \hat{H}_I$. Next, we expand the equation on the right-hand side for small time steps δt . Up to second order, the relevant terms read

$$|\psi(\delta t)_I\rangle \simeq \left\{ 1 - i \int_0^{\delta t} dt \hat{H}'(t)_I - \int_0^{\delta t} dt \int_0^t ds \hat{H}'(t)_I \hat{H}'(s)_I \right\} |\psi(0)\rangle. \quad (9)$$

Moreover, we assume that the initial state is a product state $|\psi(0)\rangle = |\psi\rangle_{a+m} \otimes |\text{vac}\rangle_I$, where $|\text{vac}\rangle_I$ denotes the vacuum state of the light field and $|\psi\rangle_{a+m}$ stands for an arbitrary state in the atom-membrane subspace. Under these assumptions, the photon mode operators fulfill $\hat{b}_{\omega\mu}|\psi(0)\rangle = 0$ and we may define the noise-increment operators

$$\delta\hat{B}(t) = \int_t^{t+\delta t} ds \int \frac{d\omega}{\sqrt{2\pi}} [\hat{b}_{\omega+}(s)_I + \hat{b}_{\omega-}(s)_I], \quad (10)$$

$$\delta\hat{C}_\mu(t, z) = \int_t^{t+\delta t} ds \int \frac{d\omega}{\sqrt{2\pi}} \sin(\omega_L z) \sin(\omega z) \hat{b}_{\omega\mu}(s)_I. \quad (11)$$

In addition, we make the assumptions that, first, the coupling between a single field mode and the membrane or a single atom is usually very small and, second, the time scale on which the dynamics occurs for the compound of atoms and membrane is very long compared to that of the photons. In combination with a strong photon loss in the cavity, the light field rapidly approaches its steady state and a Markov approximation is justified. Hence, we take the limit $\delta t \rightarrow 0$ and exploit that the noise-increment operators after a time step δt do not depend on their form at an earlier time. With this, we can derive a quantum stochastic Schrödinger equation (QSSE) in the Ito form [24, 31] with $d|\psi(t)\rangle = |\psi(t + dt)\rangle - |\psi(t)\rangle$. The differential noise operators, e.g. $\lim_{\delta t \rightarrow 0} \delta\hat{B}(t) = d\hat{B}(t)$, follow the Ito rules

$$d\hat{B}(t)d\hat{B}^\dagger(t) = 2dt, \quad (12)$$

$$d\hat{B}(t)d\hat{C}_\mu^\dagger(t, z) = d\hat{C}_\mu(t, z)d\hat{B}^\dagger(t) = \sin^2(\omega_L z)dt, \quad (13)$$

$$d\hat{C}_\mu(t, z)d\hat{C}_\mu^\dagger(t, z') = \sin^2(\omega_L z)\sin^2(\omega_L z')dt. \quad (14)$$

Under the consideration of these relations, we can derive the quantum Langevin equation from the QSSE (9) which describes the dynamics of the membrane mode operator \hat{a} and the atomic field operators Ψ_τ . The hybrid atom-membrane system is then effectively described by the equations of motion

$$\begin{aligned} i\partial_t \hat{a} &= (\Omega_m - i\Gamma_m)\hat{a} - \lambda\chi \int dz \cos(2z) \hat{\Psi}_+^\dagger(z) \hat{\Psi}_+(z) \\ &\quad - \frac{\lambda}{2} \int dz \cos(2z) [\hat{\Psi}_+^\dagger(z) \hat{\Psi}_-(z) + \hat{\Psi}_-^\dagger(z) \hat{\Psi}_+(z)] + \hat{\xi}_m, \end{aligned} \quad (15)$$

$$\begin{aligned} i\partial_t \hat{\Psi}_-(z) &= \left[-\frac{\Omega_a}{2} - \omega_R \partial_z^2 - \frac{V}{2} \cos(2z) + \sum_{\tau=\pm} g_{\tau-} \hat{\Psi}_\tau^\dagger(z) \hat{\Psi}_\tau(z) \right] \hat{\Psi}_-(z) \\ &\quad - \frac{\lambda}{2} (\hat{a} + \hat{a}^\dagger) \cos(2z) \hat{\Psi}_+(z), \end{aligned} \quad (16)$$

$$i\partial_t \hat{\Psi}_+(z) = \left[\frac{\Omega_a}{2} - \omega_R \partial_z^2 - \frac{V}{2} \cos(2z) + \sum_{\tau=\pm} g_{\tau+} \hat{\Psi}_{\tau}^{\dagger}(z) \hat{\Psi}_{\tau}(z) \right] \hat{\Psi}_+(z) - \frac{\lambda}{2} (\hat{a} + \hat{a}^{\dagger}) \cos(2z) \hat{\Psi}_-(z) - \lambda \chi (\hat{a} + \hat{a}^{\dagger}) \cos(2z) \hat{\Psi}_+(z), \quad (17)$$

where we have scaled and shifted the atom position variable $\omega_L z \rightarrow z + \pi/2$, such that the lattice minima for $V > 0$ are located at the position $z_j = j\pi$ with $j \in \mathbb{Z}$. Here, we have defined the atom-membrane coupling constant $\lambda = \lambda_m \lambda_{\pm}/2$, which corresponds to the process that induces transitions between the internal states under the creation (annihilation) of a phonon, and $\omega_R = \omega_L^2/2m$ is the atomic recoil frequency. Moreover, the coupling of the membrane to the number of atoms in the internal state $|+\rangle$ is given by $\lambda' = \lambda_m \lambda_a/2$. The latter, in fact, is not independent of the internal state coupling constant λ as $\lambda'/\lambda = \chi = \mu_+/\mu_-$, such that we can choose the parametrization $\lambda' = \lambda\chi$. In addition, we have neglected terms introduced by the light field that lead to long-range interactions in the atom gas. This assumption is justified if the laser frequency ω_L is far detuned from the transition frequency between the states $|+\rangle$ and $|e\rangle$. Finally, fluctuations introduced by the light field have been neglected. A phenomenological damping of the membrane mode has been introduced with rate Γ_m together with the corresponding bosonic noise operator $\hat{\xi}_m$ that is characterized by the autocorrelation functions

$$\langle \hat{\xi}_m(t) \hat{\xi}_m^{\dagger}(0) \rangle = 2\Gamma_m(N_m + 1)\delta(t) \quad \text{and} \quad \langle \hat{\xi}_m^{\dagger}(t) \hat{\xi}_m(0) \rangle = 2\Gamma_m N_m \delta(t). \quad (18)$$

Here, N_m is the environment occupation number which determines the steady-state occupation of the phonon mode in the isolated limit $\lambda = 0$.

2.3. Regime of realistic experimental parameters

The currently realized motional coupling schemes utilize membranes in the kHz-regime [14, 15], resulting in realistic experimental parameters $\Omega_m = 70\omega_R$, $\Omega_a = 20\omega_R$, $\chi \simeq 1$ with a critical coupling rate of $\sqrt{N}\lambda_c \simeq 29\omega_R$ (see below), that can be reached by increasing the cavity finesse or the laser power of current experiments by a factor of 10. In addition, the mechanical damping of the vibrational mode is typically of the order $\Gamma_m \simeq 10^{-7}\Omega_m$ [15]. Within this consideration, the internal states correspond to the ^{87}Rb hyperfine states $|-\rangle = |5^2S_{1/2}, F=2, m_f=2\rangle$, $|+\rangle = |5^2S_{1/2}, F=2, m_f=0\rangle$ and the excited state $|e\rangle = |5^2P_{1/2}, F=2, m_f=1\rangle$ [32]. Besides, mechanical oscillators in the GHz-regime [33] are also applicable, which roughly corresponds to vibrational frequencies in the region $\Omega_m \simeq 1000\omega_R$.

