# COHESION OF AMORPHOUS SILICA SPHERES: TOWARD A BETTER UNDERSTANDING OF THE COAGULATION GROWTH OF SILICATE DUST AGGREGATES

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# ABSTRACT

Adhesion forces between submicrometer-sized silicate grains play a crucial role in the formation of silicate dust agglomerates, rocky planetesimals, and terrestrial planets. The surface energy of silicate dust particles is the key to their adhesion and rolling forces in a theoretical model based on contact mechanics. Here we revisit the cohesion of amorphous silica spheres by compiling available data on the surface energy for hydrophilic amorphous silica in various circumstances. It turned out that the surface energy for hydrophilic amorphous silica in a vacuum is a factor of 10 higher than previously assumed. Therefore, the previous theoretical models underestimated the critical velocity for the sticking of amorphous silica spheres, as well as the rolling friction forces between them. With the most plausible value of the surface energy for amorphous silica spheres, theoretical models based on the contact mechanics are in harmony with laboratory experiments. Consequently, we conclude that silicate grains with a radius of  $0.1 \,\mu\text{m}$  could grow to planetesimals via coagulation in a protoplanetary disk. We argue that the coagulation growth of silicate grains in a molecular cloud is advanced either by organic mantles rather than icy mantles or, if there are no mantles, by nanometer-sized grain radius.

Key words: circumstellar matter – dust, extinction – ISM: clouds – planets and satellites: formation – protoplanetary disks

## 1. INTRODUCTION

It is of great importance in astrophysics to understand the outcomes of mutual collisions between submicrometer-sized silicate grains as well as agglomerates of them at relative velocities of  $1-100 \text{ m s}^{-1}$ . In their pioneering work, Poppe et al. (2000) presented collision experiments in which amorphous silica spheres (Monospher®, Merck) of radius  $a = 0.6 \,\mu \text{m}$  stuck to an amorphous silica target unless the collision velocity exceeded  $1.1-1.3 \text{ m s}^{-1}$ . However, this threshold velocity is one order of magnitude higher than the critical velocity for the sticking of the spheres that was expected from a theoretical consideration (see Chokshi et al. 1993; Dominik & Tielens 1997). Blum & Wurm (2000) experimentally demonstrated that the energy necessary for breaking existing contact between amorphous silica spheres is underestimated in the theoretical arguments (see Güttler et al. 2010, for more experimental works). The theoretical estimates rely on the contact mechanics, in particular the so-called JKR theory of adhesive contact of Johnson et al. (1971). Schräpler & Blum (2011) measured the erosion rates of agglomerates consisting of nonporous amorphous silica spheres (sicastar<sup>®</sup>, micromod Partikeltechnologie GmbH) with a radius of  $a = 0.76 \,\mu \text{m}$  using collisions of the same silica spheres. Owing to the discrepancy between experiments and models for the sticking of amorphous silica spheres, the measured erosion rates are much smaller than expected by theoretical models. As a result, a modification of the JKR theory involving introducing additional energy dissipation has been a common practice for compensating for the discrepancy (Brilliantov et al. 2007; Paszun & Dominik 2008; Seizinger et al. 2013). It is

unfortunate that the majority of recent models tend to do nothing more than focus on how to tune the energy dissipation in the models to experimental results on collisions.

According to the JKR theory, the critical velocity for the sticking of spherical particles is proportional to  $\gamma^{5/6}$ , where  $\gamma$ denotes the surface energy of the particles (Chokshi et al. 1993; Dominik & Tielens 1997). Following an experimental work by Kendall et al. (1987a) or Heim et al. (1999), the surface energy of hydrophilic amorphous silica is commonly assumed to be  $\gamma = 0.020 - 0.025 \,\mathrm{J}\,\mathrm{m}^{-2}$  in the previous models (Chokshi et al. 1993; Dominik & Tielens 1997; Wada et al. 2007; Ringl et al. 2012; Seizinger et al. 2013). These values for amorphous silica are smaller than those for H<sub>2</sub>O ice, while substances with high melting temperatures have long been well-known to have high surface energies (e.g., Reynolds et al. 1976; Israelachvili 2011; Yamamoto et al. 2014).<sup>6</sup> It is worthwhile to note that the surface chemistry of the particles, and hence their circumstances, play an important role in the determination of the surface energy (e.g., Parks 1984; Walton 2007; Israelachvili 2011). In fact, it has been suggested that the surface energy of silica glass measured in a vacuum is one order of magnitude higher than that under ambient conditions (Orowan 1944). Kendall et al. (1987a) and Heim et al. (1999) presented their results on the surface energy under ambient conditions, while Poppe et al. (2000), Blum & Wurm (2000), and Schräpler & Blum (2011) performed their collision experiments in a vacuum. The surfaces of hydrophilic amorphous silica are occupied by silanol (Si-OH) groups with at most a single

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 $<sup>\</sup>overline{}^{6}$  To be precise, the surface energy is, in theory, proportional to the melting temperature divided by the square of the nearest-neighbor separation (Reynolds et al. 1976; Blairs & Joasoo 1981). Thus, the conclusion of Yamamoto et al. (2014), who claim the proportionality of the surface energy to the melting temperature is flawed.

monolayer of adsorbed H<sub>2</sub>O molecules ( $\theta_{\text{H}_2\text{O}} \leq 1$ ) in a vacuum (Zhuravlev 2000). In contrast, hydrophilic amorphous silica surfaces under ambient conditions are found to swell by 1–2 nm, which reflect multiple layers of adsorbed H<sub>2</sub>O molecules ( $\theta_{\text{H}_2\text{O}} > 1$ ) (Vigil et al. 1994). Therefore, when evaluating the value of  $\gamma$  that is appropriate for mutual collisions of amorphous silica grains, one has to properly take into account the surface chemistry of interfering grain surfaces.

On one hand, the hydrogen-bonded linkage at the outermost layer of adsorbed water is the main contributor for the surface energy measured by Kendall et al. (1987a) and Heim et al. (1999) at the equilibrium elastic displacements expected in the JKR theory. On the other hand, hydrogen bonding between silanol groups may contribute to the cohesive force on hydrophilic silicate grains upon collision in a vacuum. Because hydrogen bonding between silanol groups is stronger than hydrogen bonding between water molecules, it is likely that the previous models underestimated the surface energy for amorphous silica in collision experiments. Consequently, we may not need additional energy dissipation to describe collision experiments in the framework of the JKR theory if we pay attention to which value of  $\gamma$  is more appropriate for collision experiments.

### 2. SURFACE ENERGY OF AMORPHOUS SILICA

The surface energy  $\gamma$  is the most important parameter for modeling mutual collisions between silicate grains, though its determination is not trivial. First, we shall review a possible range of surface energies determined for amorphous silica surfaces under different conditions and techniques. By summarizing the available data on the surface energies, we propose the most probable value for the surface energy of amorphous silica appropriate for collision experiments.

### 2.1. Contact Spot Measurements

Kendall et al. (1987a, 1987b) determined the equilibrium diameter of contact between fine powders of amorphous silica spheres from an elastic modulus of the powder assembly. They estimated the surface energy of  $\gamma = 0.025 \text{ Jm}^{-2}$  for amorphous silica (AEROSIL<sup>®</sup> OX50, Degussa) with a silanol density of  $\alpha_{OH} = 2.0 \text{ OH nm}^{-2}$  at room temperature. Because the elastic modulus increased with temperature, but did not change with immersion in water, they concluded that the surface of powder particles was saturated with atmospheric contaminations mainly of adsorbed water.

### 2.2. Direct Pull-off Force Measurements

Bradley (1932) measured pull-off forces between quartz glass spheres, one of which is supported by a spiral spring, while the other is attached to a rod. His experimental data on the relation between the forces and the effective diameter of the spheres in air yield  $\gamma = 0.045 \text{ J m}^{-2}$ , based on the JKR theory (Kendall 2001).

### 2.3. Colloidal Probe Techniques

In colloidal probe techniques, amorphous silica particles are glued to the cantilever of an atomic force microscope (AFM) using an adhesive such as epoxy resin because they cannot be practically attached to a cantilever by sintering. Using an AFM, Fuji et al. (1999) measured the surface energies of nonporous

