

LETTER

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Acoustic scaling of anisotropic flow in shape-engineered events: implications for extraction of the specific shear viscosity of the quark gluon plasma

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It is shown that the acoustic scaling patterns of anisotropic flow for different event shapes at a fixed collision centrality (shape-engineered events), provide robust constraints for the event-by-event fluctuations in the initial-state density distribution from ultrarelativistic heavy ion collisions. The empirical scaling parameters also provide a dual-path method for extracting the specific shear viscosity $(\eta/s)_{\text{QGP}}$ of the quark-gluon plasma (QGP) produced in these collisions. A calibration of these scaling parameters via detailed viscous hydrodynamical model calculations, gives $(\eta/s)_{\text{QGP}}$ estimates for the plasma produced in collisions of Au+Au ($\sqrt{s_{\text{NN}}} = 0.2$ TeV) and Pb+Pb ($\sqrt{s_{\text{NN}}} = 2.76$ TeV). The estimates are insensitive to the initial-state geometry models considered.

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Considerable attention has been given to the study of anisotropic flow measurements in heavy-ion collisions at both the Relativistic Heavy Ion Collider (RHIC) and the Large Hadron Collider (LHC) [1–14]. Recently, the attack has focused on studies of initial state fluctuations and their role in the extraction of the specific shear viscosity (i.e. the ratio of shear viscosity to entropy density η/s) of the quark-gluon plasma (QGP). These flow measurements are routinely quantified as a function of collision centrality (cent) and particle transverse momentum p_{T} by the Fourier coefficients v_n

$$v_n(p_{\text{T}}, \text{cent}) = \langle \cos[n(\phi - \Psi_n)] \rangle. \quad (1)$$

Here ϕ is the azimuthal angle of an emitted particle and Ψ_n is the estimated azimuth of the n -th order event plane [15, 16]; brackets denote averaging over particles and events. The current measurements for charged hadrons [17, 18] indicate significant odd and even v_n coefficients up to about the sixth harmonic.

The estimates of $(\eta/s)_{\text{QGP}}$ from these v_n measurements have indicated a small value (i.e. 1-3 times the lower conjectured bound of $1/4\pi$ [19]). Substantial theoretical uncertainties have been assigned primarily to incomplete knowledge of the initial-state geometry and its associated event-by-event fluctuations. Indeed, an uncertainty of $\mathcal{O}(100\%)$ in the value of $(\eta/s)_{\text{QGP}}$ extracted from v_2 measurements at RHIC ($\sqrt{s_{\text{NN}}} = 0.2$ TeV) [5, 6], has been attributed to a $\sim 20\%$ uncertainty in the theoretical estimates [20, 21] for the event-averaged initial eccentricity ε_2 of the collision zone. Here, it is important to note that a robust method of extraction should not depend on the initial geometrical conditions since $(\eta/s)_{\text{QGP}}$ is only a property of the medium itself.

Recent attempts to reduce the uncertainty for $(\eta/s)_{\text{QGP}}$ have focused on: (i) the development of a more constrained description of the fluctuating initial-state geometry [22], (ii) the combined analysis of v_2 and v_3 [18, 23, 24] and other higher order harmonics [11] and (iii) a search for new constraints via “acoustic scaling” of v_n [25–27]. The latter two approaches [(ii) and (iii)] utilize the empirical observation that the strength of the dissipative effects which influence the magnitude of $v_n(\text{cent})$, grow exponentially as n^2 and $1/\bar{R}$ [25, 26, 28];

$$\frac{v_n(\text{cent})}{\varepsilon_n(\text{cent})} \propto \exp\left(-\beta \frac{n^2}{\bar{R}}\right), \quad \beta \sim \frac{4}{3} \frac{\eta}{Ts}, \quad (2)$$

where ε_n is the n -th order eccentricity moment, T is the temperature and \bar{R} is the initial-state transverse size of the collision zone. Thus, characteristic linear dependencies of $\ln(v_n/\varepsilon_n)$ on n^2 and $1/\bar{R}$ [cf. Eq. 2], are suggested with slopes $\beta' \sim \beta/\bar{R} \propto (\eta/s)_{\text{QGP}}$ and $\beta'' \sim n^2\beta \propto (\eta/s)_{\text{QGP}}$.