3. Tuning the order of the quantum phase transition

Assuming that the atoms are prepared at low temperature in combination with a weak atom-membrane coupling such that a large fraction of atoms occupies the ground state and a condensate is formed, the combined system dynamics is subject to the set of coupled mean-field equations of motion

$$i\partial_t \alpha = (\Omega_m - i\Gamma_m)\alpha - \sqrt{N}\lambda \int dz \cos(2z) [\chi |\psi_+|^2 + \text{Re}(\psi_+^* \psi_-)], \quad (19)$$

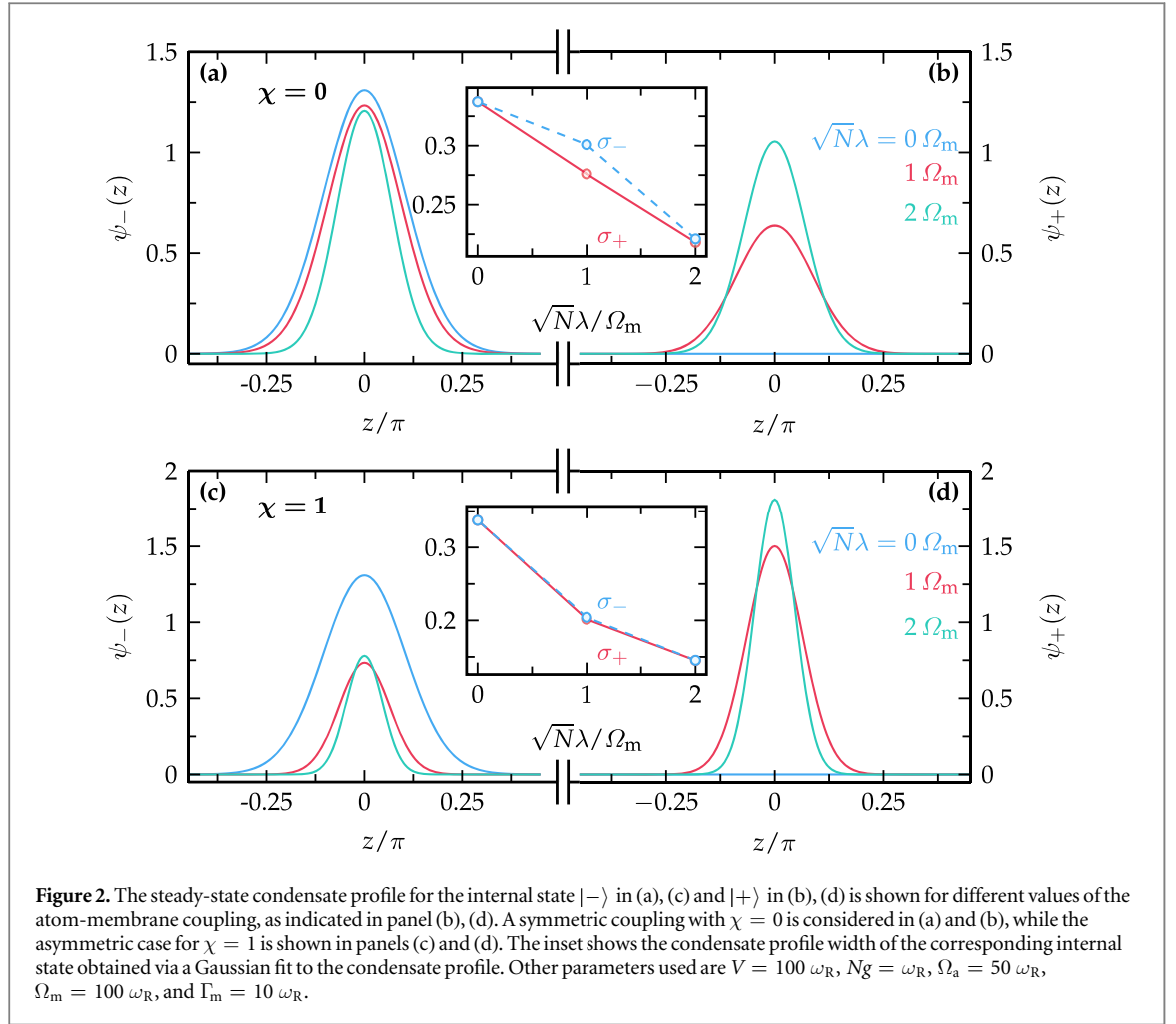
$$i\partial_t \psi_- = \left[-\frac{\Omega_a}{2} - \partial_z^2 \omega_R - \frac{V}{2} \cos(2z) + N \sum_{\tau=\pm} g_{\tau-} |\psi_{\tau}|^2 \right] \psi_- - \sqrt{N}\lambda \text{Re}(\alpha) \cos(2z) \psi_+, \quad (20)$$

$$i\partial_t \psi_+ = \left[\frac{\Omega_a}{2} - \partial_z^2 \omega_R - \frac{V}{2} \cos(2z) + N \sum_{\tau=\pm} g_{\tau+} |\psi_{\tau}|^2 \right] \psi_+ - \sqrt{N}\lambda \text{Re}(\alpha) \cos(2z) \psi_- - 2\sqrt{N}\lambda \chi \text{Re}(\alpha) \cos(2z) \psi_+. \quad (21)$$

Here, the first equation describes the motion of the membrane, and the second and third equation describe the dynamics of the atomic condensate in the internal state $|-\rangle$ and $|+\rangle$, respectively. The complex amplitude $\alpha = \langle \hat{a} \rangle / \sqrt{N}$ is the scaled mean value of the ladder operator \hat{a} and $\psi_{\pm} = \langle \hat{\Psi}_{\pm} \rangle / \sqrt{N}$ is the condensate wave function of the corresponding internal atom state, where N denotes the total number of atoms. Throughout this work, we refer to the case with $\chi = 0$ as the symmetric coupling, because the equations of motion are symmetric under the exchange $\Omega_a \rightarrow -\Omega_a$ and $\psi_- \leftrightarrow \psi_+$. Consequently, $\chi \neq 0$ defines the case of asymmetric coupling, when this symmetry no longer holds.

3.1. Single mode approximation and cumulant expansion

For a sufficiently deep lattice $V \gg \omega_R$, the condensate profile is well described by a sum of Gaussians residing in the individual lattice wells. When the wave function overlap between neighboring sites is small, the problem reduces to an effective single-site problem. It is then reasonable to make the ansatz



$$\psi_\tau(t, z) = \gamma_\tau(t) \left(\frac{1}{\pi \sigma_\tau(t)^2} \right)^{1/4} \exp \left(-\frac{z^2}{2\sigma_\tau(t)^2} + i\eta_\tau z^2 \right), \quad (22)$$

with a constant number of atoms, i.e. the occupation amplitudes $\gamma_\pm(t)$ fulfill the condition $|\gamma_-(t)|^2 + |\gamma_+(t)|^2 = 1$, the individual condensate widths $\sigma_\tau(t)$ and the corresponding phases $\eta_\tau(t)$ which are used to induce the dynamics for $\sigma_\tau(t)$. In order to reduce the number of parameters, we restrict ourselves to the special case $g_{\tau\tau'} = g$. Moreover, we note that the atoms will always be symmetrically distributed around each lattice site which is determined by the form of the lattice and the atom-membrane coupling potential. This is in contrast to the motional coupling scheme [17, 34], where the membrane displacement is linearly coupled to the center-of-mass displacement of the atomic condensate. Finally, a mixing of the condensate profiles for different internal states is the energetically preferred state. Already from equation (19) it can be concluded that a maximally mixed condensate maximizes the effective coupling between the atoms and the membrane. This will eventually lead to a minimization of an effective nonequilibrium potential, which we will derive in the following.

In order to justify the ansatz, we numerically determine the steady state of the extended Gross–Pitaevskii equation (GPE) (19)–(21) by using an imaginary time evolution with the Crank–Nicolson scheme. Due to the periodicity of the potential, we use periodic boundary conditions and evaluate the steady state within the interval from $-\pi/2$ to $\pi/2$. The condensate profile around a single potential well is shown for the symmetric coupling case $\chi = 0$ in figures 2(a) and (b) and the asymmetric case $\chi = 1$ in figures 2(c) and (d). Here, different coupling constants λ have been chosen according to the color coding in figure 2(b). The panels (a), (c) and (b), (d) show the condensate profile of the atoms in the internal states $|-\rangle$ and $|+\rangle$, respectively, as a function of the position coordinate z . The well minimum is located at $z = 0$. Moreover, the insets compare the individual widths σ_- and σ_+ obtained from a Gaussian fit to the condensate profile according to equation (22). The deviations between the individual widths are negligible in most cases and slightly increase only in the vicinity of a certain critical point. Consequently, we can approximate the condensate profiles by a unified condensate width $\sigma \equiv \sigma_- = \sigma_+$ and an equal phase $\eta \equiv \eta_- = \eta_+$.

Next, we perform a cumulant expansion [17, 35] of the equations of motion in order to determine the dynamics of the respective variational parameters. Thus, we calculate the (i) zeroth and (ii) second cumulants by

multiplying equations (20) and (21) (i) with $\psi_0^*(z) = \exp(-z^2/2\sigma^2 - i\eta z^2)/(\pi\sigma^2)^{1/4}$ as well as (ii) with $(z^2 - \sigma^2/2)\psi_0^*(z)$ and integrate then over z . This leads to five independent equations of motion of which one is given by $\dot{\sigma} = 4\omega_R\eta\sigma$. By defining the effective potential

$$E[\alpha, \gamma_-, \gamma_+, \sigma] = \Omega_m |\alpha|^2 + \frac{\Omega_a}{2} (|\gamma_+|^2 - |\gamma_-|^2) + \frac{\omega_R}{2\sigma^2} - \frac{V}{2} e^{-\sigma^2} + \frac{Ng}{\sqrt{8\pi}\sigma} - \sqrt{N}\lambda(\alpha + \alpha^*)(\chi|\gamma_+|^2 + \text{Re}[\gamma_+^*\gamma_-])e^{-\sigma^2}, \quad (23)$$

the remaining equations of motion are given in a compact form as

$$\dot{\alpha} = -i\partial_{\alpha^*}E - \Gamma_m\alpha, \quad (24)$$

$$\dot{\gamma}_\tau = -i\partial_{\gamma_\tau^*}E, \quad (25)$$

$$(4\omega_R)^{-1}\dot{\sigma} = -\partial_\sigma E. \quad (26)$$

We note that the last term in equation (23) reflects the argument that a maximally mixed atomic condensate minimizes the effective potential energy.