hydrophilic amorphous silica particles (Admafine) with a radius  $a = 0.85 \,\mu \text{m}$  and hydrophobic amorphous silica particles. The hydrophobic amorphous silica particles were prepared by modification with hexamethyldisilazane (HMDS) to the surfaces of the hydrophilic amorphous silica particles, in which the outermost hydrogen atoms were partly replaced by trimethylsilyl (TMS) groups. The surface energy of hydrophilic silica particles with  $\alpha_{OH} = 3.6-4.6 \text{ OH nm}^{-2}$  lies in the range of  $\gamma = 0.020-0.032 \text{ J m}^{-2}$  at relative humidities of 40%-70% and increases up to  $\gamma = 0.055 \text{ Jm}^{-2}$  at relative humidities close to 90%. Fuji et al. (1999) found values as small as  $\gamma = 0.002 \,\mathrm{J \,m^{-2}}$  for the highest TMS density of  $1.16 \text{ TMS nm}^{-2}$ , while the value increases with relative humidities. This extremely low surface energy results from the shielding of surface silanol groups by the TMS groups from forming hydrogen bonds (Fuji et al. 1999). The surface energies for silica particles with the TMS density less than  $1.0 \text{ TMS nm}^{-2}$  were  $\gamma \approx 0.023 \text{ J m}^{-2}$  at relative humidities 40%–80%, similar to the values for the original silica particles. They claimed that their experiments were limited to relative humidities at 40% and above, because the effect of tribological charging on their measurements cannot be neglected at relative humidities lower than 40%. A similar AFM measurement by Heim et al. (1999) gave  $\gamma = 0.0186 \pm 0.0032 \,\mathrm{J}\,\mathrm{m}^{-2}$  for nonporous, hydrophilic silica microspheres (Bangs Laboratories, Inc.) of  $a = 0.5-2.5 \,\mu\text{m}$ , independent of relative humidity in the range of 10%-40%. A pull-off force for the same silica spheres (Bangs Laboratories, Inc.) of  $a = 1.5-2.5 \,\mu\text{m}$  on an oxidized silicon wafer was measured by Ecke et al. (2001). They determined  $\gamma = 0.0307 \text{ J m}^{-2}$  for the original surfaces and  $\gamma = 0.004 \text{ J m}^{-2}$  for silanated surfaces modified with HMDS, with a standard deviation of 30%-40%. The identical experimental setup was used to measure sliding frictional forces between silica spheres (Bangs Laboratories, Inc.) and the oxidized silicon wafers (Ecke & Butt 2001). The surface energies for the original and silanated surfaces were  $\gamma = 0.036 \text{ J} \text{ m}^{-2}$  and  $\gamma = 0.0055 \text{ J} \text{ m}^{-2}$ , respectively, based on the JKR theory. Ling et al. (2007) measured a pull-off force between the same spheres (Bangs Laboratories, Inc.) of  $a = 1.4-2.1 \,\mu\text{m}$  as Heim et al. (1999) at relative humidities of <30%, with special care given for contamination. The measured forces correspond to the surface energies of  $\gamma = 0.0425 \pm 0.0053 \,\mathrm{J}\,\mathrm{m}^{-2}$  for the original spheres and  $\gamma = 0.0453 \pm 0.0148 \,\mathrm{J}\,\mathrm{m}^{-2}$  for plasma-treated spheres.

## 2.4. Surface Forces Apparatus (SFA) Measurements

A pull-off force measured between silica sheets mounted on an SFA in dry nitrogen indicates  $\gamma = 0.052 \pm 0.003 \text{ J m}^{-2}$  for silica glass (Suprasil<sup>®</sup>, Heraeus), whose surface has a low degree of hydroxylation but is still hydrophilic (Horn et al. 1989). Vigil et al. (1994) measured a pull-off force between amorphous silica films using an SFA and suggests  $\gamma = 0.056 \text{ J m}^{-2} (t/10 \text{ s})^{1/n}$  in humid air with 33% relative humidity and  $\gamma = 0.006 \text{ J m}^{-2} (t/10 \text{ s})^{1/n}$  in dry air where *t* indicates the time after contact and  $n = 8 \pm 1$ . The low surface energy of silica films in dry air results from the small size of the capillary neck formed by a low amount of water molecules. They also derived  $\gamma = 0.005-0.015 \text{ J m}^{-2}$  from the equilibrium diameter of the contact spot using friction forces between amorphous silica films measured in dry air, while  $\gamma = 0.071 \pm 0.004 \text{ J m}^{-2}$  for 100% of relative humidity. Contact adhesion measurements by Wan et al. (1992) gave the surface energy  $\gamma \approx 0.062 \,\mathrm{J \, m^{-2}}$  for silica plates over a wide range of relative humidities (5%–95%). Derjaguin et al. (1977, 1978) applied an SFA to determine the Hamaker constant for fused quartz filaments, which can be translated to the surface energy  $\gamma \approx 0.022 \,\mathrm{J \, m^{-2}}$  within an accuracy of 10%–20% (see Israelachvili 2011).

### 2.5. Molecular Modeling

The surface energy of amorphous silica in a vacuum could be determined by a molecular dynamics (MD) simulation, but it turned out that the value of the surface energy strongly depends on the interatomic potential adopted for the study. Roder et al. (2001) derived  $\gamma \sim 0.900$  J m<sup>-2</sup> at 4427°C<sup>7</sup> and  $\gamma \sim 1.250$  J m<sup>-2</sup> at 2477°C from their MD simulation of amorphous silica nanoparticles with siloxane (a functional group with the Si-O-Si linkage) surfaces using the so-called BKS potential. In contrast, Hoang (2007) obtained  $\gamma \approx 0.1 \text{ Jm}^{-2}$  for siloxane surfaces at a temperature of 77°C and  $\gamma \approx 0.142 \,\mathrm{J \, m^{-2}}$  at 6727°C using a Morse-type potential for short-range interactions. MD simulations of amorphous silica nanoparticles with siloxane surfaces also showed that the surface energy depends on the size and temperature of the particles if their sizes are as small as a few nanometers (Roder et al. 2001; Hoang 2007). MD simulations with the COMPASS force field by Sun et al. (2013) have demonstrated the validity of the JKR theory to silica nanospheres. They also derived the surface energy of  $\gamma \approx$  $0.0295 \text{ Jm}^{-2}$  for siloxane surfaces from the maximum negative value of the Lennard-Jones potential. Recently, Leroch & Wendland (2013) have used a Morse-type potential for shortrange interactions to simulate pull-off forces between silica nanospheres with a silanol density of  $\alpha_{OH} = 4.6 \text{ OH nm}^{-2}$  at room temperature under ambient conditions. They found that their results are consistent with  $\gamma \approx 0.085 - 0.091 \, \text{J m}^{-2}$  for relative humidities between 10% and 80%, and  $\gamma = 0.034 \text{ J m}^{-2}$ for dry air. The surface energies for amorphous silica surfaces with  $\alpha_{\rm OH} = 3.0 \,\rm OH \, nm^{-2}$  computed by Leroch & Wendland (2012) with a Morse-type potential lie in the range of  $\gamma = 0.03-0.10 \,\mathrm{J}\,\mathrm{m}^{-2}$  at room temperature under ambient conditions depending on relative humidity. Cole et al. (2007) computed the surface energies of  $\gamma = 0.0365-0.097 \text{ J m}^{-2}$  for oxidized silicon surfaces as well as  $\gamma = 0.019-0.090 \text{ J m}^{-2}$  for amorphous silica surfaces at room temperature, based on the combination and extension of the Stillinger-Weber potential and the Vashishta potential. Cabriolu & Ballone (2010) obtained  $\gamma = 0.31 \pm 0.02 \,\mathrm{J \, m^{-2}}$  by using the Keating potential to model the siloxane surfaces of amorphous silica at room temperature.

#### 2.6. Contact Angle Techniques

Jańczuk & Zdziennicka (1994) used a quartz glass cell to derive the surface energy of  $\gamma = 0.058-0.060 \,\mathrm{J\,m^{-2}}$  from contact angle measurements and suggested multiple layers of adsorbed water on the surface. Contact angle measurements by Zdziennicka et al. (2009) resulted in the surface energy of  $\gamma = 0.059 \,\mathrm{J\,m^{-2}}$  for quartz glass plates. Harnett et al. (2007) measured contact angles to calculate the surface energy of  $\gamma = 0.0354 \pm 0.0035 \,\mathrm{J\,m^{-2}}$  for original silicon dioxide chips and  $\gamma = 0.0446 \pm 0.0031 \,\mathrm{J\,m^{-2}}$  for cleaned ones with appropriate solvents.

Kessaissia et al. (1981) derived the surface energy  $\gamma = 0.151$  $\pm$  0.007 J m<sup>-2</sup> for mesoporous silica particles (Spherosil) with silanol density of  $\alpha_{\rm OH} \approx 3.4 \pm 0.1 \,{\rm OH} \,{\rm nm}^{-2}$  pressed into a disk from measurements of liquid contact angles and adsorption isotherms. They also determined the surface energies for silica surfaces covered with hydrocarbon chains that were found to decrease with the length of the chains. Helmy et al. (2007) derived  $\gamma = 0.2504 \text{ Jm}^{-2}$  for silica gel from isotherms and a different formula to calculate the surface energy with a monolayer of water molecules at room temperature. They also reanalyzed the adsorption isotherms published in the literature inclusive of Kessaissia et al. (1981) and obtained  $\gamma = 0.1967-0.2826 \text{ J m}^{-2}$  for silica samples and  $\gamma = 0.1728-0.2428 \text{ J m}^{-2}$  for silicas outgassed at high temperatures. Kimura et al. (2000) determined the surface energy of  $\gamma = 0.093 \,\mathrm{J \, m^{-2}}$  for original silica filler using adsorption isotherms and  $\gamma = 0.048 - 0.102 \,\mathrm{J \, m^{-2}}$  for surfacemodified silica filers. When the original silica filler was heated at 200°C in He gas for 12 hr or at 400°C and 900°C in N<sub>2</sub> gas prior to measurements, the surface energies were found to be  $\gamma = 0.1557, 0.1181, \text{ and } 0.0814 \text{ J m}^{-2}, \text{ respectively (Kimura } 1000 \text{ J})$ et al. 2004). They performed the X-ray diffraction analysis and confirmed amorphous structures for the silica fillers heated to 200°C and 400°C, but crystalline structures of cristobalite and tridymite for the silica filler heated to 900°C.

