

A SURVEY OF STAR-FORMING GALAXIES IN THE $1.4 \lesssim z \lesssim 2.5$ REDSHIFT DESERT: OVERVIEW¹

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ABSTRACT

The redshift interval $1.4 \lesssim z \lesssim 2.5$ has been described by some as the “redshift desert” because of historical difficulties in spectroscopically identifying galaxies in that range. In fact, galaxies can be found in large numbers with standard broadband color selection techniques coupled with follow-up spectroscopy with UV and blue-sensitive spectrographs. In this paper we present the first results of a large-scale survey of such objects, carried out with the blue channel of the LRIS spectrograph (LRIS-B) on the Keck I Telescope. We introduce two samples of star-forming galaxies, “BX” galaxies at $\langle z \rangle = 2.20 \pm 0.32$ and “BM” galaxies at $\langle z \rangle = 1.70 \pm 0.34$. In seven survey fields we have spectroscopically confirmed 749 of the former and 114 of the latter. Interlopers (defined as objects at $z < 1$) account for less than 10% of the photometric candidates, and the fraction of faint active galactic nuclei is $\sim 3\%$ in the combined BX/BM sample. Deep near-IR photometry of a subset of the BX sample indicates that, compared with a sample of similarly UV-selected galaxies at $z \sim 3$, the $z \sim 2$ galaxies are on average significantly redder in $(R-K_s)$, indicating longer star formation histories, increased reddening by dust, or both. Using near-IR $H\alpha$ spectra of a subset of BX/BM galaxies to define the galaxies’ systemic redshifts, we show that the galactic-scale winds that are a feature of star-forming galaxies at $z \sim 3$ are also common at later epochs and have similar bulk outflow speeds of $200\text{--}300 \text{ km s}^{-1}$. We illustrate with examples the information that can be deduced on the stellar populations, metallicities, and kinematics of redshift desert galaxies from easily accessible rest-frame far-UV and rest-frame optical spectra. Far from being hostile to observations, the universe at $z \sim 2$ is uniquely suited to providing information on the astrophysics of star-forming galaxies and the intergalactic medium, and the relationship between the two.

Subject headings: cosmology: observations — galaxies: evolution — galaxies: high-redshift — galaxies: kinematics and dynamics — galaxies: starburst — stars: formation

On-line material: color figures

1. INTRODUCTION

A number of different observations point to the redshift range $1 \lesssim z \lesssim 2.5$ as a particularly important epoch in the history of star formation, accretion onto massive black holes, and galaxy assembly. Recent successes in identifying the luminous but heavily obscured galaxies selected at submillimeter and radio wavelengths have shown that they are mostly at redshifts $z \sim 2.4 \pm 0.4$ (Chapman et al. 2003); this is also the epoch when the number density of luminous quasi-stellar objects (QSOs) peaked (e.g., Di Matteo et al. 2003 and references therein). The evolution of the ultraviolet (UV) luminosity density of the universe is now mapped out with reasonable precision over the redshift range $z \sim 0\text{--}6$ (e.g., Madau et al. 1996; Connolly

et al. 1997; Steidel et al. 1999; Giavalisco et al. 2003), but there remains a glaring gap between $z \sim 1.5$ and $z \sim 2.5$, an epoch when much of today’s stellar mass was assembled and heavy elements were produced (e.g., Dickinson et al. 2003; Fontana et al. 2003; Rudnick et al. 2003). The reason for this gap is that such redshifts, while only “modest” by current standards in distant galaxy hunting, have remained challenging to direct observation from the ground. As explained below, this has only to do with accidental incompatibilities between technology, the atmospheric windows available for sensitive observations from the ground, and the spectral features that enable redshift measurement, and not with any intrinsic changes in the galaxy populations. The fact that the $z = 1.5\text{--}2.5$ universe is to a large extent still terra incognita provides exciting opportunities for new observational techniques to make substantial headway.

Spectroscopy of distant galaxies has advanced significantly since the advent of 8–10 m class telescopes located at the best terrestrial sites and equipped with state of the art spectrographs achieving very high efficiency throughout the optical range. Wavelengths between 4000 and 9000 Å in particular

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benefit from the combination of low night-sky background, high atmospheric transmissivity, and high CCD quantum efficiency, which all result in greater sensitivities (in flux density units) than those achievable at any other wavelength observable from the ground. For this reason, galaxy surveys have traditionally targeted strong spectral features that fall in this range of high sensitivity, particularly nebular lines from H II regions (e.g., [O II] $\lambda 3727$; [O III] $\lambda\lambda 4959, 5007$; H β ; and H α), and the region near 4000 Å in the spectra of early-type galaxies. These features are used both in the measurement of galaxy redshifts and in the determination of basic astrophysical properties, such as star formation rates (SFRs), reddening, chemical abundances, velocity dispersions, and age.

As we move from the local universe to higher redshifts, the most straightforward strategy has been to simply follow these same spectral lines to longer wavelengths; for redshift measurements, [O II] $\lambda 3727$ is accessible to optical spectrographs up to $z \simeq 1.4$. Considerable success in charting galaxies up to these redshifts has been achieved recently with red-optimized³ spectrographs (e.g., DEEP2; Coil et al. 2004) that have been designed specifically to minimize the effects of OH emission from the night sky at wavelengths longer than ~ 7300 Å, or $z \gtrsim 1$ for the detection of [O II] $\lambda 3727$.

The optical domain has also proved ideal for surveying galaxies at redshifts $z \gtrsim 2.5$, where the rest-frame far-UV spectral region, with its wealth of spectral information, crosses over the “horizon” imposed by the earth’s atmosphere (Steidel et al. 1999, 2003; Shapley et al. 2003). Between $z \sim 1.4$ and $z \sim 2.5$, however, lies an interval of redshift for which no strong spectral lines fall in the 4300–9000 Å range where most of the spectrographs on large telescopes are optimized—hence, the “redshift desert.” There are two obvious approaches to finding galaxies at these redshifts: one is to extend multiobject spectroscopy into the near-infrared (near-IR) and target the familiar optical emission lines from H II regions; the other is to exploit the rest-frame far-UV spectral features by observing at near-UV and blue wavelengths (~ 3100 –4500 Å).

Both strategies are observationally challenging. In the first case, the sky background—both in the OH emission features and in the continuum—becomes progressively brighter at longer wavelengths, while the falling efficiency of silicon detectors requires the use of a different detector technology—one that lags significantly behind CCDs in terms of performance, multiplexing, and areal coverage. Moreover, the increasing importance of thermal noise in the IR necessitates more complex cryogenic instruments with cooled focal planes and optics. Nevertheless, multiobject cryogenic near-IR spectrographs are now being built, or planned, for several 8–10 m telescope facilities. At the other end of the scale, the ground-based near-UV spectral region has its own difficulties. High near-UV transmission and/or reflectivity requires compromises that can exacerbate broadband optical performance. Different optical glasses and coatings are required; it is extremely difficult to achieve good optical performance simultaneously at 3300 and 7500 Å with refractive optics, for example, and until recently good UV reflectivity has required the use of Al mirror coatings, which do not perform well, compared with other readily available materials, at wavelengths longer than 4500 Å. In addition, in selecting CCDs one must generally choose

between those that have good UV response and those with good quantum efficiency and low fringing amplitude in the red and near-IR. All these reasons explain why the wavelength region below 4000 Å has so far been largely neglected in the design of optical faint-object spectrographs.

From the point of view of the astrophysical information they convey, both the rest-frame far-UV and optical regions are important and are in fact largely complementary. In the rest-frame optical, nebular emission lines yield information on the chemical abundances and kinematics of the ionized gas (e.g., Teplitz et al. 2000; Pettini et al. 2001; Erb et al. 2003; Lemoine-Busserolle et al. 2003). In the far-UV, on the other hand, a large number of stellar and (especially) interstellar absorption lines are accessible. The latter have provided information on the kinematics and chemistry of outflowing gas that may have very significant implications for the galaxy formation process (see, e.g., Pettini et al. 2002a; Shapley et al. 2003; Adelberger et al. 2003). Stellar features, while weaker and therefore more difficult to detect, can be used to place constraints on the initial mass function (IMF) and metallicity of massive stars (e.g., Pettini et al. 2000, 2002b; Leitherer et al. 2001).