3.2. Nonequilibrium potential and steady-state configuration

In the presence of damping, the system will eventually relax to a steady nonthermal state. Thus, each of the parameters can be split into its steady-state value and deviations from the steady state. In this section, we are mainly interested in the steady-state properties of the combined hybrid system. That is, we make the ansatz $\alpha(t) = \alpha_0$, $\gamma_-(t) = \sqrt{1 - \gamma_0^2}$, $\gamma_+(t) = \gamma_0$ and $\sigma(t) = \sigma_0$ with a real-valued polarization γ_0 . By inserting this ansatz in equations (24)–(26), the relation for the membrane amplitude

$$\alpha_0(\gamma, \sigma) = \frac{\sqrt{N}\lambda}{\Omega_m - i\Gamma_m} (\chi\gamma^2 + \gamma\sqrt{1 - \gamma^2})e^{-\sigma^2} \quad (27)$$

is found. With this, the effective potential of equation (23) can be expressed in terms of the condensate variational parameters as

$$E[\gamma, \sigma] = \frac{\Omega_a}{2} (2\gamma^2 - 1) - \frac{N\lambda^2}{\Omega_m' e^{2\sigma^2}} (\chi\gamma^2 + \gamma\sqrt{1 - \gamma^2})^2 + \frac{\omega_R}{2\sigma^2} - \frac{V}{2e^{\sigma^2}} + \frac{Ng}{\sqrt{8\pi}\sigma}, \quad (28)$$

which includes the nonequilibrium condition in the form of the primed mechanical frequency $\Omega_m' = \Omega_m + \Gamma_m^2/\Omega_m$.

For a qualitative understanding of the role of both the atom-membrane coupling λ and the asymmetry χ , we study the potential surface of equation (28). Now, any local minimum of the effective nonequilibrium potential $E[\gamma_0, \sigma_0]$ defines a possible steady-state configuration γ_0, σ_0 and accordingly via equation (27) also α_0 . The defining equations for the steady-state values of the condensate variational parameters are given by

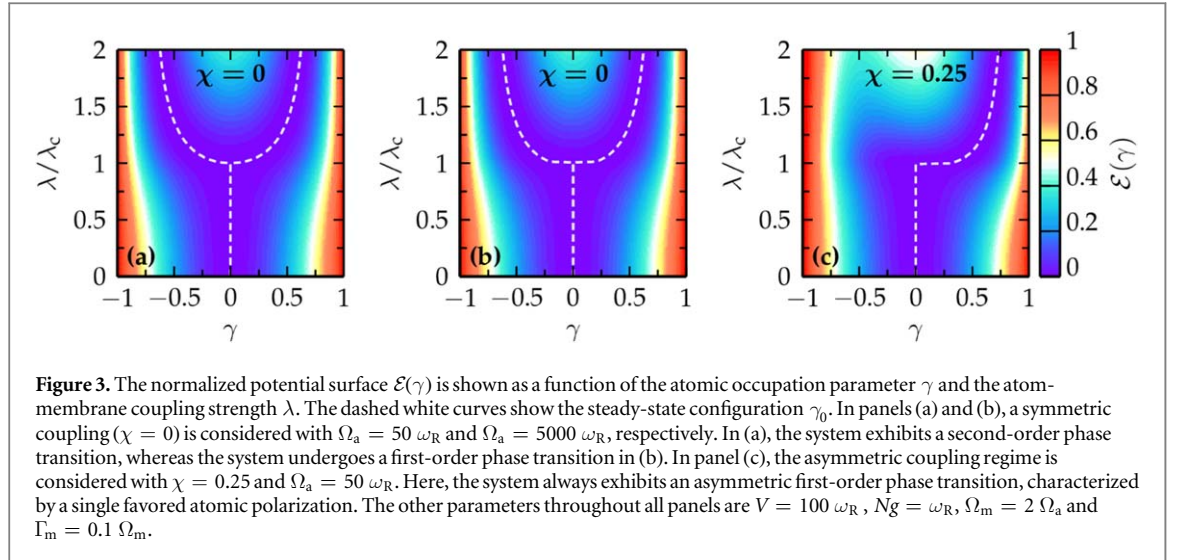
$$V e^{-\sigma_0^2} + \frac{4N\lambda^2}{\Omega_m'} (\chi\gamma_0^2 + \gamma_0\sqrt{1 - \gamma_0^2})^2 e^{-2\sigma_0^2} = \frac{\omega_R}{\sigma_0^4} + \frac{Ng}{\sqrt{8\pi}\sigma_0^3}, \quad (29)$$

$$\gamma_0 \left[(\chi\gamma_0 + \sqrt{1 - \gamma_0^2})(2\chi\gamma_0\sqrt{1 - \gamma_0^2} + 1 - 2\gamma_0^2) - \left(\frac{\lambda\Omega}{\lambda} e^{\sigma_0^2} \right)^2 \right] = 0, \quad (30)$$

where we have introduced the coupling rate $\sqrt{N}\lambda_\Omega = \sqrt{\Omega_a\Omega_m'}$. While equation (29) has only one possible solution σ_0 for a given occupation amplitude γ_0 , equation (30) allows, in general, multiple steady-state configurations γ_0 that minimize the effective potential (28). In addition, this feature is also present in the respective effective potential. Thus, we note that the effective potential $E(\sigma) = E[\gamma_0(\sigma), \sigma]$ always exhibits a single stable steady-state configuration σ_0 . On the other hand, the effective potential $E(\gamma)$ exhibits either a single, two or three local minima in the relevant parameter regime $|\gamma| \leq 1$. Rather than minimizing the potential with respect to all three parameters, we minimize it with respect to α_0, σ_0 for a given occupation amplitude γ , which is taken as an order parameter, and study the resulting potential energy surface $E(\gamma) = E[\gamma, \sigma_0(\gamma)]$. The global symmetry properties of the hybrid system are then determined by γ via the influence of the occupations of the condensate species.

In figure 3, we show the steady-state occupation amplitude γ_0 (dashed curves) as a function of the atom-membrane coupling strength λ , which is determined by the equations (24)–(26) in the steady-state limit. In addition, the background illustrates the resulting normalized energy surface $\mathcal{E}(\gamma) = [E(\gamma) - E_0]/\max_{|\gamma| \leq 1}[E(\gamma) - E_0]$, with the global minimum E_0 of $E(\gamma)$. Below a certain coupling value $\lambda \leq \lambda_c$, all atoms occupy the state $|-\rangle$ and the potential exhibits a single minimum at $\gamma_0 = 0$.

At $\lambda = \lambda_c$, the system undergoes a NQPT that is characterized by a nonvanishing occupation amplitude $\gamma_0 \neq 0$ with different characteristics. The case of symmetric coupling $\chi = 0$ is shown in figures 3(a) and (b) for the transition frequency $\Omega_a = 50 \omega_R$ and $\Omega_a = 5000 \omega_R$, respectively. In figure 3(a), the NQPT is of second order with a critical behavior $\gamma_0 \sim (\lambda - \lambda_c)^{1/2}$. Interestingly, by tuning the atomic transition frequency Ω_a , the NQPT becomes a symmetric first-order phase transition where the order parameter shows a jump at the critical



point, see figure 3(b). Here, the bistable phase corresponds to the two states $(|-\rangle \pm |+\rangle)/\sqrt{2}$. For a nonvanishing asymmetry $\chi > 0$, even an asymmetric first-order phase transition occurs at a critical coupling, where the left branch $(|-\rangle - |+\rangle)/\sqrt{2}$ is energetically raised, such that the right branch $(|-\rangle + |+\rangle)/\sqrt{2}$ represents the minimum, see figure 3(c).

In the case of a second-order NQPT, we label the critical coupling by λ_{s2} . An implicit definition of λ_{s2} is found by inserting the steady-state solution of the condensate width $\sigma_0^2 = \log(\lambda_{s2}/\lambda_\Omega)$ of equation (30) for $\gamma_0 = 0$ in the equation (29). Hence, we find the implicit equation for the critical coupling rate

$$\omega_R + \frac{Ng}{\sqrt{8\pi}} \sqrt{\log \frac{\lambda_{s2}}{\lambda_\Omega}} = V \left(\frac{\lambda_\Omega}{\lambda_{s2}} \right) \left(\log \frac{\lambda_{s2}}{\lambda_\Omega} \right)^2. \quad (31)$$

Yet, in the event of a first-order NQPT, such an implicit definition of the corresponding critical coupling rate can not be found on the basis of a set of steady-state equations. However, a procedure to find the critical points can be defined by performing a Landau expansion of the effective nonequilibrium potential $E(\gamma)$. Moreover, Landau theory allows us to classify the order of the phase transition by evaluating the expansion coefficients.