### 2.7. Thin-layer Wicking Technique

Thin-layer wicking experiments carried out by Chibowski & Hołysz (1992) gave a surface energy of  $\gamma = 0.0465 - 0.0603$  J m<sup>-2</sup> at room temperature for thin-layer chromatography silica, which were dried at 150°C for 2 hr prior to the experiments. Hołysz (1998) measured the wicking rates at room temperature to determine the surface energy components for thermally pretreated silica gel samples (Merck). She obtained a total surface energy of  $\gamma = 0.0488 \text{ Jm}^{-2}$  and a silanol density of  $\alpha_{\text{OH}} \approx 3.52 \text{ OH nm}^{-2}$ for 200°C pretreatment. The surface energy increases with pretreatment temperature from  $\gamma = 0.0547 \text{ J m}^{-2}$  for 400°C to  $\gamma = 0.0634 \,\mathrm{J \, m^{-2}}$  for 1000°C. Her estimates of silanol densities indicate that hydrogen bonding on silica surfaces is electrondonor interaction rather than electron-acceptor interaction. González-Martín et al. (2001) derived the surface energy of  $\gamma = 0.0491 - 0.0495 \,\mathrm{J \, m^{-2}}$  at room temperature from their measurements with silica gel for thin-layer chromatography that was dried at 150°C for 1 hr before the experiments. Cui et al. (2005) obtained  $\gamma = 0.0495 \,\mathrm{J}\,\mathrm{m}^{-2}$  for silica gel thin-layer chromatography plates that were dried at 150°C for 1 hr prior to wicking measurements.

#### 2.8. Calorimetric Method

Brunauer et al. (1956) determined the total surface energy of  $\gamma = 0.259 \pm 0.003 \text{ J m}^{-2}$  for dehydroxylated amorphous silica in a vacuum by the heat-of-dissolution method. However, Tarasevich (2007) claimed that the condition for dehydroxylated amorphous silica in Brunauer et al. (1956) assumes the presence of hydroxyl groups on the surface of the silica. By adsorption-calometric determination, Tarasevich (2007) obtained surface energies of amorphous silica gel and macroporous amorphous silica (Silochrome) with silanol groups to be  $\gamma = 0.275 \text{ J m}^{-2}$  and 0.200 J m<sup>-2</sup>, respectively.

<sup>&</sup>lt;sup>7</sup> Note that MD simulations consider only nanoseconds, while the sublimation of silica requires tens of microseconds at a temperature of 4427°C.

#### 2.9. Cleavage Method

The surface energy of brittle materials such as amorphous silica can be derived from the strain energy release rate for an equilibrium crack (Gillis & Gilman 1964; Sridhar et al. 1997). Lucas et al. (1995) derived  $\gamma = 4.25 - 4.30 \text{ J m}^{-2}$  from the strain energy release rate for vitreous silica glass that were annealed for three hours at 1050°C. Michalske & Fuller (1985) measured the closure and reopening forces on cracks in vitreous silica glass, yielding a surface energy of  $\gamma \approx 0.075 \, \mathrm{J \, m^{-2}}$  for humidities above 20% and  $\gamma \approx 0.030 \pm 0.003 \,\mathrm{J \, m^{-2}}$  for humidities close to 1%. Wiederhorn & Johnson (1971) reported the surface energy of  $\gamma \approx 2.2 \pm 0.1 \text{ Jm}^{-2}$  for silica glass that was heated at temperatures above 500°C and cooled to room temperature. Cocheteau et al. (2013) obtained  $\gamma \approx 0.084 \text{ J m}^{-2}$ for fused silica glass surfaces at room temperature and  $\gamma \approx$  $0.20-0.21 \text{ Jm}^{-2}$  when annealed at  $100^{\circ}$ C. Lawn et al. (1987) used fused silica microscope slides to observe crack motion and determined  $\gamma = 0.1 \, \text{Jm}^{-2}$  under ambient conditions (55%) relative humidity). Kalkowski et al. (2010, 2011, 2012) obtained the surface energy of  $\gamma = 0.3 \pm 0.1 \, \mathrm{J \, m^{-2}}$  for fused silica wafers bonded in a vacuum and heated to 200°C-250°C for several hours.

A study on the bonding of oxidized silicon wafers is useful to better understand the surface energy of amorphous silica because the surfaces of oxidized silicon wafers are occupied by amorphous silicon dioxide (Plößl & Kräuter 1999). Using the crack-opening method, Maszara et al. (1988) determined the surface energy of oxidized silicon wafers with hydrophilic surfaces at room and elevated temperatures in an inert atmosphere. The surface energy of  $\gamma = 0.0300 - 0.0425 \,\mathrm{J \, m^{-2}}$ was determined at room temperature, implying that only a fraction of silanol groups is in contact. They attributed the surface energy of  $\gamma = 0.050-0.075 \,\mathrm{J \, m^{-2}}$  at temperatures of 100°C–200°C to hydrogen bonds between silanol groups. The temporal variation in the surface energy was observed at temperatures below 600°C, which we could fit by  $\gamma = 0.085 \text{ J m}^{-2} (t/10 \text{ s})^{1/n}$  with  $n = 8 \pm 1$  at 300°C–400°C (cf. Maszara et al. 1988). The temporal variation was interpreted by Maszara et al. (1988) as being due to the hydrogen bonds between silanol groups being replaced by siloxane bonds due to the loss of water molecules. Siloxane surfaces on the oxidized silicon wafers were established at 600°C-1100°C, as they observed nearly the independence of the surface energy on time. The surface energies in this range of temperatures are  $\gamma = 0.160-0.195 \text{ J m}^{-2}$  at 600°C,  $\gamma = 0.205-0.230 \text{ J m}^{-2}$  at 800°C,  $\gamma = 0.295-0.440 \text{ J m}^{-2}$  at 1000°C,  $\gamma = 0.34-0.55 \text{ J m}^{-2}$  at 1100°C, and  $\gamma \approx 1.1 \text{ J m}^{-2}$  at 1400°C. Li et al. (2013) determined the surface energy of  $\gamma = 0.05 \text{ J m}^{-2}$  for oxidized silicon wafers at room temperature and  $\gamma = 0.76 - 0.11 \,\mathrm{J}\,\mathrm{m}^{-2}$  after 2 hr of annealing at 200°C-350°C in N<sub>2</sub> atmosphere, which was accounted for by the transformation of hydrogen bonds into siloxane bridges. They also reported that the surface energies at annealing temperatures of 500°C-1000°C reach  $\gamma = 0.23-0.90 \, \text{J} \, \text{m}^{-2}$  and the surface energies increase when the surface was activated by  $O_2$ plasma. Fournel et al. (2012) have shown that the surface energies for oxidized silicon wafers in an anhydrous nitrogen atmosphere were higher than those in an ambient atmosphere from 20°C to 1200°C. The measured surface energies were  $\gamma \approx$ 0.071–0.10 J m<sup>-2</sup> at 20°C,  $\gamma \approx 0.27$ –0.38 J m<sup>-2</sup> at 200°C, and  $\gamma \approx 0.55 - 1.2 \,\mathrm{J \, m^{-2}}$  at 400 °C. At temperatures higher than 400°C, the surface energies under an ambient atmosphere

increase gradually to  $\gamma \approx 1.1 \, \mathrm{J \, m^{-2}}$  at 1100°C and steeply to  $\gamma \approx 2.4 \,\mathrm{J\,m^{-2}}$  at 1200°C, but those in an anhydrous nitrogen atmosphere fluctuate in the range of  $\gamma \approx 1.2$ –2.9 J m<sup>-2</sup>. Wang & Suga (2011) studied the influence of  $O_2$  plasma and fluorine containing plasma on the surface energies for oxidized silicon wafers bonded in air. They obtained  $\gamma \approx 0.3 \, \mathrm{J} \, \mathrm{m}^{-2}$  for  $\mathrm{O}_2$ treatment,  $\gamma \approx 1.2 \text{ Jm}^{-2}$  for  $O_2 + CF_4$  treatment, and  $\gamma = 0.04\bar{6}$  $\pm 0.015 \,\mathrm{J}\,\mathrm{m}^{-2}$  for no plasma treatment at room temperature. Turner & Spearing (2006) determined the surface energy of  $\gamma = 0.018 - 0.020 \text{ Jm}^{-2}$  for oxidized silicon wafers at room temperature under ambient conditions. Eichler et al. (2010) derived the surface energies for oxidized silicon wafers as well as fused silica wafers from in situ crack length measurements under ambient conditions. The surface energies for oxidized silicon and fused silica at room temperature were  $\gamma \approx 0.046 \,\mathrm{J}\,\mathrm{m}^{-2}$  and  $\gamma \approx 0.024 \,\mathrm{J}\,\mathrm{m}^{-2}$ , respectively. They have shown that the surface energies increase with wafer temperature and the values were converged to  $\gamma \approx 0.205 \,\mathrm{J \, m^{-2}}$  for oxidized silicon and  $\gamma \approx 0.27 \,\mathrm{J \, m^{-2}}$  for fused silica at 200°C. The surface energies for oxidized silicon wafers in air determined by Tong et al. (1994) were  $\gamma \approx 0.073$   $\pm$  $0.011 \text{ Jm}^{-2}$  at room temperature and increased with temperature up to  $\gamma \approx 0.24 \pm 0.07$  J m<sup>-2</sup> at 150°C. Suni et al. (2002) measured the surface energies of  $\gamma \approx 0.50-0.75 \,\mathrm{J \, m^{-2}}$  for oxidized silicon wafers in the temperature range of 100-400°C activated in reactive oxygen plasma prior to bonding in air and then annealed for 2 hr at 100°C. Pasquariello et al. (2000a, 2000b) measured the surface energy for oxidized silicon wafers bonded in oxygen plasma at room temperature using the razor-blade method in comparison to the surface energy of  $\gamma \approx 0.033 \text{ Jm}^{-2}$  measured under ambient conditions. The measurements were also performed at elevated temperatures, yielding  $\gamma \approx 0.31$  J m<sup>-2</sup> at 480°C and  $\gamma \approx 0.51$  J m<sup>-2</sup> at 720°C without exposure to oxygen plasma and the corresponding values with exposure to oxygen plasma were even higher (Pasquariello et al. 2000b). Pasquariello & Hjort (2000) applied the mesa-spacer method to determine the surface energy of  $\gamma \approx$  $0.020 \pm 0.002 \,\mathrm{J\,m^{-2}}$  for oxidized silicon wafers at room temperature. They have shown that the surface energy determined by the mesa-spacer method agrees with that determined by the razor-blade method after appropriate corrections for the infrared resolution limit.