These scaling patterns have indeed been validated and shown to point to important constraints for the extraction of $(\eta/s)_{\text{QGP}}$ from data taken at both RHIC ($\sqrt{s_{\text{NN}}} = 0.2$ TeV) and LHC ($\sqrt{s_{\text{NN}}} = 2.76$ TeV) [25, 26]. Here, we explore new constraints for initial-state shape fluctuations, via scaling studies of v_n measurements obtained for shape-engineered events, i.e. different event shapes at a fixed centrality [29, 30].

Such constraints are derived from the expectation that the event-by-event fluctuations in anisotropic flow, result primarily from fluctuations in the size and shape (eccentricity) of the initial-state density distribution. Thus, various cuts on the full distribution of initial shapes [at a given centrality], should result in changes in the mag-

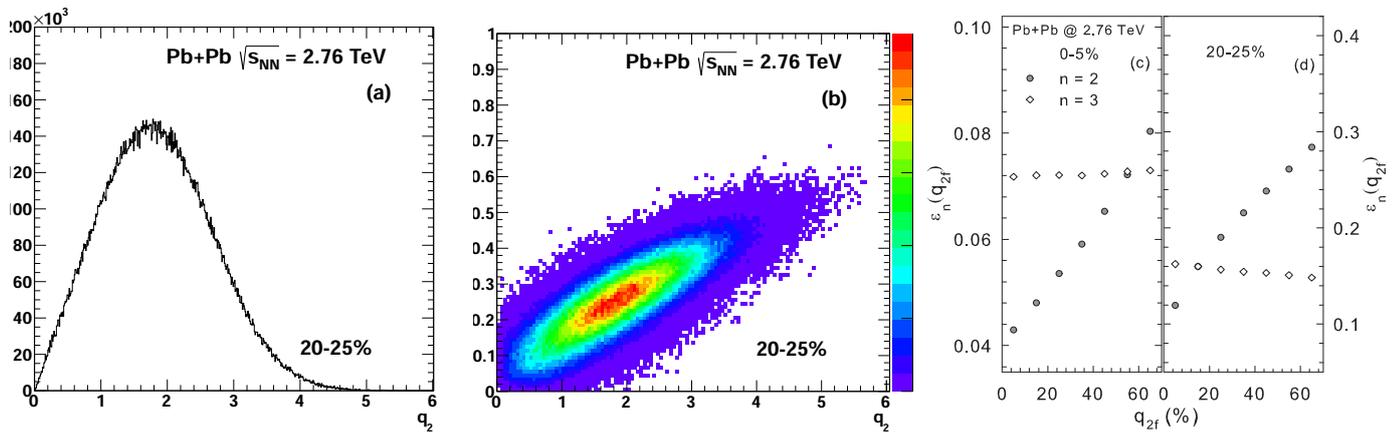


FIG. 1. (Color online) Calculated values for (a) the q_2 distribution for 20-25% central events; (b) ε_2 vs. q_2 for 20-25% central events; (c) $\varepsilon_{2,3}$ vs. q_{2f} for 0-5% central events; (d) $\varepsilon_{2,3}$ vs. q_{2f} for 20-25% central events. The calculations were made for Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV with the MC-Glauber model.

nitudes of $\langle \varepsilon_n \rangle$, $\langle \bar{R}_n \rangle$ and $\langle v_n \rangle$. Note however, that acceptable models for the initial-state fluctuations should give $\langle \varepsilon_n \rangle$ and $\langle \bar{R}_n \rangle$ values each of which lead to acoustic scaling of $\langle v_n \rangle$ with little, if any, change in the slope parameter β' (β'') for different event shape selections, i.e., β' (β'') $\propto (\eta/s)_{QGP}$ is a property of the medium, not the initial state geometry.

The q_n flow vector has been proposed [29] as a tool to select different initial shapes from the distribution of initial-state geometries at a fixed centrality;

$$Q_{n,x} = \sum_i^M \cos(n\phi_i); \quad Q_{n,y} = \sum_i^M \sin(n\phi_i); \quad (3)$$

$$q_n = Q_n / \sqrt{M}, \quad (4)$$

where M is the particle multiplicity and ϕ_i are the azimuthal angles of the particles in the sub-event used to determine q_n . We use this technique for model-based evaluations of $\varepsilon_2(q_2, \text{cent})$ and $\bar{R}(q_2, \text{cent})$ to perform validation tests for acoustic scaling of recent $v_2(q_2, \text{cent})$ measurements, as well as to determine if β'' is independent of event shape. Subsequently, we use the experimental acoustic scaling patterns in conjunction with the results of q_n -averaged viscous hydrodynamical calculations [31], to calibrate β' and β'' and make estimates of $(\eta/s)_{QGP}$ for the plasma produced in Au+Au and Pb+Pb collisions at RHIC and the LHC respectively.