3.3. Landau expansion of the nonequilibrium potential

In order to verify whether figure 3(b) indeed shows a first-order phase transition, we expand the nonequilibrium potential $E(\gamma)$ in the order parameter around $\gamma_0 = 0$. Due to the asymmetry in the coupling, the Taylor expansion takes in general the form $E(\gamma) = a_0 + \sum_{n \geq 2} a_n \gamma^n$, allowing also odd orders in n . In order to fix the condensate width to its value $\sigma_0(\gamma)$, we define the auxiliary function

$$F[\sigma, \gamma] = V e^{-\sigma^2} \sigma + \frac{4N\lambda^2}{\Omega'_m} [\chi \gamma^2 + \gamma \sqrt{1 - \gamma^2}]^2 e^{-2\sigma^2} - \frac{\omega_R}{\sigma^3} - \frac{Ng}{\sqrt{8\pi} \sigma^2}, \quad (32)$$

which fixes the width by the condition $F[\sigma_0(\gamma), \gamma] = 0$. In the following, we omit the γ -dependence of σ_0 and, since the Landau expansion is performed around $\gamma = 0$, the equilibrium value is understood as $\sigma_0 = \sigma_0(\gamma = 0)$. The zeroth- and second-order expansion coefficients are determined straightforwardly to

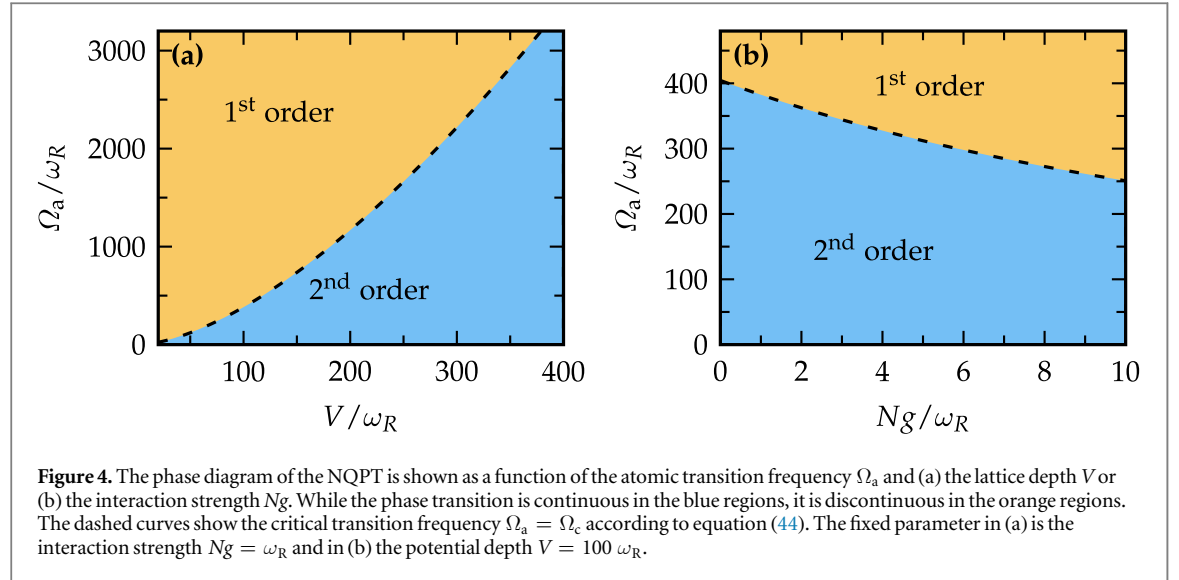
$$a_0 = -\frac{\Omega_a}{2} + \frac{\omega_R}{2\sigma_0^2} - \frac{V}{2} e^{-\sigma_0^2} + \frac{Ng}{\sqrt{8\pi} \sigma_0}, \quad (33)$$

$$a_2 = \Omega_a \left[1 - \left(\frac{\lambda}{\lambda_{s2}} \right)^2 \right]. \quad (34)$$

To evaluate the higher-order Landau coefficients, we first perform the derivatives of the steady-state width σ_0 with respect to the order parameter γ . By means of the theorem of implicit functions, for which we use the auxiliary function $F[\sigma, \gamma]$, we find the implicit derivatives

$$\sigma'_0 = 0, \quad (35)$$

$$\sigma''_0 = -8\Omega_a \left(\frac{4\omega_R}{\omega_\sigma^2} \right) \left(\frac{\lambda}{\lambda_{s2}} \right)^2 \sigma_0, \quad (36)$$



$$\sigma_0''' = 6\chi\sigma_0'', \quad (37)$$

$$\sigma_0^{(4)} = \left(\frac{3 - 12\sigma_0^2}{\sigma_0} \right) (\sigma_0'')^2 - (12 - 6\chi^2)\sigma_0'', \quad (38)$$

where we have defined the frequency of the atomic breathing mode

$$\omega_\sigma^2 = 4\omega_R \left[\frac{3\omega_R}{\sigma_0^4} + \frac{Ng}{\sqrt{2\pi}\sigma_0^3} + V(1 - 2\sigma_0^2)e^{-\sigma_0^2} \right]. \quad (39)$$

With these relations, the Landau coefficients $a_n = (\partial_\gamma^n E/n!)_{\gamma=0}$ up to sixth order are given in the compact form

$$a_3 = -2\Omega_a \left(\frac{\lambda}{\lambda_{s2}} \right)^2 \chi, \quad (40)$$

$$a_4 = \Omega_a \left(\frac{\lambda}{\lambda_{s2}} \right)^2 (1 + \sigma_0\sigma_0'' - \chi^2), \quad (41)$$

$$a_5 = \Omega_a \left(\frac{\lambda}{\lambda_{s2}} \right)^2 (1 + 4\sigma_0\sigma_0'')\chi, \quad (42)$$

$$a_6 = \frac{\Omega_a}{6} \left(\frac{\lambda}{\lambda_{s2}} \right)^2 [(1 - 4\sigma_0^2)(\sigma_0'')^2 - 12\sigma_0(1 - 3\chi^2)\sigma_0'']. \quad (43)$$

As the second derivative of the width σ_0'' is always smaller than zero, the sixth-order expansion coefficient consequently fulfills $a_6 > 0$ for the set of parameters considered in the following other than $\lambda = 0$.

In order to describe a first-order NQPT in the symmetric coupling regime ($\chi = 0$), it is sufficient to consider the Landau coefficients up to sixth order. In this regime, the odd Landau coefficients vanish, since $a_{2n+1} \sim \chi$ for all $n \in \mathbb{N}$. In order to quantify the order of the phase transition, we have to look at the sign of the expansion coefficient a_4 . To be more specific, the phase transition is continuous when $a_4 > 0$ and discontinuous for $a_4 < 0$ at the critical point. In fact, the fourth-order coefficient exhibits a point at which its sign changes. From equation (41), it follows that this point is given by the relation $1 + \sigma_0\sigma_0'' = 0$. In addition, when $a_4 = 0$, the phase transition occurs at the critical point $\lambda = \lambda_{s2}$. Hence, we can insert this expression for the atom-membrane coupling rate to find the relation

$$\Omega_c = \frac{\omega_\sigma^2}{32\omega_R \log(\lambda_{s2}/\lambda_\Omega)}, \quad (44)$$

which defines a critical value for the atomic transition frequency. Below $\Omega_a \leq \Omega_c$, the phase transition is continuous (second-order) and becomes discontinuous (first-order) for transition frequencies satisfying $\Omega_a > \Omega_c$. This behavior is depicted in the two panels of figure 4.

In figure 4(a) is shown that by tuning either the potential depth V or the atomic transition frequency Ω_a , the order of the phase transition can be changed from second- (blue region below dashed curve) to first-order (orange region). Alternatively, by changing the atomic interaction strength Ng , the order may also be tuned,

which is shown in figure 4(b). In that sense, one might also consider to define a critical optical lattice depth V_c or critical interaction strength g_c (or atom number N_c) rather than a critical atomic transition frequency.