### 2.10. Optical Spectroscopy Techniques

The surface energy of solids may be calculated within 10%-20% accuracy from the Hamaker constant, which can be derived from the optical properties and electronic structure of the solids (Israelachvili 2011). Wittmann et al. (1971) used the full spectral method to determine the Hamaker constant for quartz glass at room temperature around a relative humidity of 1%, corresponding to  $\gamma \approx 0.030 \, \text{J m}^{-2}$ . The Hamaker constant for amorphous SiO<sub>2</sub> (Suprasil<sup>®</sup>, Heraeus) calculated with the full spectral method by Tan et al. (2003, 2005) is equivalent to  $\gamma \approx 0.035 \,\mathrm{J}\,\mathrm{m}^{-2}$ . French et al. (1995) calculated the Hamaker constants for fused silica glass (Suprasil<sup>®</sup>, Heraeus), equivalent to  $\gamma \approx 0.032 \text{ J m}^{-2}$  and  $\gamma \approx 0.034 \text{ J m}^{-2}$  using the full spectral method and the Tabor-Winterton approximations, respectively (see, also Ackler et al. 1996; French 2000). Hough & White (1980) used the simple spectral method to calculate the Hamaker constant for fused silica, resulting in  $\gamma \approx$  $0.032 \text{ Jm}^{-2}$  (see also Bergström et al. 1996; Bergström 1997).

### 2.11. Ultrafast Opto-acoustic Technique

Ayouch et al. (2012) applied the ultrafast opto-acoustic technique to determine the surface energies for silica nanoparticles covered with either ethoxy groups or hydroxyl groups. The surface energy of silica nanoparticles with hydroxyl groups was  $\gamma = 0.028-0.050 \text{ J m}^{-2}$  higher than  $\gamma = 0.002-0.009 \text{ J m}^{-2}$  for silica nanoparticles with ethoxy groups.

### 2.12. Theoretical Consideration

Iler (1979) estimated the surface energy of  $\gamma = 0.275 \text{ J m}^{-2}$ for vitreous silica from the surface tension of melted glass measured at 1300°C and extrapolated to zero alkali content and 25°C. Parks (1984) argued that the surface energy of silica glass should exceed  $\gamma = 0.275 \,\mathrm{J \, m^{-2}}$  at room temperature by taking into account the entropy of the silica surface. Brunauer et al. (1956) derived the total surface energy of  $\gamma = 0.129 \pm$  $0.008 \,\mathrm{J}\,\mathrm{m}^{-2}$  for hydroxylated amorphous silica with silanol surfaces from their measured value for dehydroxylated amorphous silica and the heat of hydration, although the presence of adsorbed water molecules on the surface of the silica is implicitly assumed (Tarasevich 2007). Michalske & Fuller (1985) estimated the surface energy of  $\gamma = 0.085 \,\mathrm{J \, m^-}$ for fully hydrated silica under ambient conditions from the number of possible hydrogen-bonding sites per unit area times the energy per hydrogen bond linkage. Stengl et al. (1989) considered the bonding process during annealing to determine the surface energies of  $\gamma = 0.052$ , 0.317, and 0.98 J m<sup>-2</sup> for oxidized silicon wafers bonded by H<sub>2</sub>O molecules, silanol groups, and siloxane bridges, respectively. A model on the dynamics of oxidized silicon wafer surfaces during annealing developed by Han et al. (2000) gives a surface energy of  $\gamma \approx$  $0.1 \,\mathrm{J}\,\mathrm{m}^{-2}$  for thermal oxides at room temperature. They estimated the saturated surface energies of  $\gamma = 0.414 \,\mathrm{J}\,\mathrm{m}^{-1}$ at temperatures of 600°C–800°C and  $\gamma = 2.439 \,\mathrm{J}\,\mathrm{m}^{-2}$  at 800°C-1200°C. Reiche (2008) calculated the surface energy for oxidized hydrophilic silicon wafers to be  $\gamma = 0.165 \,\mathrm{J\,m^{-}}$ using hydrogen bond energies of isolated and associated silanol groups. He claims that the surface energy increases to  $\gamma = 0.23 - 0.25 \,\mathrm{J}\,\mathrm{m}^{-2}$  when stored for a long period at room temperature. Using a Morse-type potential energy, Tromans & Meech (2004) estimated the surface energy of  $\gamma = 1.8608 \text{ J m}^{-2}$  for silica glass with covalently bonded siloxane surfaces at room temperature. Shchipalov (2000) derived  $\gamma = 1.522 \,\mathrm{J}\,\mathrm{m}^{-2}$  for quartz glass from theoretical analyses of the structures and the experimentally determined zeta-potential.

## 2.13. Synthetic Values

We shall synthesize the data on the surface energy of amorphous silica with the surface chemistry, which depends on the environments. Adsorbed H<sub>2</sub>O molecules under ambient conditions can be removed from the surface of amorphous silica if the surface is heated or brought into a vacuum (Christy 2010). As a result, the surface of amorphous silica at room temperature is covered by multilayers of H<sub>2</sub>O molecules under ambient conditions and a monolayer of H<sub>2</sub>O molecule in a vacuum (Vigil et al. 1994; Zhuravlev 2000). Moreover, the surface of amorphous silica in a vacuum attains a monolayer of H<sub>2</sub>O molecules at temperatures of  $25^{\circ}C-190^{\circ}C$ , silanol groups at temperatures of  $190^{\circ}C-400^{\circ}C$ , and siloxane bridges develop

at temperatures above 400°C (Zhuravlev 2000). Hereafter, we divide the surface chemistry into three categories: (A) multilayers of H<sub>2</sub>O molecules ( $\theta_{H_2O} > 1$ ,  $\theta_{OH} = 1$ ); (B) a monolayer of H<sub>2</sub>O molecule and silanol groups (1  $\ge \theta_{H_2O} \ge 0$ ,  $\theta_{OH} = 1$ ); and (C) siloxane groups ( $\theta_{H_2O} = 0, 1 > \theta_{OH} \ge 0$ ). We do not include the surface energies measured after activation in a reactive ion, since the above-mentioned simple categorization cannot be applied to these activated surfaces and these conditions are not relevant to collision experiments. Figure 1 is compiled from the data on the surface energies of amorphous silica surfaces classified into the above-mentioned categories by the surface chemistry. Here we consider that the reduced pressure represents environments where the surfaces of amorphous silica are free from multiple layers of water molecules ( $\theta_{H_2O} \leq 1$ ), while the ambient conditions represent environments where the surfaces of amorphous silica are covered by multiple layers of adsorbed water molecules  $(\theta_{H_2O} > 1)$ . Owing to large variations in experimentally and theoretically derived values for the surface energy of amorphous silica, we cannot place a tight constraint on the surface energy of amorphous silica in different environments. Nevertheless, it may be safe to expect that the surface energy for amorphous silica surfaces lies in the range of  $\gamma \leq 0.1 \text{ J m}^$ at room temperature under ambient conditions (A),  $\gamma = 0.1-0.3 \text{ Jm}^{-2}$  at room temperature in reduced pressure (B), and  $\gamma \gtrsim 0.3 \,\mathrm{J\,m^{-2}}$  above 400°C (C). This picture is in accordance with the idea that the hydrogen bond between silanol groups is stronger than that between H<sub>2</sub>O molecules, and the siloxane bond is the strongest (Stengl et al. 1989; Fuji et al. 1999).

## 3. COMPARISONS OF THE JKR THEORY WITH COLLISION EXPERIMENTS

# 3.1. Critical Velocity for the Sticking of Silica Spheres to Silica Flat Surfaces

Poppe et al. (2000) experimentally determined the sticking probability of amorphous silica spheres to polished silica surfaces and oxidized silicon wafer surfaces in a vacuum (1.4 Pa). They observed that amorphous silica spheres with a radius of  $a = 0.6 \,\mu\text{m}$  stick to the surfaces at velocities up to  $v_{\text{stick}} = 1.2 \pm 0.1 \,\text{m s}^{-1}$  and those with  $a = 0.25 \,\mu\text{m}$  stick to the surfaces up to  $v_{\text{stick}} = 1.9 \pm 0.4 \,\text{m s}^{-1}$ . The critical velocity for sticking between a sphere and a flat surface is given by (Chokshi et al. 1993; Dominik & Tielens 1997)

$$v_{\text{stick}} = \left(\frac{27c_1\pi^{2/3}}{2^{5/3}}\right)^{1/2} \left[\frac{\gamma^5 (1-\nu^2)^2}{a^5 \rho^3 E^2}\right]^{1/6},\tag{1}$$

where  $\rho$ ,  $\nu$ , and *E* are the specific density, Poisson's ratio, and Young's modulus, respectively. While the elastic properties of materials depend on the temperature, the deviations in the temperature range of interest are confined within 10% of E = 70 GPa (cf. McSkimin 1953; Fine et al. 1954; Spinner & Cleek 1960; Rouxel 2007). There is uncertainty in the value for  $c_1$ , which slightly varies from one definition of the threshold velocity to another, but  $c_1 \approx 1$  (Chokshi et al. 1993; Thornton & Ning 1998; Brilliantov et al. 2007). Figure 2 compares the critical velocities for sticking between an amorphous silica sphere and a flat surface expected by Equation (1) with the data obtained in the collision experiment by Poppe et al. (2000).