The data employed in this work are taken from measurements by the ALICE and CMS collaborations for Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV [30, 32], as well as measurements by the STAR collaboration for Au+Au collisions at $\sqrt{s_{NN}} = 200$ GeV [7, 33]. The ALICE measurements [30] exploit a three subevents technique to evaluate $v_2(q_2, \text{cent})$, where the first subevent SE_1 is used to determine q_2 , and the particles in the second subevent SE_2 are used to evaluate $v_2(q_2, \text{cent})$ relative to the Ψ_2 event plane determined from the particles in the

third subevent SE_3 . To suppress non-flow correlations, the detector subsystems used to select $SE_{1,2,3}$ were chosen so as to give a sizable pseudo-rapidity gap ($\Delta\eta_p$) between the particles in different subevents. For each centrality, $v_2(q_2)$ measurements were made for the full q_2 distribution [$v_2(q_{2(\text{Avg})})$], as well as for events with the 10% lowest [$v_2(q_{2(\text{Lo})})$] and 5% highest [$v_2(q_{2(\text{Hi})})$] values of the q_2 distribution.

The CMS [31] and STAR [33] $v_n(\text{cent})$ measurements for $n = 2 - 6$ (CMS) and $n = 2$ (STAR) were selected to ensure compatibility with the viscous hydrodynamical calculations discussed below. An explicit selection on q_n was not used for these measurements; instead, they were averaged over the respective q_n distributions to give $v_n(q_{n(\text{Avg})}, \text{cent}) \equiv v_n(\text{cent})$. The systematic errors for the ALICE, CMS and STAR measurements are reported in Refs. [30], [32] and [33] respectively.

Monte Carlo versions were used for (a) the Glauber (MC-Glauber) [34] and (b) Kharzeev-Levin-Nardi [21, 35, 36] (MC-KLN) models for fluctuating initial conditions. Each was used to compute the number of participants $N_{\text{part}}(\text{cent})$, $q_n(\text{cent})$, $\varepsilon_n(\text{cent})$ [with weight $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$] and $\bar{R}_n(\text{cent})$ from the two-dimensional profile of the density of sources in the transverse plane $\rho_s(\mathbf{r}_\perp)$ [23], where $1/\bar{R}_2 = \sqrt{(1/\sigma_x^2 + 1/\sigma_y^2)}$, with σ_x and σ_y the respective root-mean-square widths of the density distributions. Computations for these initial-state geometric quantities were also made for 5% and 10% increments in q_n , from the lowest ($q_{n(\text{Lo})}$) to the highest ($q_{n(\text{Hi})}$) values of the q_n distribution. The computations were performed for both Au+Au ($\sqrt{s_{NN}} = 0.2$ TeV) and Pb+Pb ($\sqrt{s_{NN}} = 2.76$ TeV) collisions. From variations of the MC-Glauber and MC-KLN model parameters, a systematic uncertainty of 2-3% was obtained for \bar{R} and ε (respectively).