When it comes to identifying galaxies at $z = 1.4$ –2.5 in large numbers, however, there are clear differences between the near-UV and near-IR (in the observed frame) domains. Of course, some types of objects, such as those most heavily reddened by dust or having no current star formation, may be accessible only in the near-IR. However, the fact remains that, with no moonlight, the night sky background in the blue and near-UV is nearly featureless, is ~ 3 (AB) mag fainter (per square arc second in the continuum) than at 9000 Å, and is more than 5 mag fainter than in the H (1.65 μm) and K (2.2 μm) bands *even between the OH sky emission lines*. Since the spectral energy distribution of a typical star-forming galaxy is relatively flat from the rest-frame UV to the optical, the advantage of near-UV spectroscopy is obvious, provided high spectral throughput can be achieved. This is most effectively accomplished with double-beam spectrographs where the spectral throughput can be optimized over the whole range 0.3–1.0 μm .

Since the commissioning of the blue channel of the Low Dispersion Imaging Spectrograph (LRIS-B) on the Keck I telescope, we have been conducting a survey for star-forming galaxies at redshifts $z \simeq 1.5$ –2.5. The development of the photometric criteria used to select candidates is described in detail in Adelberger et al. (2004). In this paper we present the first results of the survey, highlighting the efficiency of UV selection for bridging this important redshift gap in our knowledge of galaxy evolution, and an overview of the science now possible for galaxies in this redshift range. In § 2 we briefly describe our photometric selection of candidates. The spectroscopy is described in § 3, where we present the first results of the survey, such as the success rate of the photometric selection and the redshift distribution of confirmed candidates. The Appendix describes the most important aspects of the LRIS-B instrument, which has been crucial to the success of the survey. Section 4 illustrates some of the astrophysical information conveyed by the spectra; we consider in particular the IMF and metallicity of the young stellar populations and the kinematics of the interstellar medium in these galaxies. Section 5 deals with the optical-IR colors of the galaxies. Finally, in § 6 we summarize the main findings from this initial stage of our survey. We assume a cosmology with $\Omega_m = 0.3$, $\Omega_\Lambda = 0.7$, and $h = 0.7$ throughout.

³ Red optimization involves several aspects: high spectral resolution, low detector fringing and/or spectrograph stability, as well as high detector quantum efficiency in the red.

TABLE 1
SURVEY FIELDS

Field Name	α (J2000.0)	δ (J2000.0)	Field Size	Telescope/Date ^a
GOODS-N.....	12 36 51	+62 13 14	10'6 × 14'6	KPNO/Apr 2, Keck I/2003 April
Q1307.....	13 07 45	+29 12 51	16'2 × 15'9	WHT/2001 May
Westphal.....	14 17 43	+52 28 49	15'0 × 15'0	KPNO/May 96, P200/2002 May
Q1623.....	16 25 45	+26 47 23	12'5 × 23'2	P2000/2000 Aug
Q1700.....	17 01 01	+64 11 58	15'3 × 15'3	WHT/2001 May
Q2343.....	23 46 05	+12 49 12	23'8 × 11'9	P200/2001 Aug
Q2346.....	23 48 23	+00 27 15	16'4 × 17'0	WHT/2001 Aug

NOTE.—Units of right ascension are hours, minutes, and seconds, and units of declination are degrees, arcminutes, and arcseconds.

^a Telescope and instrument used to obtain the deep imaging data KPNO = Kitt Peak 4 m Mayall Telescope, with PFCCD (1996) and MOSAIC (2002); WHT = William Herschel 4.2 m telescope with prime focus imager; P200 = Palomar 5.1 m Hale Telescope with Large Format Camera; Keck I = Keck I 10 m telescope LRIS in imaging mode.

2. PHOTOMETRIC AND SPECTROSCOPIC TARGET SELECTION

2.1. Color Selection

Our searches for galaxies at $z = 1.4$ – 2.5 are based on deep images in the U_n , G , and \mathcal{R} passbands of similar quality (in terms of depth and seeing) as those used in our published survey for Lyman break galaxies (LBGs) at $z \simeq 3$ (Steidel et al. 2003). The fields observed are listed in Table 1; with the exception of GOODS/HDF-N and Westphal, the fields are distinct from those used in the $z \sim 3$ LBG survey and were selected primarily because they include one or more relatively bright background QSOs suitable for studying the cross-correlation of galaxies with H I and metals in the intergalactic medium (IGM). The imaging data were obtained at four telescopes (Palomar 5.1 m, William Herschel 4.2 m, Kitt Peak 4 m, and Keck I 10 m) mostly between 2000 August and 2003 April. We made use of the deep U -band image in the GOODS-N field obtained by the GOODS team (Giavalisco et al. 2003), which was calibrated onto our own photometric system using observations through the U_n filter presented in Steidel et al. (2003). The image reductions and photometry were performed following the procedures described in Steidel et al. (2003).

The rationale and method for selecting $z \sim 2$ galaxies using only their optical broadband colors are described in detail by Adelberger et al. (2004). The selection criteria are aimed at identifying galaxies with approximately the same range of intrinsic properties, particularly UV luminosity and reddening by dust, as the well-studied $z \sim 3$ Lyman break galaxies. After some fine-tuning based on the initial results of early spectroscopic follow-ups, we converged on two sets of color selection criteria designed respectively to select galaxies in the redshift ranges $2.0 \lesssim z \lesssim 2.5$ —we call these “BX” objects, and $1.5 \lesssim z \lesssim 2.0$ —the “BM” objects. The criteria for BX objects (e.g., Q1700-BX691) are⁴

$$\begin{aligned}
 G - \mathcal{R} &\geq -0.2, \\
 U_n - G &\geq G - \mathcal{R} + 0.2, \\
 G - \mathcal{R} &\leq 0.2(U_n - G) + 0.4, \\
 U_n - G &\leq G - \mathcal{R} + 1.0,
 \end{aligned} \tag{1}$$

⁴ Note that the BX color cuts given in eq. (1) are slightly different from the preliminary values published in Erb et al. (2003).

and for the BM objects (e.g., Q1307-BM1163),

$$\begin{aligned}
 G - \mathcal{R} &\geq -0.2, \\
 U_n - G &\geq G - \mathcal{R} - 0.1, \\
 G - \mathcal{R} &\leq 0.2(U_n - G) + 0.4, \\
 U_n - G &\leq G - \mathcal{R} + 0.2.
 \end{aligned} \tag{2}$$

Figure 1 shows where the two color cuts are located in the $(U_n - G)$ versus $(G - \mathcal{R})$ plane. The resulting sample of galaxies is very similar to our existing sample of $z \sim 3$ LBGs in terms of SFR inferred from their UV luminosities (uncorrected for extinction): the BX+BM spectroscopic sample has $\text{SFR} = 3$ – $60 M_\odot \text{ yr}^{-1}$, with a median of $9.9 M_\odot \text{ yr}^{-1}$, while the spectroscopic $z \sim 3$ LBGs have $\text{SFR} = 5.5$ – $66 M_\odot \text{ yr}^{-1}$ with a median of $10.3 M_\odot \text{ yr}^{-1}$ (estimated from the apparent G and \mathcal{R} magnitudes respectively, using the conversion from 1500 Å luminosity advocated by Kennicutt 1998).