The critical coupling rate λ_{s2} in the second-order regime has been derived by simple arguments on the basis of the steady-state equations, which is equivalent to evaluating the lowest-order Landau coefficient a_2 . On the other hand, in order to determine the critical coupling rate λ_{s1} in the symmetric first-order regime, one has to know at least the expansion coefficients up to sixth order. For $a_4 < 0$, the effective nonequilibrium potential $E(\gamma)$ exhibits three minima on the real axis, which are located at

$$\gamma_1 = 0, \quad \gamma_{2,3}^2 = -\frac{a_4}{3a_6} + \sqrt{\left(\frac{a_4}{3a_6}\right)^2 - \frac{a_2}{3a_6}}, \quad (45)$$

if $a_2 > 0$, or equivalently $\lambda < \lambda_{s2}$. The local minimum at $\gamma_1 = 0$ has a value of $E(0) = a_0$ and the critical coupling rate λ_{s1} is fixed by the condition $E(\gamma_{2,3}) = a_0$. After some tedious but straightforward algebra, this leads to the expression $13a_2a_6 = 4a_4^2$ from which we can derive the critical coupling rate in the first-order regime according to

$$13 \left[1 - \left(\frac{\lambda_{s1}}{\lambda_{s2}} \right)^2 \right] \left[\left(\log^{-1} \frac{\lambda_{s2}}{\lambda_{\Omega}} - 4 \right) \left(\frac{\lambda_{s1}}{\lambda_{s2}} \right)^2 + 12 \frac{\Omega_c}{\Omega_a} \right] = 24 \left[\frac{\Omega_c}{\Omega_a} - \left(\frac{\lambda_{s1}}{\lambda_{s2}} \right)^2 \right]^2. \quad (46)$$

We recover the result $\lambda_{s1} = \lambda_{s2}$ in the limit $\Omega_a = \Omega_c$ and find that the first-order phase transition occurs in general at smaller coupling rates than the second-order NQPT, i.e. $\lambda_{s1} < \lambda_{s2}$ for $\Omega_a > \Omega_c$.

For asymmetric coupling ($\chi \neq 0$), also odd orders in the Landau expansion take a finite value. This breaks the symmetry in the nonequilibrium potential $E(\gamma)$ with respect to $\gamma = 0$. In order to estimate the critical coupling rate λ_{a1} in the first-order regime, we consider atomic transition frequencies that satisfy $\Omega_a < (1 - \chi^2)\Omega_c$ such that $a_4 > 0$ is always satisfied. In this case, it is sufficient to take the Landau expansion up to fourth order. With the same arguments as in the symmetric first-order regime, we derive an expression for the critical coupling rate from the condition $E(\gamma_2) = a_0$, where $\gamma_2 \neq 0$ is one of the two points that minimize the effective potential, whereas the other point is the trivial one at $\gamma_1 = 0$. Hence, we find the relation $4a_2a_4 - a_3^2 = 0$, which translates to

$$\left[1 - \left(\frac{\lambda_{a1}}{\lambda_{s2}} \right)^2 \right] \left[1 - \chi^2 - \frac{\Omega_c}{\Omega_a} \left(\frac{\lambda_{a1}}{\lambda_{s2}} \right)^2 \right] = \left(\frac{\lambda_{a1}}{\lambda_{s2}} \right)^2 \chi^2, \quad (47)$$

which is independent of the sign of χ . It is straightforward to show that also $\lambda_{a1} < \lambda_{s2}$ and $\lambda_{a1} = \lambda_{s2}$ is recovered in the limit $\chi = 0$.

3.4. Hysteresis in the first-order regime

A characteristic feature of a first-order phase transition is the occurrence of a hysteresis when the atom-membrane coupling λ is adiabatically tuned. In terms of the nonequilibrium potential, this is included by the existence of two or more local minima. At a certain coupling rate, these local minima become dynamically unstable and eventually turn into a maximum. At this point, the system jumps to the neighboring local minimum and remains there until this minimum becomes unstable. In the following, we consider the two generic cases of a symmetric and an asymmetric coupling to discuss this effect.

In order to describe the hysteretic behavior, we take the equations of motion (24)–(26) with $\gamma(t) = \gamma_+(t)$ and adiabatically alter the atom-membrane coupling strength. Thus, we obtain for each value of λ a long-time solution $\gamma_{\infty} = \lim_{t \rightarrow \infty} \gamma(t)$ which becomes time independent. In figures 5(a) and (c), we show the hysteresis for the symmetric ($\chi = 0$) and asymmetric ($\chi = 0.25$) first-order phase transition, respectively. On the forward path, the coupling strength λ is adiabatically increased and the system is initially prepared in the minimum with the occupation amplitude $\gamma = 0$.

The system stays there until it becomes unstable at $\lambda = \lambda_F$ and jumps to the adjacent minimum at $\gamma \neq 0$. This point coincides with the critical coupling rate λ_{s2} in both of the two cases, the symmetric and asymmetric first-order transition. Afterwards, the steady-state solution $\gamma_0 \neq 0$ is followed as λ increases.

On the backward path, the system follows the solution with $\gamma_0 \neq 0$ until it becomes dynamically unstable at λ_B and jumps to the solution at $\gamma_0 = 0$. For the case of symmetric coupling ($\chi = 0$) shown in figure 5(a), this jumping point occurs when $4a_2a_6 = a_4^2$. On the other hand, the jumping point in the regime of asymmetric coupling of figure 5(c) is defined via the relation $32a_2a_4 = 9a_3^2$.

In the picture of potential energy surfaces, the reason for the hysteretic behavior is the existence of multiple stable local minima at $\gamma = 0$ and $\gamma \neq 0$ in the coexistence region $\lambda_B \leq \lambda \leq \lambda_F$ as indicated in figure 5(b). Here, the forward and backward minima are indicated by the circled capital letters F and B, respectively. The same argumentation applies to the asymmetric first-order phase transition. The structure of the effective potential

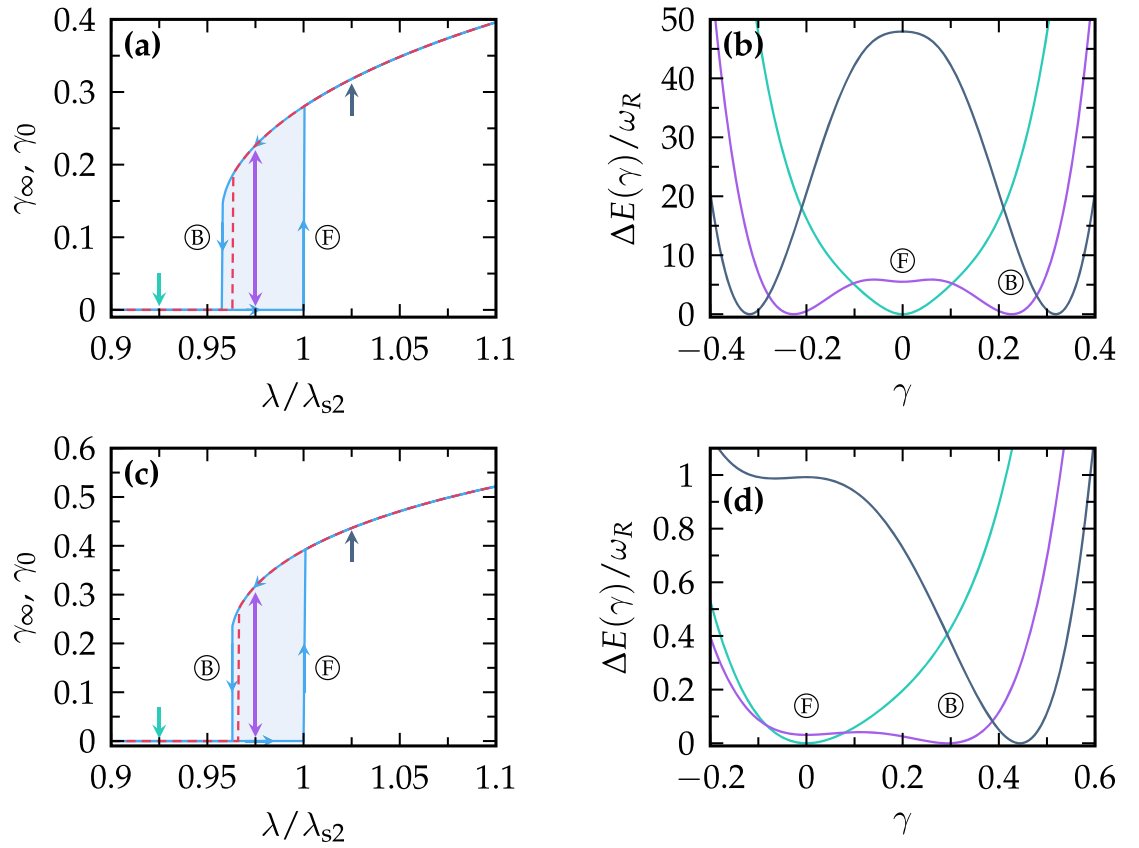


Figure 5. Hysteresis curve (γ_∞ , solid) shown as a function of the coupling parameter λ for (a) the symmetric first-order phase transition and (c) the asymmetric first-order phase transition. The dashed curve shows the globally minimized path γ_0 . (b), (d) Curves of the effective nonequilibrium potential $\Delta E(\gamma) = E(\gamma) - E(\gamma_0)$ are shown for a coupling strength below and above the turning points, $\lambda \leq \lambda_B$ and $\lambda \geq \lambda_F$, and in the coexistence area $\lambda_B < \lambda < \lambda_F$. The colors of the potential curves in (b), (d) mark the associated configurations pointed to by the colored arrows in (a), (c). The circled letters indicate the minimum of the forward (F) and backward (B) path. The parameters were chosen as in figures 3(b) and (c) for the symmetric and asymmetric coupling case, respectively.

surface is shown in figure 5(d) for three different values of the atom-membrane coupling λ . The colors of the potential curves in (b) mark the associated configurations pointed to by the colored arrows in (a).