Figure 1(a) shows a representative q_2 distribution for

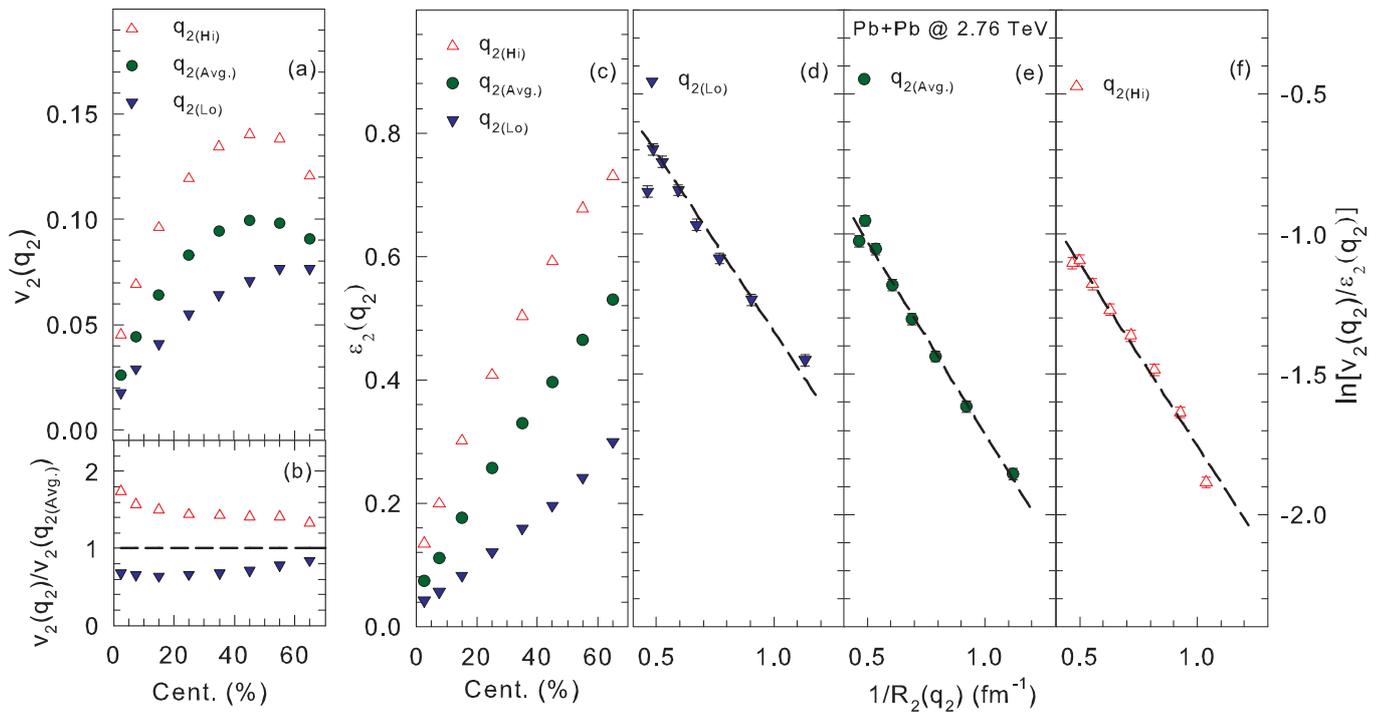


FIG. 2. (a) (Color online) Centrality dependence of $v_2(q_{2(\text{Lo})})$, $v_2(q_{2(\text{Avg.})})$ and $v_2(q_{2(\text{Hi})})$ [30] for $0 < \text{cent} < 70\%$ for Pb+Pb collisions at $\sqrt{s_{\text{NN}}} = 2.76$ TeV. (b) Centrality dependence of the ratios $v_2(q_{2(\text{Lo})})/v_2(q_{2(\text{Avg.})})$ and $v_2(q_{2(\text{Hi})})/v_2(q_{2(\text{Avg.})})$. (c) Centrality dependence of $\varepsilon_2(q_{2(\text{Lo})})$, $\varepsilon_2(q_{2(\text{Avg.})})$ and $\varepsilon_2(q_{2(\text{Hi})})$, evaluated with the MC-Glauber model. (d) $\ln[v_2(q_2)/\varepsilon_2(q_2)]$ vs. $1/\bar{R}_2(q_2)$ for $q_{2(\text{Lo})}$. (e) same as (d) but for $q_{2(\text{Avg.})}$. (f) same as (d) but for $q_{2(\text{Hi})}$.

144 20-25% central MC-Glauber events for Pb+Pb collisions. 145 The relatively broad distribution reflects the effects of 146 sizable event-by-event fluctuations convoluted with sta- 147 tistical fluctuations due to finite particle number. Quali- 148 tatively similar distributions were obtained for other cen- 149 tralities and for other harmonics. These q_n distributions 150 were partitioned into the 5% and 10% increments q_{nf} 151 [from the lowest to the highest values] and used for fur- 152 ther detailed selections on the event shape.

153 The effectiveness of such selections is illustrated in 154 Fig. 1(b), which shows a strong correlation between ε_2 155 and q_2 for 20-25% central Pb+Pb events. Similar trends 156 were obtained for other centrality cuts and for other har- 157 monics. Figs. 1(c) and (d) show the dependence of ε_2 and 158 ε_3 on q_{2f} for two centrality selections as indicated. For 159 central collisions (0-5%), $\varepsilon_2(q_{2f})$ and $\varepsilon_3(q_{2f})$ both show 160 an increase with q_{2f} , albeit with a much stronger depen- 161 dence for $\varepsilon_2(q_{2f})$. This increase is expected to lead to a 162 corresponding increase of $v_2(q_{2f})$ and $v_3(q_{2f})$ with q_{2f} .