The average surface density of photometric candidates satisfying the BX criteria is 5.2 arcmin^{-2} to $\mathcal{R} = 25.5$,⁵ while the corresponding average surface density of BM objects is 3.8 arcmin^{-2} ; together, they comprise $\sim 25\%$ of the \mathcal{R} -band counts to this apparent magnitude limit and exceed the $z \sim 3$ LBG surface density by a factor of more than 5. This is not surprising given the larger redshift interval ($1.5 \lesssim z \lesssim 2.5$) and the lower intrinsic luminosities reached in the BX and BM samples compared with the $z \sim 3$ LBGs.⁶

2.2. Spectroscopic Follow-up

At this stage in the survey we have followed-up spectroscopically primarily the BX candidates, for the purpose of extending to lower redshifts our study of the IGM-galaxy connection begun in Adelberger et al. (2003). As discussed in § 3.1, the redshift distribution of these galaxies has $\langle z \rangle = 2.20 \pm 0.32$. Only very recently have we begun observing significant numbers of BM galaxies targeting the range $1.4 \lesssim z \lesssim 2.0$, considered by some to be perhaps the most challenging

⁵ Corrected for 9% contamination by interlopers, as discussed in § 3.1 below.

⁶ $\mathcal{R} = 25.5$ corresponds to galaxies that are 0.6 mag less luminous at $z = 2.2$, and 1.1 mag less luminous at $z = 1.7$, than $z \sim 3$ LBGs of the same apparent magnitude.

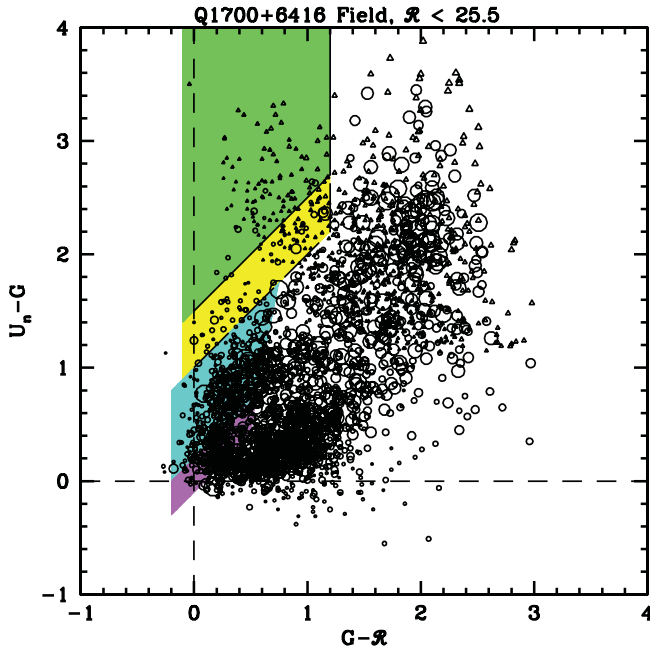


FIG. 1.—Two-color diagram from one of the survey fields, to illustrate the new selection criteria discussed in this paper (see also Adelberger et al. 2004). The size of the symbols scales with object brightness, and triangles are objects for which only limits were obtained on the U_n-G color. The cyan and magenta-shaded regions are the BX and BM selection windows, designed to select galaxies at $z \simeq 2-2.5$ and $z \simeq 1.5-2.0$, respectively. The green and yellow shaded regions are the $z \sim 3$ LBG color selection windows used in the survey by Steidel et al. (2003). In this field there are 1831 BX and 1085 BM candidates; together they account for $\sim 25\%$ of the 11547 objects brighter than $R = 25.5$. For clarity only one in five objects is shown in the plot.

range of redshifts for confirmation with optical spectroscopy. While the current statistics for such galaxies are not as extensive as those of the BX subsample (we have observed only 187 candidates to date), the results obtained so far already show that the color selection criteria work as expected; the redshift distribution of confirmed BM objects is $\langle z \rangle = 1.70 \pm 0.34$.

What is clear is that the higher surface density of BX/BM photometric candidates, coupled with the high rate of spectroscopic confirmation achieved with the UV-optimized LRIS-B spectrograph, allows a large sample of galaxies in the redshift desert to be assembled relatively easily and efficiently. To date our survey includes 692 galaxies with confirmed spectroscopic redshifts between $z = 1.4$ and 2.5 . We defer to a future paper the relatively complex analysis necessary to turn the photometric and spectroscopic results into a far-UV luminosity function of $z \sim 2$ galaxies. We now turn to the spectroscopic results; relevant information on the performance and specifications of the LRIS-B instrument are summarized in the Appendix.

3. SPECTROSCOPIC OBSERVATIONS AND RESULTS

3.1. Optical Spectroscopy

For the redshift range of interest here, $1.4 \lesssim z \lesssim 2.5$, the rest-frame far-UV region between Ly α and C IV $\lambda\lambda 1548, 1550$, with its rich complement of stellar and interstellar lines, is redshifted between the atmospheric cut-off near 3100 and 5400 Å. This wavelength interval was therefore the primary target of our spectroscopic survey. The spectra were obtained with slightly different instrumental configurations over the 3 year period of the survey to date. In the blue channel of LRIS we used the 400 groove mm $^{-1}$ grism blazed at 3400 Å throughout, since

this is the grism that provides the highest throughput between 3100 and 4000 Å (see Appendix and associated figures). Initially we observed with a dichroic that divides the incoming beam at 5600 Å and with a 600 groove mm $^{-1}$ grating blazed at 7500 Å on the red side; this set up generally gives complete wavelength coverage over the entire 3100–8000 Å range for most slits. In 2002, once the blue channel detector was upgraded to the larger Marconi CCD mosaic, we used the blue side only with a mirror in place of the dichroic; this configuration covers from the atmospheric cutoff to about 6500 Å (the exact red limit of the spectra depends on the location of a given slit within the field of view) with a dispersion of 1.07 Å per 15 μ m pixel. More recently we have reverted to double-channel mode, but with the 6800 Å dichroic and the 400 groove mm $^{-1}$ grating blazed at 8500 Å, which gives additional spectral coverage from 6800 to 9500 Å for most slits. This latest setup is particularly useful when observing BM candidates, because the red side allows one to check for the presence of [O II] $\lambda 3727$ emission at $z \sim 1-1.5$; as explained below, galaxies in this redshift range turn out to be the main source of contamination of the BM sample.

The Keck I f/15 Cassegrain focus does not yet have an atmospheric dispersion corrector, so we took special care to avoid significant light losses due to atmospheric dispersion. We designed slit masks to be used at a given time of night, with a position angle that would place the slits within 20° of the parallactic angle at any time during the observations. At the telescope, we performed the final mask alignment in the blue, since this is the region of most interest for our purposes. With the 1'2 slits used in all the masks, and the typical image quality of $\sim 0''.8$ at the detector, the spectral resolution in the blue was $\simeq 5$ Å FWHM, sampled with ~ 4.5 pixels. Wavelength calibration was achieved by comparison with the spectra of internal Cd, Zn, Ne, and Hg lamps, as well as by reference to night sky emission lines.

The choice of BX and BM candidates assigned to each mask was based on an algorithm that gives largest weights to objects in the apparent magnitude range $R = 22.5-24.5$ and somewhat lower weights to brighter and fainter objects.⁷ Each slit mask covered an area on the sky of 8'0 by 4'5 and contained 30–35 slits. With few exceptions, each mask was observed for a total of 5400 s split into three 1800 s integrations; the telescope was stepped by 1''–2'' in the slit direction between exposures. The data were reduced and the spectra identified with the procedures described by Steidel et al. (2003).

The total exposure times were deliberately kept short in order to maximize the number of galaxies for which redshifts could be measured. Because of this, the quality of the spectra varies considerably (since the objects range from $R = 21.7$ to $R = 25.5$), but the best spectra are already suitable for more detailed analyses, as discussed in § 4 below. The success rate in spectroscopically identifying candidates varied from mask to mask, depending on the observing conditions. On masks obtained in the best conditions, a redshift could be measured for more than 90% of the objects targeted, whereas the proportion was lower for masks observed in poor seeing (FWHM $\gtrsim 1''$) or through thick cirrus. Because of this, we believe that spectroscopic failures are very likely to have the same redshift distribution as the successes, rather than being the result of

⁷ Objects lying within 1'–2' of a background QSO were given additional weight depending on the projected distance from the QSO. Such cases are particularly useful for investigating the galaxy-IGM connection (Adelberger et al. 2003).