Figure 1. Surface energy  $\gamma$  for amorphous silica under different environments. Filled squares: at room temperature in air; filled circles: at room temperature in a vacuum; filled diamonds: at elevated temperatures. The numbers beside the symbols indicate the data sources of the surface energy: (1) Shchipalov (2000), (2) Tromans & Meech (2004), (3) Han et al. (2000), (4) Stengl et al. (1989), (5) Pasquariello et al. (2000b), (6) Fournel et al. (2012), (7) Li et al. (2013), (8) Maszara et al. (1988), (9) Wiederhorn & Johnson (1971), (10) Cabriolu & Ballone (2010), (11) Sun et al. (2013), (12) Hoang (2007), (13) Roder et al. (2001), (14) Reiche (2008), (15) Parks (1984), (16) Eichler et al. (2010), (17) Tarasevich (2007), (18) Brunauer et al. (1956), (19) Kalkowski et al. (2010, 2011, 2012), (20) Cocheteau et al. (2013), (21) Kimura et al. (2004), (22) Kimura et al. (2000), (23) Helmy et al. (2007), (24) Kessaissia et al. (1981), (25) Ayouch et al. (2012), (26) Hough & White (1980), (27) French et al. (1995), (28) Tan et al. (2003, 2005), (29) Wittmann et al. (1971), (30) Pasquariello & Hjort (2000), (31) Pasquariello et al. (2000a), (32) Tong et al. (1994), (33) Turner & Spearing (2006), (34) Wang & Suga (2011), (35) Lawn et al. (1987), (36) Michalske & Fuller (1985), (37) Cui et al. (2005), (38) González-Martín et al. (2001), (39) Hołysz (1998), (40) Chibowski & Hołysz (1992), (41) Harnett et al. (2007), (42) Zdziennicka et al. (2009), (43) Jańczuk & Zdziennicka (1994), (44) Cole et al. (2007), (45) Leroch & Wendland (2012), (46) Leroch & Wendland (2013), (47) Derjaguin et al. (1977, 1978), (48) Wan et al. (1992), (49) Horn et al. (1989), (50) Ling et al. (2007), (51) Ecke & Butt (2001), (52) Ecke et al. (2001), (53) Heim et al. (1999), (54) Fuji et al. (1999), (55) Bradley (1932), (56) Vigil et al. (1994), (57) Kendall et al. (1987a, 1987b).

While we have constrained the synthetic value of the surface energy for amorphous silica in a vacuum to lie in the range of  $\gamma = 0.1-0.3 \text{ J m}^{-2}$ , the comparison with the collision experiments suggests  $\gamma \approx 0.25 \text{ J m}^{-2}$  as the most favorable value.



**Figure 2.** Critical velocity for the sticking  $v_{\text{stick}}$  of amorphous silica spheres to an amorphous silica target as a function of sphere radius *a*. Solid line: Equation (1) with  $\gamma \approx 0.25 \text{ J m}^{-2}$ ; dotted line: Equation (1) with  $\gamma \approx 0.20 \text{ J m}^{-2}$ ; dashed line: Equation (1) with  $\gamma \approx 0.15 \text{ J m}^{-2}$ . Filled circles: experimental values (Poppe et al. 2000).

Therefore, we may write

ı

$$\begin{aligned}
\nu_{\text{stick}} &\approx 1.1 \text{ m s}^{-1} \left( \frac{c_{1}}{0.935} \right)^{1/2} \left( \left\{ \frac{1}{0.9711} \right\} \\
&- \left\{ \frac{0.0289}{0.9711} \right\} \left\{ \frac{\nu}{0.17} \right\}^{2} \right)^{1/3} \left( \frac{a}{0.6 \,\mu\text{m}} \right)^{-5/6} \\
&\times \left( \frac{\gamma}{0.25 \text{ J m}^{-2}} \right)^{5/6} \left( \frac{E}{70 \text{ GPa}} \right)^{-1/3} \\
&\times \left( \frac{\rho}{2.0 \times 10^{3} \text{ kg m}^{-3}} \right)^{-1/2},
\end{aligned}$$
(2)

for collisions between amorphous silica spheres of  $a = 0.6 \,\mu\text{m}$ and an amorphous silica surface.

## 3.2. Critical Velocity for the Onset of Losing a Single Silica Sphere from a Silica Aggregate by Mutual Collision

Blum & Wurm (2000) performed microgravity experiments on collision between aggregates of amorphous silica spheres to study their sticking, restructuring, and fragmentation in a vacuum (200 Pa). They determined the onset of fragmentation at a velocity of  $v_{\text{loss}} = 1.2 \pm 0.2 \text{ m s}^{-1}$  for  $a = 0.95 \,\mu\text{m}$  and  $v_{\text{loss}} = 3.5 \pm 0.4 \text{ m s}^{-1}$  for  $a = 0.50 \,\mu\text{m}$ . In the framework of the JKR theory, the onset of fragmentation is located at a velocity when the kinetic energy per contact is three times the energy to break a contact (Dominik & Tielens 1997; Wada et al. 2007). Therefore, the critical velocity for the onset of losing single spheres from an aggregate can be expressed as

$$v_{\rm loss} = \sqrt{6} v_{\rm break},\tag{3}$$

where  $v_{\text{break}}$  is the velocity necessary to completely break a contact in the equilibrium position given by

$$v_{\text{break}} = \left(1.54 \ \frac{27\pi^{2/3}}{4}\right)^{1/2} \left[\frac{\gamma^5 (1-\nu^2)^2}{a^5 \rho^3 E^2}\right]^{1/6}.$$
 (4)

Figure 3 shows a comparison of the critical velocities for the onset of losing single amorphous silica spheres from an aggregate derived from Equation (3) with those from the



**Figure 3.** Critical velocity for losing amorphous silica spheres from an aggregate consisting of the same spheres. Solid line: Equation (3) with  $\gamma \approx 0.25 \text{ J m}^{-2}$ ; dotted line: Equation (3) with  $\gamma \approx 0.20 \text{ J m}^{-2}$ ; dashed line: Equation (3) with  $\gamma \approx 0.15 \text{ J m}^{-2}$ . Filled circles: experimental values (Blum & Wurm 2000).

collision experiments by Blum & Wurm (2000). It is worth noting that large silica particles of  $a = 0.95 \,\mu\text{m}$  were coated with dimethyldimethoxysilane (DMDMS), while small silica particles of  $a = 0.50 \,\mu\text{m}$  were uncoated. We find that  $\gamma \approx$  $0.25 \,\text{Jm}^{-2}$  is consistent with the experimental results for  $a = 0.50 \,\mu\text{m}$ , while  $\gamma \approx 0.15 \,\text{Jm}^{-2}$  fits better with the results for  $a = 0.95 \,\mu\text{m}$ . Consequently, we may write

$$v_{\text{loss}} = 1.3 \text{ m s}^{-1} \left\{ \left\{ \frac{1}{0.9711} \right\} - \left\{ \frac{0.0289}{0.9711} \right\} \\ \times \left\{ \frac{\nu}{0.17} \right\}^2 \right\}^{1/3} \left( \frac{a}{0.95 \,\mu\text{m}} \right)^{-5/6} \\ \times \left( \frac{\gamma}{0.15 \text{ J m}^{-2}} \right)^{5/6} \left( \frac{E}{70 \text{ GPa}} \right)^{-1/3} \\ \times \left( \frac{\rho}{2.0 \times 10^3 \text{ kg m}^{-3}} \right)^{-1/2}, \tag{5}$$

for collisions between aggregates consisting of DMDMScoated amorphous silica spheres of  $a = 0.95 \,\mu\text{m}$ .

## 3.3. Critical Velocity for the Restructuring of a Silica Aggregate by Mutual Collision

Blum & Wurm (2000) determined the critical velocity  $v_{\text{restr}}$  for the onset of restructuring of aggregates consisting of DMDMS-coated amorphous silica spheres in a vacuum (~200 Pa). The critical velocity  $v_{\text{restr}}$  is given by (Dominik & Tielens 1997)

$$v_{\text{restr}} = \sqrt{\frac{30E_{\text{roll}}}{4\pi\rho a^3 N}},\tag{6}$$

where N and  $E_{\text{roll}}$  are the number of spheres and the friction energy for the rolling of spheres by 90°, respectively. The latter is given by

$$E_{\rm roll} = 6\pi^2 \gamma a \xi_{\rm crit},\tag{7}$$

where  $\xi_{crit}$  is the critical displacement, above which the contact area between the particles starts to move, and is typically



**Figure 4.** Critical velocity for restructuring an aggregate consisting of amorphous silica spheres. Solid line: Equation (6) with  $\gamma \approx 0.25 \text{ J m}^{-2}$ ; dotted line: Equation (6) with  $\gamma \approx 0.20 \text{ J m}^{-2}$ ; dashed line: Equation (6) with  $\gamma \approx 0.15 \text{ J m}^{-2}$ . Filled circle and dashed–dotted line: experimental values (Wurm & Blum 1998; Blum & Wurm 2000).