163 Fig. 1(d) indicates a similar increase of $\varepsilon_2(q_{2f})$ with q_{2f} 164 for 20-25% central collisions. However, $\varepsilon_3(q_{2f})$ indicates 165 a decrease with q_{2f} , suggesting that a characteristic in- 166 version of the dependence of $v_3(q_2)$ is to be expected as a 167 signature in future $v_3(q_2)$ measurements for central and 168 mid-central collisions.

169 Figure 2(a) shows the centrality dependence for one set 170 of the shape-engineered measurements of $v_2(q_{2(\text{Lo})}, \text{cent})$,

171 $v_2(q_{2(\text{Avg.})}, \text{cent})$ and $v_2(q_{2(\text{Hi})}, \text{cent})$ reported in Ref. [30]. 172 They show that this event-shape selection leads to lower 173 (higher) values of $v_2(q_2, \text{cent})$ for q_2 values lower (higher) 174 than $q_{2(\text{Avg.})}$. They also show that such selections 175 can lead to a sizable difference (more than a factor of 176 two) between $v_2(q_{2(\text{Hi})}, \text{cent})$ and $v_2(q_{2(\text{Lo})}, \text{cent})$, as il- 177 lustrated in Fig. 2(b). Strikingly similar differences 178 can be observed in Fig. 2(c) for the MC-Glauber re- 179 sults shown for $\varepsilon_2(q_{2(\text{Lo})}, \text{cent})$, $\varepsilon_2(q_{2(\text{Avg.})}, \text{cent})$ and 180 $\varepsilon_2(q_{2(\text{Hi})}, \text{cent})$. They suggest that differences in the mea- 181 sured magnitudes for $v_2(q_{2(\text{Lo})}, \text{cent})$, $v_2(q_{2(\text{Avg.})}, \text{cent})$ 182 and $v_2(q_{2(\text{Hi})}, \text{cent})$, are driven by the corresponding dif- 183 ferences in the calculated magnitudes for $\varepsilon_2(q_{2(\text{Lo})}, \text{cent})$, 184 $\varepsilon_2(q_{2(\text{Avg.})}, \text{cent})$ and $\varepsilon_2(q_{2(\text{Hi})}, \text{cent})$.

185 The shape-selected measurements in Fig. 2(a) for 186 $v_2(q_{2(\text{Lo})}, \text{cent})$, $v_2(q_{2(\text{Avg.})}, \text{cent})$ and $v_2(q_{2(\text{Hi})}, \text{cent})$ all 187 show an increase from central to mid-central colli- 188 sions, as would be expected from an increase in 189 $\varepsilon_2(q_{2(\text{Lo})}, \text{cent})$, $\varepsilon_2(q_{2(\text{Avg.})}, \text{cent})$ and $\varepsilon_2(q_{2(\text{Hi})}, \text{cent})$ over 190 the same centrality range [cf. Fig. 2(c)]. For $\text{cent} \gtrsim$ 191 45% however, the decreasing trends for $v_2(q_{2(\text{Lo})}, \text{cent})$, 192 $v_2(q_{2(\text{Avg.})}, \text{cent})$ and $v_2(q_{2(\text{Hi})}, \text{cent})$ contrasts with the 193 increasing trends for $\varepsilon_2(q_{2(\text{Lo})}, \text{cent})$, $\varepsilon_2(q_{2(\text{Avg.})}, \text{cent})$ 194 and $\varepsilon_2(q_{2(\text{Hi})}, \text{cent})$, suggesting that the viscous effects 195 due to the smaller systems produced in peripheral colli- 196 sions, serve to suppress $v_2(q_{2(\text{Lo})}, \text{cent})$, $v_2(q_{2(\text{Avg.})}, \text{cent})$ 197 and $v_2(q_{2(\text{Hi})}, \text{cent})$. This is confirmed by the symbols