TABLE 2
BX SPECTROSCOPIC SAMPLE COMPLETENESS AND CONTAMINATION

\mathcal{R} Mag	Attempted	Identified	Percent Identified	Interlopers ^a	Percent Interlopers ^b
19.0–22.0 ^c	52	50	96.2	42	84.6
22.0–22.5.....	12	12	100.0	11	91.7
22.5–23.0.....	32	30	93.8	21	70.0
23.0–23.5.....	118	106	89.8	32	30.2
23.5–24.0.....	223	177	79.3	25	14.0
24.0–24.5.....	363	248	68.3	12	4.8
24.5–25.0.....	275	175	63.6	9	5.1
25.0–25.5.....	231	105	45.7	2	1.9
Total	1309	903	69.1	154	17.1

^a Number of objects with $z < 1$.

^b Fraction of identified objects with $z < 1$.

^c Seven of the eight objects with $\mathcal{R} < 22$ and $z > 1$ are QSOs.

the true redshifts falling far from expectations. The overall success rate to date in securing a spectroscopic identification is 69% for BX candidates and 65% for BM candidates (see Tables 2 and 3).

The current redshift histograms for the BX and BM samples are shown in Figure 2, together with the histogram for the completed $z \sim 3$ LBG survey of Steidel et al. (2003). The total number of new spectroscopic redshifts in the range $1.4 \leq z \leq 2.5$, including both BX and BM samples, is 692, with 244 of those in the range $1.4 \leq z \leq 2.0$.

From the results of the survey so far we have established that star-forming galaxies at $\langle z \rangle = 0.17 \pm 0.09$ are the main source of contamination in the BX sample (adopting the somewhat arbitrary definition of an interloper as any object at $z < 1$). These are star-forming dwarf galaxies whose Balmer break mimics the Ly α forest decrement of galaxies in the $z = 2$ –2.5 range. As can be seen from Table 2, they are dominant at the bright end of the distribution of apparent magnitudes but become negligible at faint magnitudes. Confining the spectroscopic follow-up to objects with $\mathcal{R} > 23.5$ would reduce the contamination to 5%, but at the expense of overlooking the intrinsically brightest galaxies at $z > 1.5$, which are the most suitable for subsequent detailed spectroscopic studies. For this reason we have included many of these bright objects in the masks observed up to now. Based on the spectroscopic results, we estimate that the overall contamination of the BX photometric sample to $\mathcal{R} = 25.5$ by low-redshift interlopers is $\sim 9\%$ of which 3% are stars and 6% are low-redshift star-forming galaxies. However, because

we did not sample the photometric candidates evenly, but favored brighter objects, the interloper contamination of the BX spectroscopic sample to date is $\simeq 17\%$. Of the 903 BX objects with measured redshifts, 749 are at $z > 1$ with $\langle z \rangle = 2.20 \pm 0.32$.

Objects satisfying the BM color criteria, on the other hand, do not suffer significant contamination from very low redshift galaxies or stars. While the targeted redshift range was $1.5 \lesssim z \lesssim 2.0$, a significant number of galaxies in the $1.0 \leq z \leq 1.4$ range are included simply because some fraction of such galaxies are found in the same region of the U_nGR color space as the targeted objects (see Table 3); their proportion can be reduced if additional color criteria are imposed (see Adelberger et al. 2004). Of the 114 BM objects with secure redshifts, 107 have $z > 1$, with an overall redshift distribution of $\langle z \rangle = 1.70 \pm 0.34$; 20 objects have $1.0 \leq z \leq 1.4$.

Example spectra of galaxies in the redshift desert are shown in Figures 3 and 4; the properties of these galaxies, including their positions, magnitudes, and colors, are summarized in Table 4. In Figure 3 we have reproduced the LRIS-B spectrum of Q1307-BM1163, a bright ($\mathcal{R} = 21.67$, $G = 21.87$, $U_n = 22.22$) galaxy at $z = 1.411$. We have plotted raw counts versus observed wavelength specifically to show the relative count rate as a function of wavelength from 3000 to 7100 Å, the range encompassed by the blue channel of LRIS for this object. Useful signal is obtained all the way down to 3100 Å; some of the most prominent interstellar absorption and emission lines covered in our spectra of BM and BX galaxies, from C II $\lambda 1334$ to Mg II $\lambda 2796$, 2803, are indicated in the

TABLE 3
BM SPECTROSCOPIC SAMPLE COMPLETENESS AND CONTAMINATION

\mathcal{R} Mag	Attempted	Identified	Percent Identified	Interlopers ^a	Percent Interlopers ^b
19.0–22.0 ^c	7	7	100.0	0	0.0
22.0–22.5.....	1	1	100.0	0	0.0
22.5–23.0.....	2	2	100.0	0	0.0
23.0–23.5.....	21	17	81.0	1	5.8
23.5–24.0.....	38	29	76.3	3	10.3
24.0–24.5.....	67	40	59.7	3	7.5
24.5–25.0.....	30	17	56.7	0	0.0
25.0–25.5.....	21	8	38.1	0	0.0
Total	187	121	64.7	7	5.8

^a Number of objects with $z < 1$.

^b Fraction of identified objects with $z < 1$.

^c Six of the seven objects with $\mathcal{R} < 22$ and $z > 1$ are QSOs.

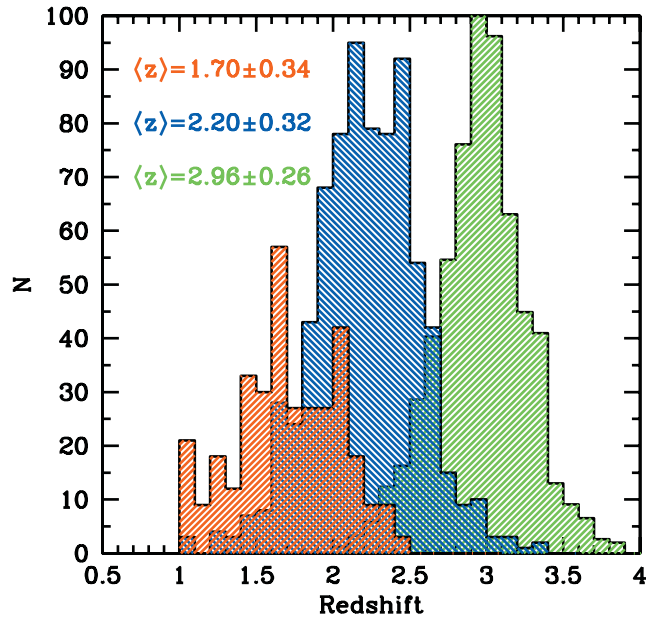


FIG. 2.—Redshift distributions of spectroscopically confirmed galaxies from various color-selected samples. The green histogram is for $z \sim 3$ LBGs from Steidel et al. (2003) (scaled by 0.7); the blue histogram shows the redshifts of our current BX sample (749 galaxies), while the red histogram is the smaller BM sample of 114 galaxies (scaled up by a factor of 3 for clarity). The total number of new spectroscopic redshifts in the range $1.4 \leq z \leq 2.5$, including both BX and BM samples, is 694, with 244 of those in the range $1.4 \leq z \leq 2.0$.

figure. In § 4 we use this example to illustrate the information that can be deduced on the stellar populations and the interstellar medium of galaxies at $z = 1.4$ – 2.5 from the study of their rest-frame UV spectra.

Figure 4 is a montage of 10 spectra of some of the brighter BM and BX galaxies chosen to span the range of redshifts and spectral properties seen among our sample. Broadly speaking, the far-UV spectra of color-selected $z \sim 1.4$ – 2.5 galaxies resemble those of Lyman break galaxies at $z \sim 3$ in terms of continuum slope and spectral lines seen in emission and absorption. There is some evidence to suggest that the $\text{Ly}\alpha$ line

appears less frequently in emission at these redshifts than at $z \sim 3$: while 33% of the $z \sim 3$ LBGs in the survey by Steidel et al. (2003) have no measurable $\text{Ly}\alpha$ emission, the corresponding fraction in the current sample of BM and BX galaxies at $z > 1.7$ (such that $\text{Ly}\alpha$ falls longward of $\sim 3300 \text{ \AA}$) is 57%. It remains to be established whether this is due to a subtle selection effect or to real redshift evolution in the escape fraction of $\text{Ly}\alpha$ photons. We intend to address this issue in the future with simulations that are beyond the scope of this paper.