3.5. Advantages over motional coupling and tuning of the asymmetry parameter

Current experimental set-ups use the motional coupling scheme [12, 13]. In order to understand whether a first-order phase transition is possible in this set-up or not, one has to compare two different energy scales, one which corresponds to the breathing mode frequency of the condensate (here ω_σ) and the other which is of the order of the energy difference between the normal and the symmetry-broken phase (here $\Delta E \sim \Omega_a$). In the motional coupling scheme, the symmetry-broken phase is a configuration where the atomic center-of-mass position is displaced with respect to the minima of the uncoupled lattice defined by the optical potential with depth V . Hence, the relevant energy difference scales with the frequency of the displacement mode that is given by $\omega_\zeta = \sqrt{4\omega_R V} e^{-\sigma_0^2} [17]$. Accordingly, both ω_σ and ω_ζ do not scale independently and a first-order phase transition cannot be observed.

The internal state coupling scheme overcomes this limitation. The direct observation is possible by either measuring the membrane eigenfrequency or the condensate width σ [17]. In the case of a first-order NQPT, these quantities exhibit a jump at the critical coupling rate, rather than a continuous behavior as in the case of a second-order NQPT, see section 4. Moreover, a direct measurement of the condensate occupation amplitude γ_0 (γ_∞) can detect the NQPT in a straightforward way. Furthermore, from a quantum information perspective, the internal state coupling scheme is superior to the motional coupling scheme, because the information can be stored in discrete atomic states rather than continuous, motional states. The former are commonly less susceptible to external fluctuations.

Though the asymmetry parameter χ is fixed by the ratio between the transition dipole elements μ_\pm , it can be tuned effectively. This is achieved by applying an additional perpendicular laser field that drives the transition between the states $|-\rangle$ and $|+\rangle$. This gives rise to a term $\delta(\gamma_+^* \gamma_- + \gamma_-^* \gamma_+)$ in the auxiliary potential energy (23). In turn, by tuning the parameter δ , it is possible to reach a point where the coupling between each atom species to

the membrane appears symmetrically. More precisely, this is achieved by choosing δ such that

$$\frac{\delta}{\sqrt{\Omega_a^2 + \delta^2}} + \chi \frac{\Omega_a + \sqrt{\Omega_a^2 + \delta^2}}{\delta^2 + (\Omega_a + \sqrt{\Omega_a^2 + \delta^2})^2} = \frac{\chi}{2}. \quad (48)$$

4. Spectrum of collective excitations and entanglement

In the preceding section, we have analyzed the equations of motion in the mean-field regime. We note that the spectrum of collective excitations is included in the equations of motion (24)–(26) [17]. Yet, we present a different approach that utilizes an adapted Bogoliubov ansatz. Hence, we start again from the effective equations of motion (15)–(17).

For this purpose, it is convenient to introduce the new field operators

$$\hat{\Psi}_N(z) = \sqrt{1 - \gamma_0^2} \hat{\Psi}_-(z) + \gamma_0 \hat{\Psi}_+(z), \quad (49)$$

$$\hat{\Psi}_\gamma(z) = -\gamma_0 \hat{\Psi}_-(t, z) + \sqrt{1 - \gamma_0^2} \hat{\Psi}_+(z), \quad (50)$$

where the first field operator contains the mean-field steady state, and the latter describes excitations out of this steady state via internal transitions. Let us note that in the steady state, which we are going to determine in the following, the correlation function of the first field operator $\hat{\Psi}_N(z)$ fulfills $\int dz \langle \hat{\Psi}_N^\dagger(z) \hat{\Psi}_N(z) \rangle = N + N_{\text{qntm}}$, where N_{qntm} describes excitations out of the mean-field condensate. Hence, we chose the label N . For simplicity, we focus here on the special case of symmetric coupling ($\chi = 0$) and noninteracting atoms with $g = 0$. It follows that the equations of motion for these new fields are given by

$$i\partial_t \hat{a} = (\Omega_m - i\Gamma_m) \hat{a} - \frac{\lambda}{2} \int dz \cos(2z) [(1 - 2\gamma_0^2)(\hat{\Psi}_N^\dagger \hat{\Psi}_\gamma + \hat{\Psi}_\gamma^\dagger \hat{\Psi}_N) + 2\gamma_0 \sqrt{1 - \gamma_0^2} (\hat{\Psi}_N^\dagger \hat{\Psi}_N - \hat{\Psi}_\gamma^\dagger \hat{\Psi}_\gamma)] + i\hat{\xi}_m, \quad (51)$$

$$i\partial_t \hat{\Psi}_N = \left[-\frac{\Omega_a}{2} (1 - 2\gamma_0^2) - \omega_R \partial_z^2 - \frac{V}{2} \cos(2z) - \lambda \gamma_0 \sqrt{1 - \gamma_0^2} (\hat{a} + \hat{a}^\dagger) \cos(2z) \right] \hat{\Psi}_N + \left[\Omega_a \gamma_0 \sqrt{1 - \gamma_0^2} - \frac{\lambda}{2} (1 - 2\gamma_0^2) (\hat{a} + \hat{a}^\dagger) \cos(2z) \right] \hat{\Psi}_\gamma, \quad (52)$$

$$i\partial_t \hat{\Psi}_\gamma = \left[\frac{\Omega_a}{2} (1 - 2\gamma_0^2) - \omega_R \partial_z^2 - \frac{V}{2} \cos(2z) + \lambda \gamma_0 \sqrt{1 - \gamma_0^2} (\hat{a} + \hat{a}^\dagger) \cos(2z) \right] \hat{\Psi}_\gamma + \left[\Omega_a \gamma_0 \sqrt{1 - \gamma_0^2} - \frac{\lambda}{2} (1 - 2\gamma_0^2) (\hat{a} + \hat{a}^\dagger) \cos(2z) \right] \hat{\Psi}_N. \quad (53)$$

Here, taking into account the noise operator $\hat{\xi}_m$ is essential, as correlations exponentially decay with a rate of the order of $\mathcal{O}(\Gamma_m)$, meaning that all correlation functions would otherwise vanish in the steady-state regime. The mean-field steady state $\hat{\Psi}_- \simeq \sqrt{(1 - \gamma_0^2)N} \psi_0$, $\hat{\Psi}_+ \simeq \sqrt{N} \gamma_0 \psi_0$ is completely included in the field operator $\hat{\Psi}_N$. Hence, we can make the ansatz

$$\hat{\Psi}_N(t, z) \simeq [\sqrt{N} \psi_0(z) + \hat{d}_\sigma(t) \psi_2(z)] e^{-i\mu t}, \quad (54)$$

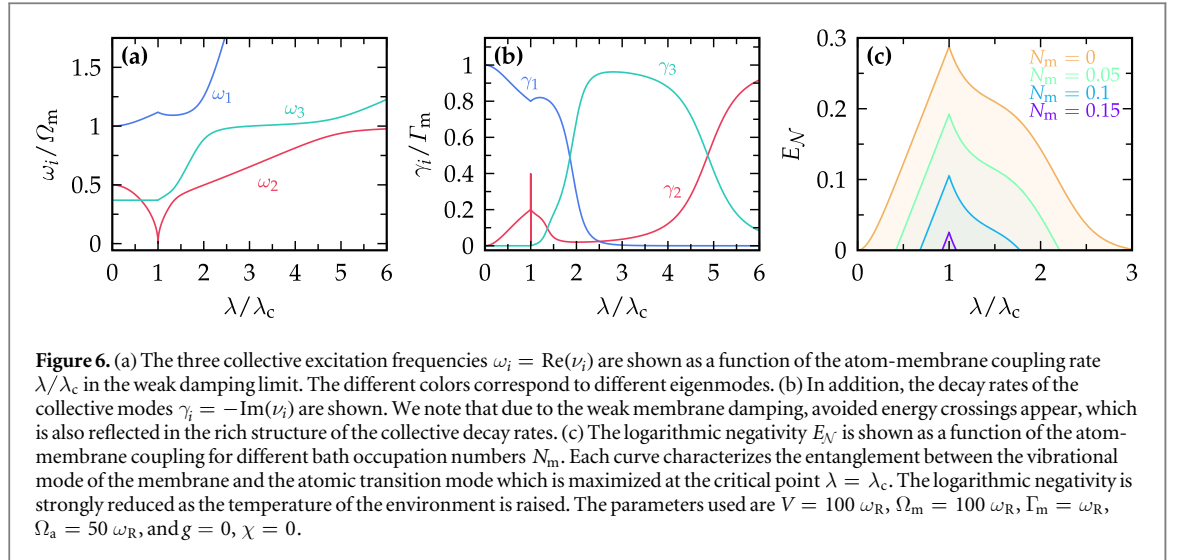
$$\hat{\Psi}_\gamma(t, z) \simeq \hat{d}_\gamma(t) \psi_0(z) e^{-i\mu t}, \quad (55)$$