 $\xi_{\rm crit} = 0.2 \,\mathrm{nm}$  (Dominik & Tielens 1995). The collision experiments resulted in  $v_{\rm restr} = 0.20^{+0.07}_{-0.05} \,\mathrm{m \, s^{-1}}$  for aggregates consisting of 60 spheres of  $a = 0.95 \,\mu\mathrm{m}$  (Blum & Wurm 2000). Wurm & Blum (1998) used the same DMDMS-coated amorphous silica spheres to form aggregates in a turbomolecular pump using a ballistic cluster-cluster aggregation process without restructuring. As a result, the range of collision velocities in their experiments is also able to constrain the critical velocity for the onset of the restructuring of aggregates in the range of N = 5-33. Figure 4 demonstrates that Equation (6) with  $\gamma \approx 0.15 \,\mathrm{J \, m^{-2}}$  is consistent with the experimental results of Blum & Wurm (2000) and Wurm & Blum (1998). We may therefore write

$$v_{\text{restr}} = 0.20 \text{ m s}^{-1} \left( \frac{\gamma}{0.15 \text{ J m}^{-2}} \right)^{1/2} \\ \times \left( \frac{a}{0.95 \,\mu\text{m}} \right)^{-1} \left( \frac{\rho}{2.0 \times 10^3 \text{ kg m}^{-3}} \right)^{-1/2} \\ \times \left( \frac{\xi_{\text{crit}}}{0.2 \text{ nm}} \right)^{1/2} \left( \frac{N}{60} \right)^{-1/2}, \tag{8}$$

with

$$E_{\rm roll} = 1.7 \times 10^{-15} \,\mathrm{J}\left(\frac{\gamma}{0.15 \,\mathrm{J}\,\mathrm{m}^{-2}}\right) \left(\frac{a}{0.95 \,\mu\mathrm{m}}\right) \left(\frac{\xi_{\rm crit}}{0.2 \,\mathrm{nm}}\right),\tag{9}$$

for collisions between aggregates consisting of DMDMScoated amorphous silica spheres of  $a = 0.95 \,\mu\text{m}$ .

#### 3.4. Erosion Rates of Aggregates Consisting of Amorphous Silica Spheres

Schräpler & Blum (2011) defined the erosion rate of a target by the ratio of the change in the mass of the target before and after the exposure to dust impact,  $\Delta m$ , to the total mass of the projectiles,  $m_{\rm p}$ . They derived experimentally the erosion rates for amorphous silica aggregates with a filling factor of  $\phi \approx$ 0.15 and  $a = 0.76 \,\mu{\rm m}$  in a vacuum (<4 Pa) as a function of



**Figure 5.** Erosion efficiency of amorphous silica particles with  $a = 0.76 \ \mu m$  colliding with aggregates consisting of the same silica particles. Solid line: Equation (10) with  $\gamma \approx 0.25 \ J \ m^{-2}$ ; dotted line: Equation (10) with  $\gamma \approx 0.20 \ J \ m^{-2}$ ; dashed line: Equation (10) with  $\gamma \approx 0.15 \ J \ m^{-2}$ . Filled circles: experimental values (Schräpler & Blum 2011). Crosses and open diamonds: damping models (Seizinger et al. 2012, 2013).

impact velocity  $v_{imp}$  (see Blum & Schräpler 2004). According to Wada et al. (2013), we can estimate the erosion rates  $\Delta m/m_p$  for aggregates by

$$\frac{\Delta m}{m_{\rm p}} \approx \frac{v_{\rm imp}}{v_{\rm disrupt}} - 1, \tag{10}$$

with

$$v_{\text{disrupt}} = \epsilon v_{\text{break}},$$
 (11)

where  $v_{\text{disrupt}}$  is the critical collision velocity, above which the growth of aggregates cannot be facilitated by coagulation. Here  $\epsilon$  is a non-dimensional coefficient that depends on the filling factor of the aggregates as well as the mass ratio of two colliding aggregates. Numerical simulations on mutual collisions between aggregates suggest  $\epsilon \simeq 10$  for high-mass ratios and  $\epsilon \simeq 7.7$  for equal mass with a filling factor of  $\phi \approx 0.15$ , and  $\epsilon \simeq 5.2$  for equal mass with  $\phi \approx 0.01$  (Wada et al. 2008, 2009, 2013). Therefore, we may write

$$\frac{\Delta m}{m_{\rm p}} + 1 \approx 5.0 \left(\frac{\epsilon}{10}\right)^{-1} \left(\frac{\nu_{\rm imp}}{50 \text{ m s}^{-1}}\right) \left(\frac{\gamma}{0.25 \text{ J m}^{-2}}\right)^{-5/6} \\ \times \left(\left\{\frac{1}{0.9711}\right\} - \left\{\frac{0.0289}{0.9711}\right\} \left\{\frac{\nu}{0.17}\right\}^2\right)^{-1/3} \\ \times \left(\frac{a}{0.76 \,\mu\text{m}}\right)^{5/6} \left(\frac{\rho}{2.0 \times 10^3 \text{ kg m}^{-3}}\right)^{1/2} \\ \times \left(\frac{E}{70 \text{ GPa}}\right)^{1/3},$$
(12)

for collisions between aggregates consisting of amorphous silica spheres of  $a = 0.76 \,\mu$ m. Figure 5 compares the erosion rate for amorphous silica expected by Equation (10) with the data obtained by the collision experiment with aggregates of  $\phi \approx 0.15$  by Schräpler & Blum (2011). Also plotted are the predictions by Seizinger et al. (2013) who introduced a viscoelastic damping force in the JKR theory to fit the experimentally derived values of  $\Delta m/m_p$  (see, also Seizinger et al. 2012). The prediction curves by Seizinger et al. (2013) significantly overestimate the experimentally determined erosion rates at collision velocities of  $v_{\rm imp} > 30 \,{\rm m\,s^{-1}}$ . In contrast, Equation (12) is consistent with the collision experiments by Schräpler & Blum (2011), although the experimental erosion rate at  $v_{\rm imp} \approx 30 \,{\rm m\,s^{-1}}$  is slightly higher than our prediction. It is clear that Equation (10) with  $\gamma \approx 0.15 \,{\rm J\,m^{-2}}$  shows a better agreement with the experiments compared with higher surface energies such as  $\gamma \approx 0.25 \,{\rm J\,m^{-2}}$ .

# 4. DISCUSSION

#### 4.1. Controversial Experiments on the Effect of Circumstances

We have shown that the well-known dependence of surface energy on circumstances has been the missing piece for harmonizing the collision experiments and the measurements of surface energy. However, we are aware that Bradley (1932) and Heim et al. (1999) claimed the independence of surface energy on the circumstances as opposed to the commonly accepted picture of the relation between the surface energy and the ambient pressure. Bradley (1932) briefly stated that his results on the pull-off forces between silica spheres remained the same after the lower part of his apparatus was evacuated by means of a mercury vapor diffusion pump. We speculate that his statement arose from either surface contamination by adsorption of mercury vapor or the condition that the spheres came into contact in air prior to evacuation. Heim et al. (1999) briefly mentioned that the surface energy did not change in the range of ambient pressure from  $10^2$  to  $10^5$  Pa. In contrast, Plößl & Kräuter (1999) have shown that a low vacuum of a few hundred pascals is sufficient to elevate the surface energy for oxidized silicon wafers by the removal of adsorbed H<sub>2</sub>O molecules. It is worth noting that the results in Heim et al. (1999) differ from those in Ecke et al. (2001), Ecke & Butt (2001), and Ling et al. (2007) despite the same microspheres on their cantilevers. While Ecke et al. (2001) and Ecke & Butt (2001) performed their measurements with oxidized silicon wafers, Heim et al. (1999) and Ling et al. (2007) used silica microspheres glued to a microscopy slide by epoxy heat resin. It is well-known that the use of adhesive influences the determination of surface energy due to its surfactant contamination on the surface of the particle (Butt et al. 2005; Castellanos 2005; Mak et al. 2006). Heim et al. (1999) observed an extremely large scatter of adhesive forces for different pairs of particles, which could be accounted for by offset contacts between silica microspheres (cf. Heim et al. 2004; Yang et al. 2008). As noted by Ling et al. (2007), there is even a chance of contact between the epoxy and the colloidal probe during positioning, which transfers the epoxy to the surfaces of silica microspheres on the probe and the microscopy slide. To clarify these speculations, we urge experimentalists to perform new measurements of surface energy for amorphous silica as a function of ambient pressure.