Excluding QSOs which were already known in our survey fields (these QSOs are all brighter than $\mathcal{R} \simeq 20$), we have identified 21 QSOs and seven narrow-lined active galactic nuclei (AGNs) among the sample of 863 objects with $z > 1$. This AGN fraction of 3.2% is essentially the same as that deduced by Steidel et al. (2002) for LBGs at $z \sim 3$. However, we caution that we have not yet quantified the AGN selection function imposed by our color criteria and spectroscopic follow-up, so that the above estimate is only indicative at this stage. On the other hand, it is encouraging that the redshift distribution of the AGNs (both BX and BM) is similar to that of the galaxies: $\langle z_{\text{AGN}} \rangle = 2.31 \pm 0.48$ and $\langle z_{\text{GAL}} \rangle = 2.14 \pm 0.37$, perhaps suggesting that differential selection effects may not be too severe.

3.2. Near-Infrared Spectroscopy

In parallel with the LRIS-B optical spectroscopy, we have been observing a subset of the BX and BM galaxies in the near-IR, targeting in particular the $\text{H}\alpha$ and $[\text{N II}] \lambda\lambda 6548, 6583$ emission lines in the H and K bands. Initial results from this aspect of the survey were reported by Erb et al. (2003).

Briefly, we use the near-infrared echelle spectrograph (NIRSPEC) on the Keck II Telescope (McLean et al. 1998) with a $0''.76 \times 42''$ entrance slit and medium-resolution mode. In the K band, this set up records a $\sim 0.4 \mu\text{m}$ wide portion of the near-IR spectrum at a dispersion of 4.2 \AA per $27 \mu\text{m}$ pixel; the spectral resolution is $\sim 15 \text{ \AA}$ FWHM (measured from the widths of emission lines from the night sky). In the H band, $\sim 0.29 \mu\text{m}$ are recorded at a dispersion of 2.8 \AA per pixel and $\sim 10 \text{ \AA}$ resolution. The $42''$ length of the rotatable slit is normally sufficient to include two galaxies by choosing the

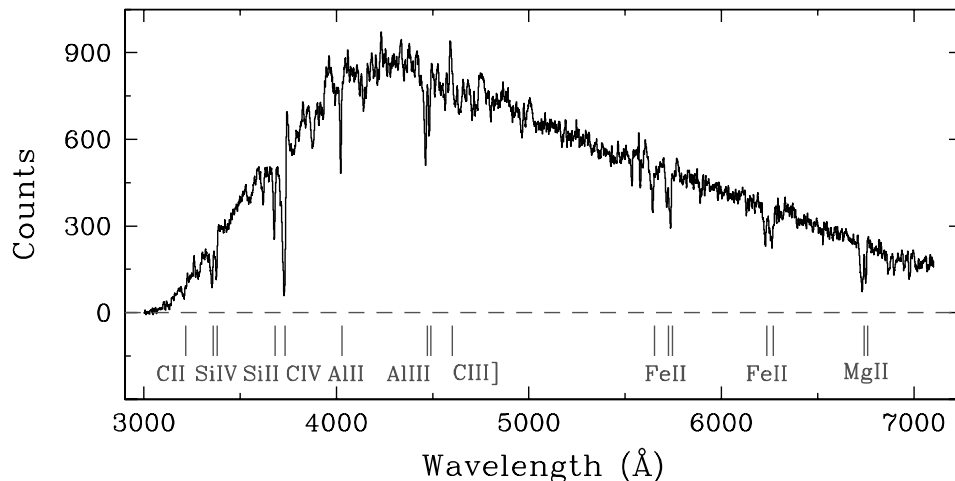


FIG. 3.—LRIS-B spectrum of Q1307-BM1163, a bright ($\mathcal{R} = 21.67$, $G = 21.87$, $U_n = 22.22$) galaxy at $z = 1.411$. The spectral resolution is $\sim 5 \text{ \AA}$ FWHM. We have deliberately shown this spectrum before flux calibration to illustrate how the count rate varies over the typical wavelength range spanned by LRIS-B. Like nearly all of the spectra obtained in the survey, this is the sum of three 1800 s exposures. The most prominent interstellar absorption and emission lines used to measure galaxy redshifts between $z = 1.4$ and 2.5 are indicated below the spectrum. [See the electronic edition of the *Journal* for a color version of this figure.]