where $\psi_n(z)$ are the quasi-eigenstates

$$\psi_n(z) = \left(\frac{1}{\pi \sigma_0^2} \right)^{1/4} \frac{1}{\sqrt{2^n n!}} H_n(z / \sigma_0) \exp\left(-\frac{z^2}{2\sigma_0^2}\right), \quad (56)$$

with the n th Hermite polynomial $H_n(x)$. In addition, we assume for the membrane ladder operator a similar superposition of mean-field steady state and quantum fluctuations according to $\hat{a}(t) = \sqrt{N} \alpha_0 + \hat{d}_\alpha(t)$. Here, the operators $\hat{d}_x(t)$ with $x \in \{\alpha, \gamma, \sigma\}$ follow the usual bosonic algebra, i.e. $[\hat{d}_x, \hat{d}_y^\dagger] = \delta_{xy}$.

In order to evaluate the spectrum of collective excitations, we determine the equations of motion for the ladder operators \hat{d}_x and linearize with respect to the quantum fluctuations by taking into account only the leading order terms in the atom number N . Hence, we assume that the effective coupling λ between the membrane and a single atom is small, yet the collective coupling $\sqrt{N} \lambda$ can still be large. From the set of equations (51)–(53), we find the equation of motion for the vector of the collective modes $\hat{\mathbf{d}} = (\hat{d}_\alpha, \hat{d}_\gamma, \hat{d}_\sigma, \hat{d}_\alpha^\dagger, \hat{d}_\gamma^\dagger, \hat{d}_\sigma^\dagger)^t$ according to the Bogoliubov–de Gennes equation



$$i\partial_t \hat{\mathbf{d}}(t) = \mathbf{M}(\alpha_0, \gamma_0, \sigma_0) \hat{\mathbf{d}}(t) + i\hat{\xi}(t), \quad (57)$$

with the noise vector $\hat{\xi} = (\hat{\xi}_m, 0, 0, -\hat{\xi}_m^\dagger, 0, 0)^t$. The linear stability matrix $\mathbf{M}(\alpha_0, \gamma_0, \sigma_0)$ is a 6×6 linear operator whose eigenvalues ν_i include the frequencies and the decay rates of the collective excitations. The matrix contains the full information of the steady-state solution $(\alpha_0, \gamma_0, \sigma_0)$ and can be written as

$$\mathbf{M}(\alpha_0, \gamma_0, \sigma_0) = \begin{pmatrix} \mathbf{H}(\alpha_0, \gamma_0, \sigma_0) & \mathbf{G}(\alpha_0, \gamma_0, \sigma_0) \\ -\mathbf{G}(\alpha_0, \gamma_0, \sigma_0) & -\mathbf{H}^*(\alpha_0, \gamma_0, \sigma_0) \end{pmatrix} \quad (58)$$

by defining the 3×3 -matrices \mathbf{H} and \mathbf{G} . Here, the matrix \mathbf{H} has the diagonal entries

$$H_{11} = \Omega_m - i\Gamma_m, \quad (59)$$

$$H_{22} = \Omega_a(1 - 2\gamma_0^2) + 2\sqrt{N}\lambda\gamma_0\sqrt{1 - \gamma_0^2}(\alpha_0 + \alpha_0^*)e^{-\sigma_0^2}, \quad (60)$$

$$H_{33} = \frac{2\omega_R}{\sigma_0^2} + V_{\text{eff}}(2 - \sigma_0^2)\sigma_0^2e^{-\sigma_0^2}, \quad (61)$$

with the effective lattice depth $V_{\text{eff}} = V + 4\Omega_a(\lambda/\lambda_c)^2\gamma_0^2(1 - \gamma_0^2)\exp(-\sigma_0^2)$, whereas all diagonal entries of \mathbf{G} are zero. The off-diagonal elements of the two matrices couple the individual bare modes with each other and take the values

$$H_{12} = -\frac{\sqrt{N}\lambda}{2}(1 - 2\gamma_0^2)e^{-\sigma_0^2}, \quad (62)$$

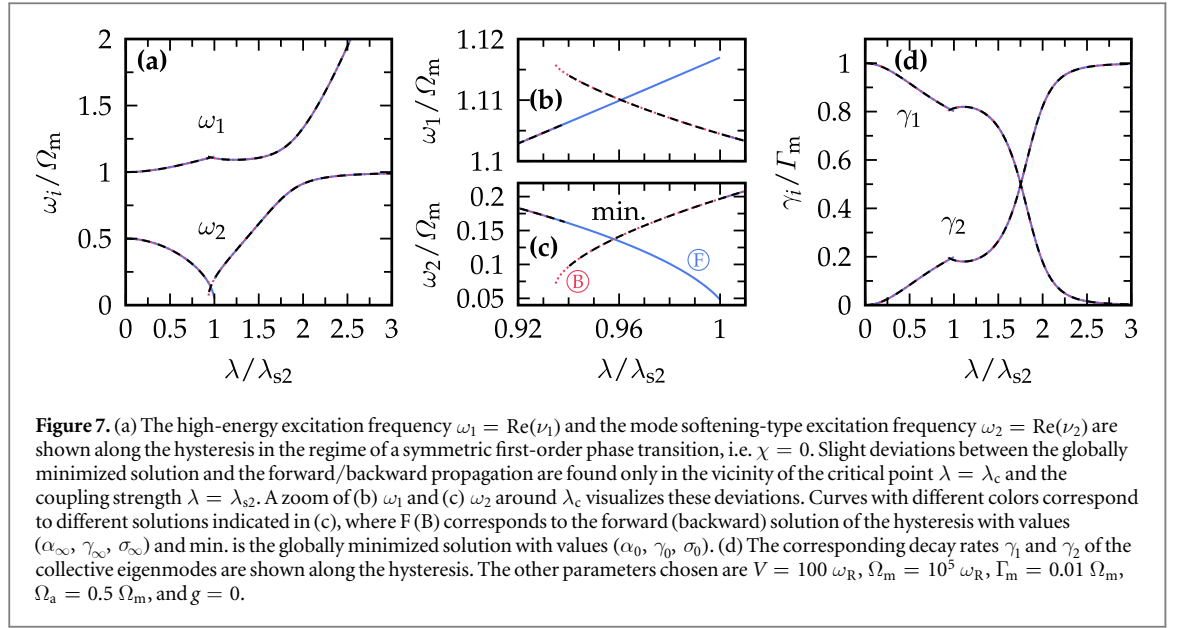
$$H_{13} = \sqrt{2N}\lambda\gamma_0\sqrt{1 - \gamma_0^2}\sigma_0^2e^{-\sigma_0^2}, \quad (63)$$

$$H_{23} = \sqrt{2N}\lambda(1 - 2\gamma_0^2)\text{Re}(\alpha_0)\sigma_0^2e^{-\sigma_0^2}, \quad (64)$$

with $G_{1,i} = H_{1,i}$ for $i \neq 1$, and $\mathbf{H}^t = \mathbf{H}$, $\mathbf{G}^t = \mathbf{G}$. All other entries vanish.

4.1. Collective excitations and atom-membrane entanglement

In figure 6, we show the spectrum of the collective excitations in the regime of the continuous phase transition for a weakly damped membrane $\Gamma_m = 0.01 \Omega_m$. The excitation frequencies of the collective modes $\omega_i = \text{Re}(\nu_i)$ are shown in panel (a). Below the critical coupling rate $\lambda < \lambda_c$, the breathing mode ω_3 (green) is constant, while the low energy excitation frequency (red) exhibits a mode softening and monotonically decreases to zero according to $\omega_2 \simeq \Omega_a\sqrt{1 - (\lambda/\lambda_c)^2}$. Simultaneously, the high-energy frequency ω_1 (blue) monotonically increases. Above the threshold $\lambda > \lambda_c$, the low-energy mode frequency increases again. This mode eventually saturates to Ω_m , which means that the membrane mode decouples from the atomic modes. The high-energy frequency ω_1 decreases in a short interval after the critical point. At the end of this interval, an avoided energy crossing between ω_1 and ω_3 occurs and, afterwards, the two mode frequencies follow a monotonic increase. The presence of the avoided crossing is a direct consequence of the comparably weak membrane damping rate $\Gamma_m = 0.01 \Omega_m$. For the sake of completeness, we show the decay rates $\gamma_i = -\text{Im}(\nu_i)$ in figure 6(b). In the vicinity of the avoided crossings in (a), the initially small decay rates are significantly increased, which indicates a strong mixing of the membrane mode and the atomic modes. Moreover, the decay rate γ_2 exhibits a bifurcation, which appears as a vertical line at the critical coupling rate, where the corresponding excitation frequency ω_2 vanishes. In fact, a zoom shows that the decay rate bifurcates in a finite interval around the critical point, e.g. see [36].