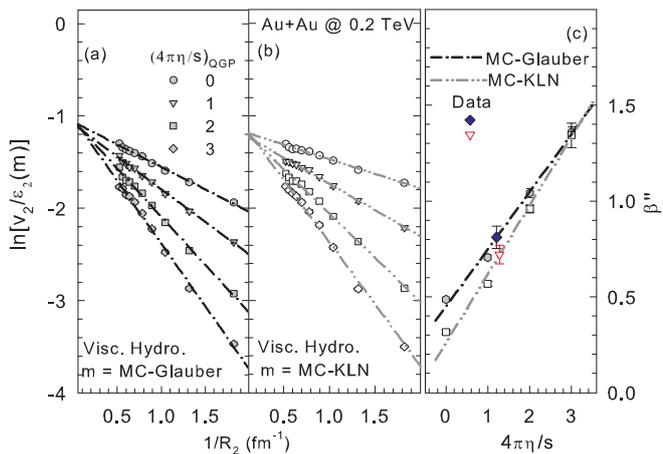


FIG. 3. (Color online) $\ln[v_2/\varepsilon_2]$ vs. $1/\bar{R}_2$ for viscous hydrodynamical calculations [31] for Au+Au collisions at $\sqrt{s_{NN}} = 0.2$ TeV with (a) MC-Glauber initial-state geometries and (b) MC-KLN initial-state geometries; the dashed-dot and the dotted-dashed curves represent linear fits. Results are shown for several values of $4\pi\eta/s$ as indicated. (c) Calibration curve for β'' vs. $4\pi\eta/s$; the β'' values are obtained from the slopes of the curves shown in (a) and (b). The indicated data points are obtained from a linear fit to $\ln[v_2/\varepsilon_2]$ vs. $1/\bar{R}_2$ for the STAR Au+Au data at $\sqrt{s_{NN}} = 0.2$ TeV [7, 33]

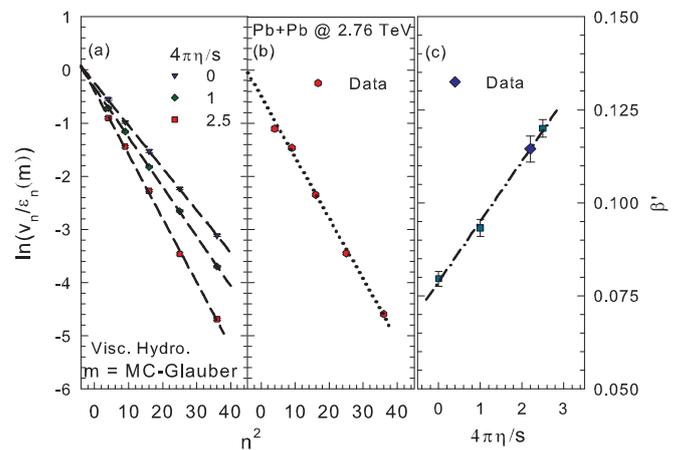


FIG. 4. (Color online) (a) $\ln(v_n/\varepsilon_n)$ vs. n^2 from viscous hydrodynamical calculations [31] for three values of specific shear viscosity as indicated. (b) $\ln(v_n/\varepsilon_n)$ vs. n^2 for Pb+Pb data. The p_T -integrated v_n results in (a) and (b) are for 0.2% central Pb+Pb collisions at $\sqrt{s_{NN}} = 2.76$ TeV [31]; the curves are linear fits. (c) Calibration curve for β' vs. $4\pi\eta/s$; the β' values are obtained from the slopes of the curves shown in (a). The indicated data point is obtained from a linear fit to the scaled data shown in (b).

and dashed curves in Figs. 2(d) - (f) which validates the expected linear dependence of $\ln[v_2(q_2)/\varepsilon_2(q_2)]$ on $1/\bar{R}_2(q_2)$ (cf. Eq. 2) for the data shown in Fig. 2(a). The dashed curves, which indicate a similar slope value ($\beta'' \sim 1.3 \pm 0.07$) for each of the scaling curves in Figs. 2(d) - (f), provide an invaluable model constraint for the event-by-event fluctuations in the initial-state density distribution, as well as for robust estimates of η/s .