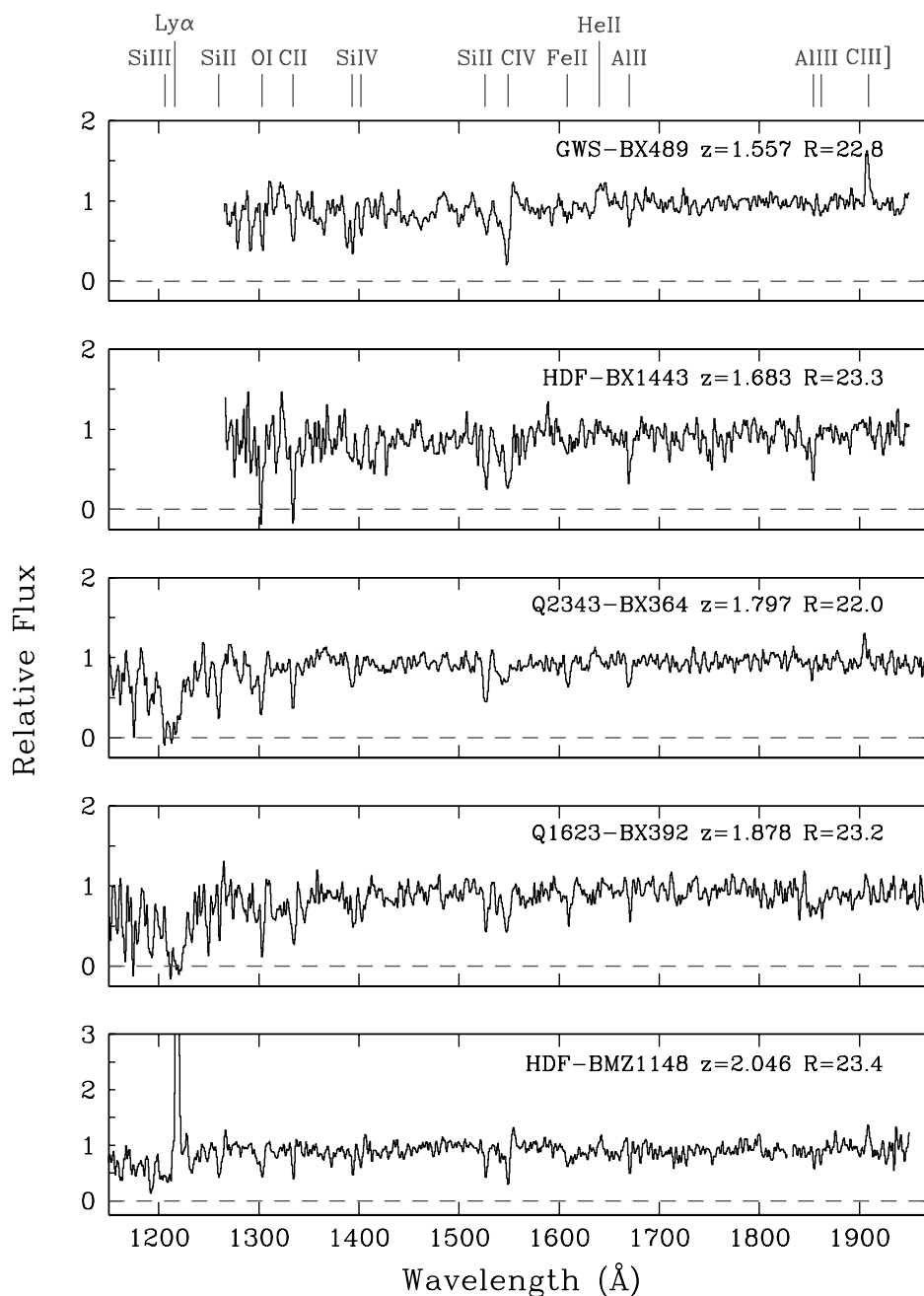
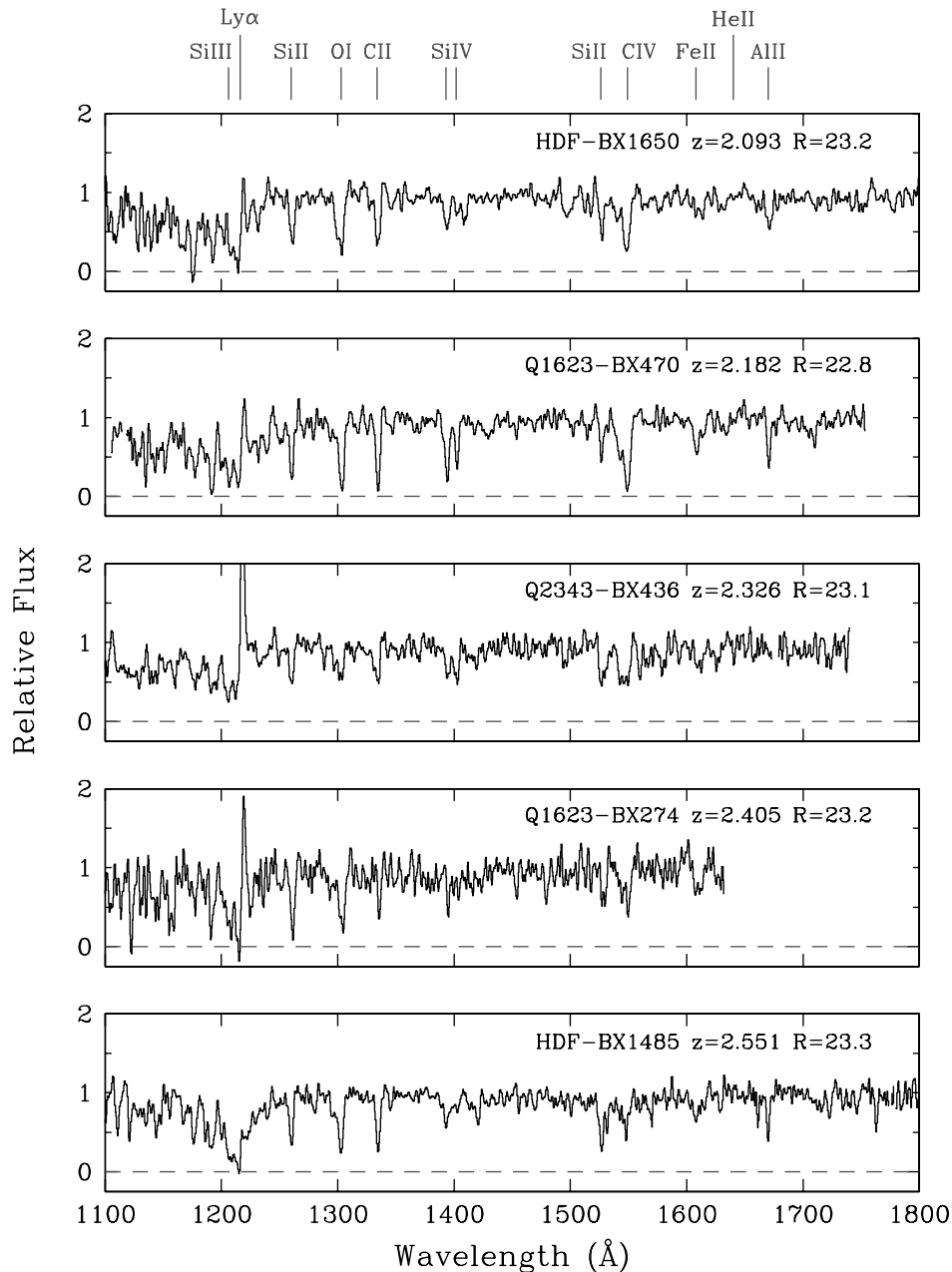


FIG. 4.—Examples of portions of the spectra of galaxies in the redshift range $1.5 \leq z \leq 2.5$. The spectra have been shifted into the rest frame and normalized to unity in the continuum. The strongest spectral features in the spectra of star-forming galaxies at these redshifts are indicated at the top of each panel. The galaxies shown here are selected from the brightest $\sim 10\%$ of the spectroscopic sample of 863 BX/BM galaxies obtained to date. Typical galaxies in the sample are ~ 1 mag fainter, and their spectra have S/N lower by a factor of 2–3. All exposures were 5400 s. [See the electronic edition of the *Journal* for a color version of this figure.]

appropriate position angle on the sky. Individual exposures are 900 s, and we typically take between two and four exposures per galaxy (or pair of galaxies), offsetting the targets along the slit by a few arcseconds between exposures. The data are reduced and calibrated with the procedures described by Erb et al. (2003).

This near-IR spectroscopy has several goals, most of which are complementary to those achievable with the optical spectra described above: (1) Determine the redshifts of the nebular emission lines which, to our knowledge, give the closest approximation to the systemic redshifts of the galaxies. This is particularly important for examining the connection between the galaxies and the absorption lines seen along nearby QSO

sight lines, since uncertainties in the systemic galaxy redshifts are reduced from ~ 150 – 200 km s $^{-1}$ (see Adelberger et al. 2003) to ~ 20 – 30 km s $^{-1}$. (2) Measure the velocity dispersion of the ionized gas and look for evidence of ordered motions, such as rotation, in order to estimate the galaxies' dynamical masses (see Erb et al. 2003). (3) Determine the metallicity of the H II regions from consideration of the [N II]/H α ratio; (4) Compare the SFR deduced from the H α luminosity with that from the far-UV continuum. Initial results relevant to these topics were presented by Erb et al. (2003); in § 4 we briefly touch on some of them again. More extensive results from the near-IR spectroscopic follow-up will be presented elsewhere.

FIG. 4.—*Continued*

4. THE SPECTRAL PROPERTIES OF UV-SELECTED GALAXIES AT $1.4 < z < 2.5$

In this section we illustrate the astrophysical information that can be deduced from the analysis of the rest-frame far-UV spectra of galaxies in the redshift desert, using Q1307-BM1163 (Fig. 3) as an example. This is admittedly one of the brightest galaxies discovered in the survey to date ($\mathcal{R} = 21.66$), and the spectrum reproduced in Figure 3 is of higher signal-to-noise ratio (S/N) than most. However, it must be borne in mind that this spectrum was recorded with only three 1800 s exposures. Data of similar quality can be secured for large numbers of galaxies in the sample with integrations of ~ 10 hr, and such observations are well underway. The character of the spectrum of Q1307-BM1163 is similar to those of many other BX and BM objects; thus we expect that our conclusions from its

analysis should be applicable to at least a subset of the galaxies at $1.4 < z < 2.5$, particularly those at the bright end of the luminosity function.

4.1. Integrated Stellar Spectra

In the far-UV spectra of star-forming galaxies we see the integrated light of young stars of spectral types O and B; such spectra are most effectively analyzed with population synthesis models such as Starburst99⁸ (Leitherer et al. 1999), which generally provide a good match to the observed spectral characteristics at high (e.g. Pettini et al. 2000; de Mello, Leitherer, & Heckman 2000), as well as low (Leitherer 2003),

⁸ Available from <http://www.stsci.edu/science/starburst99>.