## 4.2. Effect of DMDMS Coating on the Surface Energy of Amorphous Silica

Aside from these conjectural issues, we have shown that collision experiments with amorphous silica are consistent with numerical models in the framework of the JKR theory, if accompanied by the surface energy for hydrophilic amorphous silica expected in a vacuum. Poppe et al. (2000) stated that the

critical velocity for the sticking of amorphous silica spheres onto amorphous silica surfaces does not change significantly even if these surfaces are coated with a layer of DMDMS. At first glance, this is at odds with the fact that the molecular structure of the outermost layer determines the surface energies of amorphous silica spheres. Poppe et al. (2000) claimed that the DMDMS-coated silica surfaces are hydrophobic surfaces whose surface energies should be much lower than those for hydrophilic ones (see Fuji et al. 1999; Ecke & Butt 2001; Ecke et al. 2001; Kappl & Butt 2002). On the contrary, Blum & Wurm (2000) stated that the adhesion forces between the DMDMS-coated silica spheres were a factor of  $1.35 \pm 0.23$ stronger than those measured by Heim et al. (1999). This implies that the DMDMS density on the surface of amorphous silica surfaces used in Poppe et al. (2000) and Blum & Wurm (2000) was too low to be hydrophobic (see Fuji et al. 1999). Moreover, Figure 3 shows that the DMDMS-coated silica spheres of  $a = 0.95 \,\mu\text{m}$  used in Blum & Wurm (2000) seem to have only slightly lower surface energies than the uncoated amorphous silica spheres of  $a = 0.50 \,\mu\text{m}$ . Consequently, the collision experiments with the DMDMS-coated silica spheres performed by Poppe et al. (2000) and Blum & Wurm (2000) are also described by the model with hydrophilic amorphous silica in the framework of the JKR theory.

## 4.3. Effect of Siloxane Density on the Surface Energy of Amorphous Silica

As opposed to the optimal surface energy of  $\gamma \approx 0.25 \text{ J m}^{-2}$ , a lower surface energy of  $\gamma \approx 0.15 \,\mathrm{J \, m^{-2}}$  seems to be more appropriate for the experimentally determined values of erosion rates in Schräpler & Blum (2011; see Figure 5). The former and the latter are consistent with the uncoated amorphous silica spheres used in both Poppe et al. (2000) and Blum & Wurm (2000), and the DMDMS-coated amorphous silica spheres used in Blum & Wurm (2000), respectively. The low surface energy cannot always be associated with surface coating, as Schräpler & Blum (2011) did not describe any modifications to the surface of amorphous silica spheres (see also Blum & Schräpler 2004). However, the surface chemistry of sicastar® used in Schräpler & Blum (2011) may remarkably differ from that of amorphous silica spheres used in Poppe et al. (2000) and Blum & Wurm (2000). Romeis et al. (2012) measured the Young's modulus of  $E = 44.7 \pm 7.6$  GPa for sicastar<sup>®</sup> and claimed a significantly reduced amount of siloxane bonds. The relationship between the surface energy and the Young's modulus indicates that materials with a low value of Young's modulus have a low value of surface energy (Linford & Mitchell 1971; Weir 2008). Therefore, the low surface energy of  $\gamma \approx 0.15 \,\mathrm{J}\,\mathrm{m}^{-2}$  for sicastar<sup>®</sup> used in the collision experiments by Schräpler & Blum (2011) is a natural consequence of the low Young's modulus. Consequently, the slight deviation of the model erosion rate with  $\gamma \approx 0.25 \text{ J m}^{-2}$ from experimental results does not violate the validity of the JKR theory.

# 4.4. Size Dependence of the Critical Velocity for Sticking

According to their results on collision experiments of amorphous silica spheres with a = 0.25 and  $0.6 \,\mu\text{m}$ , Poppe et al. (2000) proposed a power-law relation of  $v_{\text{stick}} \propto a^{-0.53}$  whose slope is gentler than  $v_{\text{stick}} \propto a^{-5/6}$  expected by the JKR theory. In contrast, compared to that expected from the JKR

theory, the collision experiments by Blum & Wurm (2000) showed a steeper slope for the size dependence of  $v_{\text{stick}}$ . One might speculate that the the JKR theory cannot be applied to collision experiments since the theory is not intended to model dynamic contacts, but static or quasi-static contacts. Nevertheless, the outcome of collision experiments has been shown to qualitatively agree with numerical simulations based on the JKR theory, if the empirical values for the break-up energy and the rolling friction force were used (Blum & Wurm 2000). As shown in Figure 2, one may find that the theoretical prediction of  $v_{\rm stick} \propto a^{-5/6}$  with  $\gamma \approx 0.25 \,{\rm J}\,{\rm m}^{-2}$  fits the data by Poppe et al. (2000) within the experimental error bars. In the experiments by Blum & Wurm (2000), the theoretical prediction of  $v_{\text{stick}} \propto a^{-5/6}$  with  $\gamma \approx 0.25 \text{ J m}^{-2}$  fits the data with  $a = 0.50 \ \mu\text{m}$ , but a slightly lower surface energy of  $\gamma \approx$  $0.15 \,\mathrm{J}\,\mathrm{m}^{-2}$  is appropriate to the data with  $a = 0.95 \,\mu\mathrm{m}$ . We could simply attribute the deviation from  $v_{\rm stick} \propto a^{-5/6}$  in Blum & Wurm (2000) to the slight difference in the surface treatment of amorphous silica spheres at different sizes. Therefore, we conclude that they would have observed the  $v_{\text{stick}} \propto a^{-5/6}$  expected by the JKR theory, provided that amorphous silica spheres at different radii were identical in surface chemistry.

### 4.5. Rolling Motion of Amorphous Silica Spheres

The surface energy is a crucial parameter for controlling not only the normal motion, but also the rolling motion of elastic particles as formulated in Equations (2), (5), and (8). We have shown that the results from the collision experiments with the DMDMS-coated amorphous silica spheres in Blum & Wurm (2000) are consistent with  $\gamma \approx 0.15$  J m<sup>-2</sup> for both the motions (see Figures 3 and 4). Their microscopic analyses of the collision experiments revealed a rolling friction force of  $F_{\rm roll} = 0.50 \pm 0.25$  nN for the gravitational restructuring of the aggregates (see also Blum et al. 1998). The rolling friction force between two spherical particles is given by (Dominik & Tielens 1995)

$$F_{\rm roll} = 6\pi\gamma\xi_{\rm crit}.\tag{13}$$

Therefore, we obtain

$$F_{\text{roll}} = 0.57 \text{ nN} \left( \frac{\gamma}{0.15 \text{ J m}^{-2}} \right) \left( \frac{\xi_{\text{crit}}}{0.2 \text{ nm}} \right), \tag{14}$$

which coincides with the experimental results.<sup>8</sup> Microscopic analyses of the collision experiments similar to those of Blum & Wurm (2000) were conducted by Gundlach et al. (2011) to measure the rolling friction force on uncoated amorphous silica spheres. They determined the rolling friction force of  $F_{\rm roll} = 1.21 \pm 0.36$  nN for the gravitational restructuring of aggregates consisting of amorphous silica spheres of  $a = 0.75 \,\mu$ m in a dry nitrogen atmosphere. Since we expect that the surface energy of  $\gamma \approx 0.25$  J m<sup>-2</sup> is appropriate for uncoated amorphous silica, we may write

$$F_{\text{roll}} = 0.94 \text{ nN} \left( \frac{\gamma}{0.25 \text{ J m}^{-2}} \right) \left( \frac{\xi_{\text{crit}}}{0.2 \text{ nm}} \right), \tag{15}$$

which is in agreement with the measured value within the error bars. Independently, Heim et al. (1999) derived  $F_{\rm roll} = 0.85 \pm$ 

<sup>&</sup>lt;sup>8</sup> Although there is an uncertainty in the value of  $\xi_{crit}$ , it should be on the order of interatomic distance (Dominik & Tielens 1995).

0.16 nN from their AFM measurements of the force on chains of amorphous silica spheres with  $a = 0.95 \,\mu$ m. Although their AFM measurements were performed under ambient conditions, the contact areas of amorphous silica spheres seem to be established in a vacuum (200 Pa; see Blum 2000). Therefore, the measured rolling motion of amorphous silica spheres complies with the JKR theory, provided that the assumption of surface energy properly conforms to the circumstances.

### 4.6. Coagulation Growth of Submicron Silicate Grains in Protoplanetary Disks

In a protoplanetary disk, the formation of planetesimals proceeds with the coagulation of small grains, although one must resort to other formation mechanisms such as gravitational instabilities if they do not stick each other (Johansen et al. 2014). The coagulation of H<sub>2</sub>O ice-coated grains of  $a = 0.1 \,\mu \text{m}$  could form planetesimals beyond the so-called snow line of the disk (Okuzumi et al. 2012). Inside the snow line, there has been a debate as to whether or not agglomerates of silicate grains could grow to planetesimals (e.g., Brauer et al. 2008). This is the most important issue for the formation of terrestrial planets, since the planets were most likely formed by an agglomeration of silicate grains rather than H<sub>2</sub>O icecoated grains. Silicate aggregates would grow to planetesimals by coagulation, only if the critical collision velocity  $v_{\text{disrupt}}$ exceeds a typical relative velocity between aggregates in protoplanetary disks. Numerical calculations of relative velocities between aggregates in protoplanetary disks suggest that a typical collision velocity may reach approximately  $50 \,\mathrm{m\,s^{-1}}$  at 1 AU from the central star (Weidenschilling & Cuzzi 1993; Brauer et al. 2008). In contrast, previous numerical models have resulted in  $v_{\text{disrupt}} \leq 6 \text{ m s}^{-1}$  with the assumption of  $\gamma = 0.025 \text{ J m}^{-2}$  (Wada et al. 2009). Consequently, there is a common belief that it is hard for silicate aggregates to prevail over collisional destruction in protoplanetary disks. In favor of  $\gamma \approx 0.25 \,\mathrm{J}\,\mathrm{m}^{-2}$ , however, we may write

$$v_{\text{disrupt}} \approx 54 \text{ m s}^{-1} \left(\frac{\epsilon}{10}\right) \left(\left\{\frac{1}{0.9711}\right\}\right) \\ - \left\{\frac{0.0289}{0.9711}\right\} \left\{\frac{\nu}{0.17}\right\}^2\right)^{1/3} \left(\frac{a}{0.1 \ \mu\text{m}}\right)^{-5/6} \\ \times \left(\frac{\gamma}{0.25 \text{ J m}^{-2}}\right)^{5/6} \left(\frac{E}{70 \text{ GPa}}\right)^{-1/3} \\ \times \left(\frac{\rho}{2.0 \times 10^3 \text{ kg m}^{-3}}\right)^{-1/2}.$$
(16)