The acoustic scaling patterns summarized in Eq. 2 are also exhibited in the results of q_n -averaged viscous hydrodynamical calculations [31] as demonstrated in Figs. 3(a) and (b) and Fig. 4(a). The scaled results, which are shown for several values of $4\pi\eta/s$ in each case, exhibit the expected linear dependence of $\ln(v_n/\varepsilon_n)$ on $1/\bar{R}$ for both MC-Glauber (Figs. 3(a)) and MC-KLN (Figs. 3(b)) initial conditions, as well as the expected linear dependence of $\ln(v_n/\varepsilon_n)$ on n^2 (Fig. 4(a)). They also give a clear indication that the slopes of these curves are sensitive to the magnitude of $4\pi\eta/s$. Therefore, we use them to calibrate β'' and β' to obtain estimates for $(4\pi\eta/s)_{QGP}$ for the plasma produced in RHIC and LHC collisions.

Figure 3(c) shows the calibration curves for β'' vs. $4\pi\eta/s$, obtained from the viscous hydrodynamical calculations shown in Figs. 3(a) and (b). The filled circles and the associated dot-dashed curve, represent the slope parameters (β'') obtained from linear fits to the viscous hydrodynamical results for MC-Glauber initial conditions shown in Fig. 3(a). The open squares and the associated dot-dot-dashed curve, represent the slope pa-

rameters obtained from linear fits to the viscous hydrodynamical results for MC-KLN initial conditions shown in Fig. 3(b). The STAR $v_2(\text{cent})$ data for Au+Au collisions, also show the expected linear dependence of $\ln(v_2/\varepsilon_2)$ on $1/\bar{R}_2$ for ε_2 and \bar{R}_2 values obtained from the MC-Glauber and MC-KLN models respectively. The filled diamond and the open triangle in Fig. 3(c), represent the slopes extracted from the respective scaling plots that used MC-Glauber and MC-KLN initial conditions respectively. A comparison to the respective calibration curves in Fig. 3(c), gives the estimate $\langle 4\pi\eta/s \rangle_{QGP} \sim 1.3 \pm 0.2$ for the plasma created in RHIC collisions. Here, it is noteworthy that our extraction procedure leads to an estimate which is essentially insensitive to the choice of the MC-Glauber or MC-KLN initial-state geometry.

The solid squares and the associated dashed-dot curve in Fig. 4(c), represent the calibration curve for β' vs. $4\pi\eta/s$, obtained from the linear fits (dashed curves) to the viscous hydrodynamical calculations shown in Fig. 4(a). Fig. 4(b) shows the expected linear dependence of $\ln(v_n/\varepsilon_n)$ on n^2 for CMS Pb+Pb data [31] scaled with same ε_n values employed in Fig. 4(a). The slope extracted from Fig. 4(b) is indicated by the solid blue diamond shown in Fig. 4(c); a comparison with the the calibration curve gives the the estimate $\langle 4\pi\eta/s \rangle_{QGP} \sim 2.2 \pm 0.2$ for the plasma created in LHC collisions. Note that a similar estimate is obtained from the scaling coefficient ($\beta'' \sim 1.3 \pm 0.07$) extracted from Fig. 2(e).

The $\langle 4\pi\eta/s \rangle_{QGP}$ estimates for the plasma produced in RHIC and LHC collisions are in reasonable agreement with recent $\langle \eta/s \rangle$ estimates [11, 26, 36–39]. Further cal-

culations will undoubtedly be required to reduce possible model-driven calibration uncertainties [39]. However, our method benefits from tests via implicit constraints on event-by-event fluctuations in the initial-state density distribution, as well as its lack of sensitivity to the initial-state models employed in our analysis.

In summary, we have presented a detailed phenomenological exploration of a new constraint for initial-state fluctuations, via scaling studies of v_2 measurements obtained for shape-engineered events. We find acoustic scaling patterns for shape-selected events (via $q_{2(\text{Lo})}$, $q_{2(\text{Avg})}$ and $q_{2(\text{Hi})}$). They provide robust tests for the event-by-event fluctuations in the initial-state density distribution. Our empirical methodology gives two consistent paths for estimating $(\eta/s)_{\text{QGP}}$ of the QGP produced in Au+Au and Pb+Pb collisions at RHIC and the LHC. A calibration of the method with q_2 -averaged viscous hydrodynamical model calculations, gives estimates for $(4\pi\eta/s)_{\text{QGP}}$ of 1.3 ± 0.2 and 2.2 ± 0.2 , for the plasma produced in Au+Au ($\sqrt{s_{NN}} = 0.2$ TeV) and Pb+Pb ($\sqrt{s_{NN}} = 2.76$ TeV) collisions (respectively). These values are insensitive to the initial-state geometry models employed.

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