TABLE 4
EXAMPLE BX/BM GALAXIES

Name	α (J2000.0)	δ (J2000.0)	\mathcal{R}	$G-\mathcal{R}$	U_n-G	z_{em}^{a}	$z_{\text{abs}}^{\text{b}}$
Q1307-BM1163	13 08 18.06	+29 23 19.2	21.66	0.20	0.35	...	1.409
GWS-BX489	14 17 20.41	+52 33 18.3	22.83	0.01	0.26	1.557	1.557
HDF-BX1443	12 36 44.87	+62 18 37.9	23.33	0.31	0.57	...	1.683
Q2343-BX364	23 46 14.97	+12 46 53.9	21.96	0.31	0.71	...	1.797
Q1623-BX392	16 25 46.56	+26 45 52.3	23.23	0.11	0.54	...	1.878
HDF-BMZ1148	12 36 46.15	+62 15 51.1	23.38	0.20	0.29	2.053	2.046
HDF-BX1650	12 37 24.11	+62 19 04.7	23.24	0.18	0.74	2.100	2.093
Q1623-BX470	16 25 52.80	+26 43 17.5	22.80	0.20	0.93	2.193	2.182
Q2343-BX436	23 46 09.07	+12 47 56.0	23.07	0.12	0.47	2.332	2.326
Q1623-BX274	16 25 38.20	+26 45 57.1	23.23	0.25	0.89	2.415	2.405
HDF-BX1485	12 37 28.12	+62 14 39.9	23.29	0.35	0.96	...	2.551

NOTE.—Units of right ascension are hours, minutes, and seconds, and units of declination are degrees, arcminutes, and arcseconds.

^a Redshift of the Ly α emission line, when observed.

^b Average redshift defined by the interstellar absorption lines.

redshifts. This is also the case for Q1307-BM1163. In Figure 5 we compare our LRIS-B spectrum of this galaxy with that calculated by Starburst99 for a continuous star formation episode which has been on-going for 100 million years, with solar metallicity and a power-law IMF with Salpeter (1955) slope $\alpha = 2.35$. The spectrum of Q1307-BM1163 has been reduced to the rest frame at redshift $z_{\text{H II}} = 1.411$ (see § 4.4) and normalized to the continuum; continuum windows were selected with reference to the Starburst99 model spectrum.

This simplest of models—100 million years is the age beyond which the far-UV model spectrum no longer changes with time—is an excellent match to the integrated stellar spectrum of Q1307-BM1163. The low-contrast blends of photospheric lines are reproduced very well, and most of the features that differ between the two spectra in Figure 5 are interstellar absorption lines. Starburst99 makes no attempt to reproduce interstellar features; it is often the case that these lines are stronger in the observed spectra of star-forming galaxies than in the models because the latter use empirical libraries of stellar spectra of relatively nearby OB stars, whereas the observations sample much longer path lengths through a whole galaxy.

Figure 6 shows the comparison on an expanded scale, centered on the C IV $\lambda\lambda 1548, 1550$ doublet which is a blend of

P Cygni emission/absorption from the expanding atmospheres of massive stars, and narrower interstellar absorption. The dependence of mass-loss rate on both stellar luminosity and the ionization parameter that is modulated by stellar temperature makes the C IV P Cygni profile most pronounced in the most massive stars (e.g., Walborn, Nichols-Bohlin, & Panek 1985). Thus, its strength relative to the underlying continuum light, produced collectively by all of the O and early B-type stars, is very sensitive to the slope and upper end cutoff of the IMF, as illustrated in Figure 6. Even relatively minor changes in α and M_{up} alter the appearance of the P Cygni profile: excluding stars with masses $M > 50 M_{\odot}$ reduces both emission and absorption components (Fig. 6, *middle panel*), while increasing the proportion of stars at the upper end of the IMF, by changing α from 2.35 to 1.85, overproduces the P Cygni feature (Fig. 6, *bottom*). The best overall agreement (from among these three models) is obtained with a standard Salpeter IMF (*top*).

To a lesser degree, the relative strength of the C IV P Cygni profile is also sensitive to other parameters, in particular to the metallicity (Leitherer et al. 2001), since metal-poor stars experience lower mass-loss rates, and to age-dependent dust extinction (Leitherer, Calzetti, & Martins 2002). While one

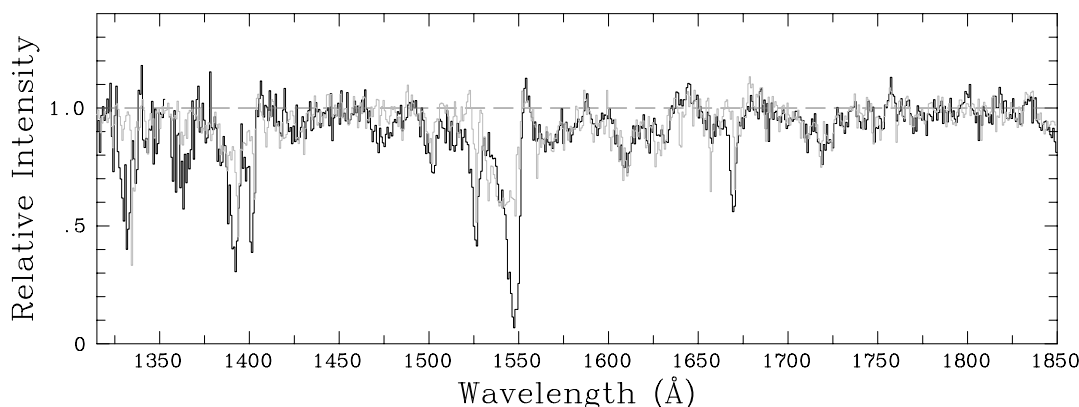


FIG. 5.—Comparison between the observed far-UV spectrum of Q1307-BM1163 (*black histogram*), normalized to the continuum and reduced to rest-frame wavelengths, and the simplest model spectrum produced with the population synthesis code Starburst99, one which assumes continuous star formation, solar metallicity, and a Salpeter IMF (*green or gray histogram*). The model's match to the data is remarkably good—the features that are not reproduced in the Starburst99 composite stellar spectrum are in most cases interstellar absorption lines. [See the electronic edition of the Journal for a color version of this figure.]