Therefore, we cannot rule out the possibility that agglomerates of amorphous silica particles with  $a = 0.1 \,\mu\text{m}$  grow to planetesimals by coagulation. Since the critical collision velocity as well as the surface energy for amorphous silica increases with temperature, the coagulation growth of silicate aggregates becomes easy in the vicinity of the star. In addition, quartz is expected to have a higher surface energy than amorphous silica, so that agglomerates of crystalline silicate grains may have higher critical collision velocities than those of amorphous silicate grains (see Parks 1984). In fact, Poppe et al. (2000) have shown that the critical velocity for the sticking of enstatite grains is several times higher than that of amorphous silica grains onto the same target. As a result, we assert that dust aggregates consisting of silicate grains with  $a = 0.1 \,\mu\text{m}$  could grow to planetesimals via coagulation in protoplanetary disks.

# 4.7. Coagulation Growth of Submicron Silicate Grains in Molecular Clouds

In the previous studies on dust coagulation in molecular clouds, a surface energy of  $\gamma = 0.025 \text{ J m}^{-2}$  has been a common assumption for silicate grains (Ormel et al. 2009; Hirashita & Li 2013). This assumption led theorists to conclude that the collision velocity exceeds the critical velocity for sticking between bare silicate grains and thus the grains must be coated by H<sub>2</sub>O ice mantles to coagulate in molecular clouds. Nevertheless, that conclusion has now become obscured since the commonly assumed value of the surface energy is one order of magnitude lower than the most likely value for amorphous silica. The critical velocity for sticking between identical elastic spheres of radius *a* is given by (Chokshi et al. 1993; Thornton & Ning 1998; Brilliantov et al. 2007)

$$v_{\text{stick}} = \left(\frac{27c_1\pi^{2/3}}{4}\right)^{1/2} \left[\frac{\gamma^5(1-\nu^2)^2}{a^5\rho^3 E^2}\right]^{1/6}.$$
 (17)

We obtain the critical velocity for sticking between amorphous silica spheres of  $a = 0.1 \,\mu\text{m}$  as

$$v_{\text{stick}} \approx 4.2 \text{ m s}^{-1} \left(\frac{c_1}{0.935}\right)^{1/2} \left(\left\{\frac{1}{0.9711}\right\}\right)^{1/2} \left(\left\{\frac{1}{0.9711}\right\}\right)^{1/3} \left(\frac{a}{0.1 \ \mu\text{m}}\right)^{-5/6} \\ \times \left(\frac{\gamma}{0.25 \text{ J m}^{-2}}\right)^{5/6} \left(\frac{E}{70 \text{ GPa}}\right)^{-1/3} \\ \times \left(\frac{\rho}{2.0 \times 10^3 \text{ kg m}^{-3}}\right)^{-1/2}.$$
(18)

A typical collision velocity between grains of  $a = 0.1 \,\mu\text{m}$  is estimated to be 8.3 m s<sup>-1</sup> in turbulent molecular clouds at a molecular density of  $n = 10^{11} \,\text{m}^{-3}$  (Ormel et al. 2009). Since the collision velocity of grains is proportional to  $n^{-1/4}$ , the critical velocity for sticking between amorphous silica spheres exceeds the collision velocity at  $n = 10^{13} \,\text{m}^{-3}$ . In summary, we confirm that amorphous silicate grains of  $a = 0.1 \,\mu\text{m}$  hardly grow by coagulation in a typical turbulent molecular cloud at  $n = 10^{11} \,\text{m}^{-3}$ , while they do grow easily in a dense core at  $n \gtrsim$  $10^{13} \,\text{m}^{-3}$ .

# 4.8. Effect of Grain Mantles on the Coagulation of Silicate Grains in Molecular Clouds

Although amorphous silicate grains in the size range of submicrometers could stick together in dense cores, their surfaces are expected to accrete ice molecules, mainly amorphous H<sub>2</sub>O ice. It has been commonly accepted that H<sub>2</sub>O ice mantles help submicron silicate grains to proceed with coagulation in molecular clouds (Ormel et al. 2009; Hirashita & Li 2013). Note that the surface energy of 0.37 J m<sup>-2</sup> is often assumed for H<sub>2</sub>O ice in the majority of previous studies (Chokshi et al. 1993; Dominik & Tielens 1997; Ormel

et al. 2009; Hirashita & Li 2013). Recently, Gundlach & Blum (2015) have derived the surface energy of  $0.19 \,\mathrm{J}\,\mathrm{m}^{-2}$  for crystalline water ice from their collision experiments in vacuum. Therefore, we do not consider that H<sub>2</sub>O ice-coated silicate grains have higher efficiencies of coagulation growth than bare silicate grains in molecular clouds. The reason for the refusal to accept the common belief is that the surface energy for amorphous H<sub>2</sub>O ice is lower than that for amorphous silicate, although the Young's modulus of H<sub>2</sub>O ice is one order of magnitude smaller than that of amorphous silica. Because the surface energy for crystalline H<sub>2</sub>O ice gives the upper limit to the surface energy for amorphous H<sub>2</sub>O ice, which is most likely close to the surface tension for liquid water, we expect that the most realistic value is  $\gamma \lesssim 0.1 \,\mathrm{J}\,\mathrm{m}^{-2}$  for amorphous H<sub>2</sub>O ice. It is worth noting that ice mantles in a dense core are processed to form complex organic mantles, which have higher sticking efficiencies than silicates and ices (Kouchi et al. 2002; Kimura et al. 2003). We can estimate the critical velocity for sticking between organic spheres of  $a = 0.1 \,\mu m$  as follows:<sup>9</sup>

$$v_{\text{stick}} \approx 66 \text{ m s}^{-1} \left( \frac{c_1}{0.935} \right)^{1/2} \left( \left\{ \frac{1}{0.75} \right\} - \left\{ \frac{0.25}{0.75} \right\} \left\{ \frac{\nu}{0.5} \right\}^2 \right)^{1/3} \left( \frac{a}{0.1 \ \mu \text{m}} \right)^{-5/6} \times \left( \frac{\gamma}{0.021 \text{ J m}^{-2}} \right)^{5/6} \left( \frac{E}{0.09 \text{ MPa}} \right)^{-1/3} \times \left( \frac{\rho}{0.96 \times 10^3 \text{ kg m}^{-3}} \right)^{-1/2}, \quad (19)$$

where the elastic properties are taken from polymers of high molecular mass and with a high fraction of dangling chains (Vaenkatesan et al. 2006). Accordingly, the most plausible route to coagulation of submicron amorphous silicate grains in molecular clouds is cohesion between organic materials covering the silicate grains.

## 4.9. Aggregates of Silicate Nanoparticles in the Interstellar Medium

It is worth emphasizing that the critical velocity for sticking decreases with the sizes of colliding particles, while the relative collision velocity increases. The size dependence of Equation (17) reveals that the critical velocities for sticking between amorphous silica spheres of  $a \leq 0.04 \,\mu\text{m}$  exceed their collision velocities in a molecular cloud with a density of  $n = 10^{11} \text{ m}^{-3}$ . This indicates that amorphous silica nanoparticles could grow by coagulation to form aggregates in a typical turbulent molecular cloud without the coating of organic material. Indeed, elemental analysis of crater-like features on the aluminum foils of the Stardust Interstellar Dust Collector suggests that submicrometer-sized silicate grains of interstellar origin are aggregates of silicate nanoparticles (Westphal et al. 2014). Tielens et al. (1994) estimated that grain-grain collisions in interstellar shocks commence vaporization at a collision velocity above 19 km s<sup>-1</sup> and shattering at 0.4 km s<sup>-1</sup> for silicate grains. Since our estimate of the critical velocity for

disruption also results in  $v_{\text{disrupt}} \approx 0.4 \text{ km s}^{-1}$  at  $a = 0.01 \ \mu\text{m}$ , it is most likely that the Stardust interstellar dust samples of aggregates did not experience aggregate–aggregate collisions at velocities exceeding  $0.4 \text{ km s}^{-1}$ . Consequently, the Stardust mission has unveiled not only that the coagulation growth of silicate nanoparticles takes place in the interstellar medium, but also that the aggregates did not suffer from severe shattering by mutual collisions in the interstellar medium.

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 $<sup>\</sup>frac{1}{9}$  For the sake of simplicity, we ignore the effect of the silicate core on the critical velocity for sticking, since it is a common practice to consider only the elastic properties of the mantle material (e.g., Ormel et al. 2009; Hirashita & Li 2013).

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