In order to determine the atom-membrane entanglement in the steady-state regime, we solve the Bogoliubov–de Gennes equation (57) in the long-time limit $t \rightarrow \infty$. A possible measure to quantify the quantum entanglement between two different bare modes is the logarithmic negativity E_N [36–39], which is evaluated from the reduced covariance matrix $C_{kl} = \langle \hat{x}_k \hat{x}_l + \hat{x}_l \hat{x}_k \rangle / 2$. Here, we adopted the shorthand notation $\hat{\mathbf{x}} = (\hat{q}_\alpha, \hat{q}_\gamma, \hat{q}_\sigma, \hat{p}_\alpha, \hat{p}_\gamma, \hat{p}_\sigma)^t$ with the quadratures $\hat{q}_\mu = (\hat{d}_\mu + \hat{d}_\mu^\dagger) / \sqrt{2}$, $\hat{p}_\mu = (\hat{d}_\mu - \hat{d}_\mu^\dagger) / \sqrt{2}i$. The columns and rows of the irrelevant modes are neglected. The mean value has to be taken for the long-time solution such that $\langle \hat{a} \hat{b} \rangle \equiv \lim_{t \rightarrow \infty} \langle \hat{a}(t) \hat{b}(t) \rangle$. In general, the covariance matrix C takes the form

$$C = \begin{pmatrix} U & V \\ V^t & W \end{pmatrix}. \quad (65)$$

The logarithmic negativity is then related to the smallest symplectic eigenvalue $\tilde{\nu}_-$ of C and is expressed as $E_N = \max \{0, -\log(2\tilde{\nu}_-)\}$ with

$$\tilde{\nu}_- = 2^{-1/2} \sqrt{\Sigma(C) - \sqrt{\Sigma(C)^2 - 4 \det C}}, \quad (66)$$

and $\Sigma(C) = \det U + \det W - 2 \det V$. We note that the symplectic eigenvalues of the matrix C corresponds to the eigenvalues of the matrix $A = iJC$, where J is the skew-symmetric matrix

$$J = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad (67)$$

and $\mathbf{1}$ is the 2×2 identity matrix.

The resulting entanglement between the membrane mode \hat{a}_α and the atomic transition mode \hat{d}_γ is shown in figure 6(c), where we have neglected the columns and rows of the covariance matrix that either contain \hat{q}_σ or \hat{p}_σ . The logarithmic negativity E_N exhibits a nondifferentiable, global maximum at the critical coupling rate $\lambda = \lambda_c$. Above the critical point, the entanglement is progressively reduced as the atom-membrane coupling increases. This behavior intermediately flattens out in the region of the avoided crossings, which are indicated in figure 6(a) around $\lambda \simeq 1.5 \lambda_c$. The differently colored curves correspond to cases with different environmental occupation numbers N_m , which enter in the autocorrelation function of the noise in equation (18).

4.2. Excitation spectrum along the hysteresis

In the regime of a first-order phase transition $\Omega_a > \Omega_c$, the experimentally observed spectrum of excitations does, in general, not coincide with the predicted excitation spectrum of equation (58). This is because the globally minimized solution $(\alpha_0, \gamma_0, \sigma_0)$ differs from the long-time solution $(\alpha_\infty, \gamma_\infty, \sigma_\infty)$ in the vicinity of the critical point λ_c due to the presence of a hysteresis. In the following, we, therefore, distinguish between the *minimal* spectrum, which is evaluated with the globally minimized state $(\alpha_0, \gamma_0, \sigma_0)$, and the *forward* (*backward*) spectrum, which is determined with the long-time solution $(\alpha_\infty, \gamma_\infty, \sigma_\infty)$ of the equations of motion (24)–(26) for a coupling strength λ that is adiabatically raised (reduced). In order to determine the spectrum of collective excitations along the hysteresis of a symmetric first-order phase transition, we evaluate the linear stability matrix $M(\alpha_\infty, \gamma_\infty, \sigma_\infty)$.

The high-energy excitation frequency ω_1 and the mode softening-type excitation frequency ω_2 are shown in figure 7(a) as a function of the atom-membrane coupling strength λ . Here, the solid (blue) curve illustrates the *forward* solution, the dotted (red) curve the *backward* solution and the dashed (black) curve shows the excitation frequency in the *minimal* state. Deviations between the curves are only present when the steady-state solution $(\alpha_0, \gamma_0, \sigma_0)$ and the long-time solution $(\alpha_\infty, \gamma_\infty, \sigma_\infty)$ differ from each other. For a better visualization, we show a zoom around the critical point $\lambda = \lambda_c$ in figures 7(b) and (c). Following the *forward* path of the softening mode frequency ω_2 in (c), it coincides with the dashed, *minimal* solution evaluated up to the critical coupling λ_{s1} , then further decreases until it reaches a minimal value at $\lambda = \lambda_{s2}$ and jumps back to the *minimal* solution. A similar behavior is found for the *backward* solution in the opposite direction and the high-energy excitation frequency ω_1 in (b). For completeness, we show the corresponding decay rates of the collective excitation modes γ_1 and γ_2 in figure 7(d). In contrast to the second-order phase transition, no bifurcation of the decay rate of the low-energy excitation mode γ_2 is observed. We note that due to the large frequency mismatch between the breathing mode and the remaining modes, the breathing mode decouples and is very well approximated by $\nu_3 \simeq \omega_\sigma = 4\sqrt{\omega_R V_{\text{eff}}(1 - 2\sigma_0^2)}e^{-\sigma_0^2}$ and therefore omitted from the figure.

5. Conclusion

We have shown that the hybrid atom-optomechanical system not only undergoes a NQPT between phases of different collective behavior, but also that the order of the phase transition can be tuned in a straightforward manner. The steady state of an atomic condensate in an optical lattice, whose internal states are coupled to a single mechanical vibrational mode of a distant membrane, has been analyzed, based on a Gross–Pitaevskii-like mean-field approach with a time-dependent Gaussian variational ansatz. Mediated by the light field of a common laser, the atom-membrane coupling is tuned by changing the laser intensity. Below a critical coupling λ_c , all the atoms occupy the energetically lower state $|-\rangle$ and at the critical point a NQPT occurs. This phase is characterized by a sizeable steady-state occupation of the energetically higher state $|+\rangle$ and a constantly displaced membrane. The order of this NQPT is determined by the state-dependent atom-membrane coupling and the transition frequency Ω_a . For an asymmetric coupling, $\chi \neq 0$, an asymmetric first-order phase transition occurs with a preferred occupation amplitude of the internal states. Instead, for a symmetric coupling, $\chi = 0$, the phase transition is discontinuous for transition frequencies above a critical value Ω_c . Moreover, the first-order phase transition is accompanied by hysteresis. On the other hand, when the transition frequency Ω_a is smaller than the critical value, the $U(1)$ -symmetry of the internal states is spontaneously broken and a second-order phase transition occurs. This phase transition is characterized by an enhanced atom-membrane entanglement, a mode softening of the frequency and a bifurcation of the decay of the low-energy excitation mode. The transition between a first- and second-order is observable by tuning readily accessible parameters in the internal state coupling scheme.

Finally, we note the difference of the NQPT described here to the dynamical instability of a Bose–Einstein condensate in a lattice [40] which occurs when the atom–atom interaction strength exceeds the lattice depth. The cause for the dynamical instability in a BEC in a lattice is that the GPE has an exact solution which lies at the boundary of the first Brillouin zone. Thus, the instability can only occur in the interacting case and is absent in the single-particle case. Then, the resulting particle density, and therefore also the mean-field solution (due to interparticle interactions), has a component varying with the same spatial periodicity as the lattice and thus can cancel the lattice potential and generate a dynamic instability.

In the present case, the lattice potential of one BEC species and the coupling to the membrane and to the other BEC species both have the same periodicity as well and also can have different signs. Depending on the dynamical backaction of the membrane and of the other BEC species, the one BEC species sees a different ‘global’ energetic minimum and is thus driven into a different phase. Yet, it always remains in the BEC state and the lattice potential during the entire consideration is never canceled, otherwise the Gaussian ansatz would not be justified. The phase transition studied here refers instead to the depletion of the condensate in one of the two species. Importantly, this holds in absence of the atom–atom interactions within the BEC (i.e. the single particle level of the atoms) as well (for $g_{\mu\nu} = 0$). The corresponding question for the hybrid system has been addressed in [34] where the coupling of the motional degrees of freedom of the atoms is coupled to a mechanical oscillator.

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