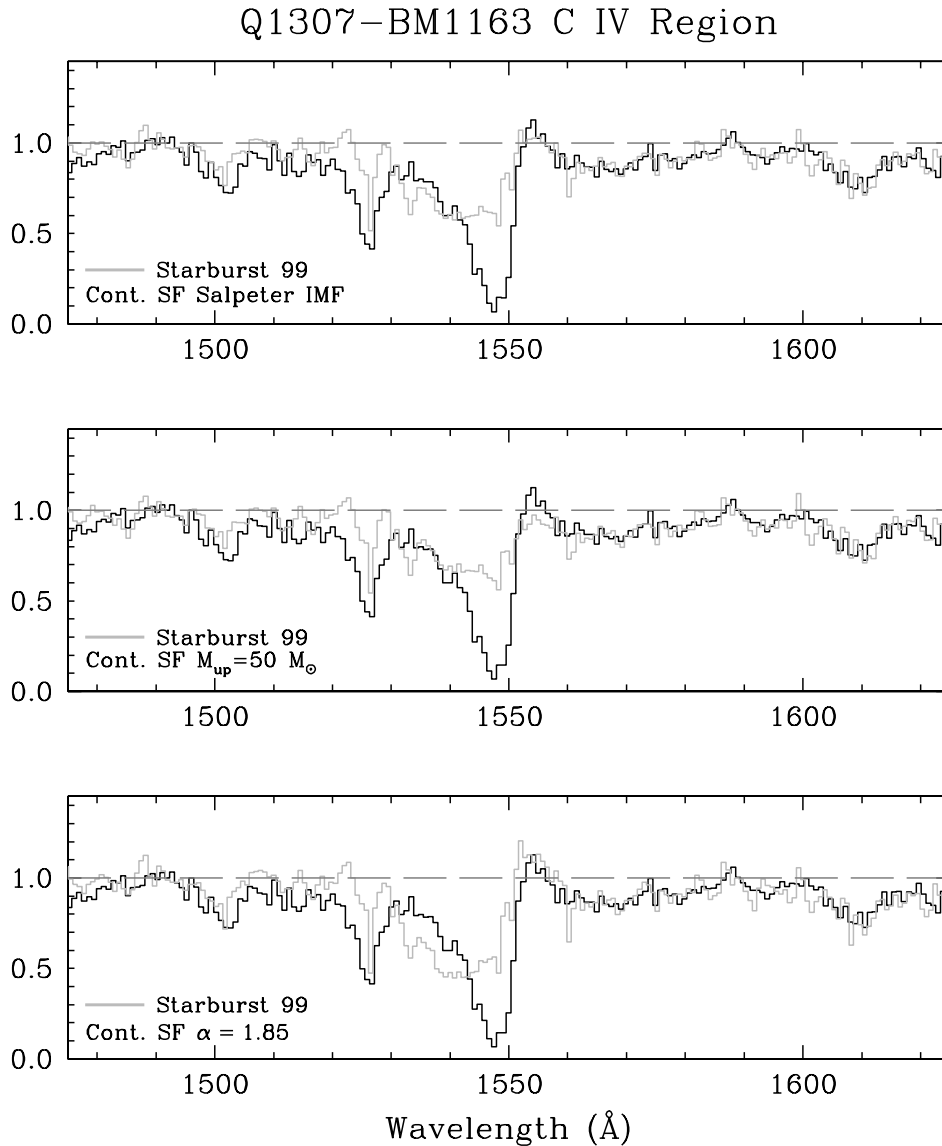


FIG. 6.—Sensitivity of the C IV P Cygni profile to the upper end of the IMF. *Black histogram*: Portion of the observed spectrum of Q1307-BM1163 (the y-axis is relative intensity). *Green (or gray) histogram*: Model spectra produced by Starburst99 with, respectively, a standard Salpeter IMF (*top*), an IMF lacking stars more massive than $50 M_{\odot}$ (*middle*), and an IMF flatter than Salpeter (*bottom*). These changes were deliberately chosen to be relatively small, to illustrate the fact that it is possible to discriminate between them on the basis of even a relatively short exposure spectrum of this galaxy. Overall, there is no evidence for a departure from the standard Salpeter IMF at the upper end of the mass distribution of stars in Q1307-BM1163. [See the electronic edition of the *Journal* for a color version of this figure.]

could imagine contrived scenarios in which all of these effects (IMF, metallicity, and dust obscuration) somehow balance each other, the most straightforward conclusion from the comparisons in Figure 6 is that the metallicity of the early-type stars in Q1307-BM1163 is close to solar and that the youngest stars do not suffer, overall, significantly higher extinction than the whole OB population. Evidently, at a redshift $z = 1.411$, which corresponds to a look-back time of ~ 10 Gyr, Q1307-BM1163 had already evolved to a stage where its young stellar population closely resembled the Population I stars of the Milky Way, at least in their spectral characteristics.

4.2. Metallicity

4.2.1. Stellar Abundances

While we have concluded that the metallicity of Q1307-BM1163 is likely to be close to solar, the wind lines are not

ideal for abundance measurements because they respond to several other parameters, as explained above. On the other hand, the far-UV spectrum of star-forming galaxies is so rich in stellar photospheric lines, as can be readily appreciated from Figure 5, that it is worthwhile considering whether any of these can be used as abundance indicators. Since all of these features are blends of different lines, this question is best addressed with spectral synthesis techniques. For example, Leitherer et al. (2001) have used Starburst99 to show that the blend of Si III $\lambda 1417$, C III $\lambda 1427$, and Fe V $\lambda 1430$, which they define as the “1425” index, becomes stable after ~ 50 Myr (that is, its strength no longer depends on age in a continuous star formation episode), and its equivalent width decreases by a factor of ~ 3 – 4 , from $W_{1425} \simeq 1.5$ to ~ 0.4 Å, as the metallicity of the stars drops from Milky Way to Magellanic Cloud values, that is from \sim solar to $\sim \frac{1}{4}$ solar. In Q1307-BM1163 we measure $W_{1425} \simeq 1.2$ Å (adopting the same

continuum normalization as Leitherer et al. [2001]), which suggests a near-solar metallicity.

Very recently, S. A. Rix et al. (2004, in preparation) have explored the possibility of extending this type of approach to other photospheric blends and to a wider range of metallicities. These authors have identified a blend of Fe III lines between 1935 and 2020 Å and defined a corresponding “1978” index that is potentially very useful for two reasons. First, it is considerably stronger than “1425” index of Leitherer et al. (2001) and can thus be followed to lower metallicities. Specifically, at metallicity $Z = Z_{\odot}$, $W_{1978} \simeq 5.9$ Å, or $\sim 4 \times W_{1425}$, and even at the lowest metallicity considered by Rix et al., $Z = 1/20Z_{\odot}$ and $W_{1978} \simeq 2$ Å, which is greater than W_{1425} at $Z = Z_{\odot}$. Second, this index is in a “clean” region of the spectrum, where there are no strong interstellar or stellar wind lines to complicate its measurement. In Q1307-BM1163 we measure $W_{1978} \simeq 6.2$ Å, which again implies that the metallicity of the young stars is close to solar.

The measurement of stellar abundances from UV spectral indices is a technique that is still very much under development. It would be premature, for example, to use the above values of W_{1425} and W_{1978} to draw conclusions concerning the relative abundances of different elements. Nevertheless, these initial indications are certainly promising and raise the possibility that, once the indices are calibrated with independent abundance measures, it may be possible to determine stellar abundances to within a factor of ~ 2 for large numbers of high-redshift galaxies from their rest-frame UV spectra, even if of only moderate S/Ns.

4.2.2. Interstellar Gas Abundances

The most obvious way to calibrate the UV spectral indices is with reference to nebular abundances determined from the familiar rest-frame optical emission lines from H II regions. While nebular diagnostics generally apply to different elements (mostly oxygen), to a first approximation they should give the same “metallicity” as the young stars that have recently formed out of the interstellar gas seen in emission. This is one of the scientific motivations to obtain near-IR spectra of a subsample of BX and BM galaxies (§ 3.2).

Figure 7 shows a portion of the *H*-band spectrum of Q1307-BM1163 encompassing the H α , [N II] $\lambda\lambda 6548, 6583$, and [S II] $\lambda\lambda 6716, 6731$ emission lines. After subtracting the faint con-

tinuum and fitting H α and [N II] $\lambda 6583$ with Gaussian profiles, we measure a flux ratio $[\text{N II}]/\text{H}\alpha = 0.22 \pm 0.06$ (1σ random error). Denicoló, Terlevich & Terlevich (2002) have shown that, in a statistical sense, this ratio scales with the oxygen abundance. Adopting the recent calibration by Pettini & Pagel (2004),

$$12 + \log(\text{O}/\text{H}) = 8.90 + 0.57 \times N2, \quad (3)$$

where $N2 \equiv \log([\text{N II}]\lambda 6583/\text{H}\alpha)$, we deduce $12 + \log(\text{O}/\text{H}) = 8.53 \pm 0.25$ (the error includes both the random error in our measurement of the $N2$ index and the ± 0.2 dex accuracy of the $N2$ calibrator [at the 68% confidence level]). This value of (O/H) is consistent, within the errors, with the most recent estimates of the abundance of oxygen in the Sun, $12 + \log(\text{O}/\text{H}) = 8.66 \pm 0.05$ (Allende-Prieto, Lambert, & Asplund 2001; Asplund et al. 2004), and in the Orion nebula, $12 + \log(\text{O}/\text{H}) = 8.64 \pm 0.06$ (Esteban et al. 1998, 2002). It would also be of interest to measure element abundances in the cool interstellar medium that produces the numerous absorption lines indicated in Figure 3. This, however, will require data of higher spectral resolution, giving access to weaker absorption lines, which are not saturated. In any case, such an analysis would only yield relative, rather than absolute, abundances because the Ly α absorption line falls at 2931 Å, below the atmospheric cutoff.

Summarizing the results of § 4.2, we have estimated the metallicity of Q1307-BM1163 from stellar wind lines, stellar photospheric absorption lines, and emission lines from ionized gas, and all these different indicators concur in pointing to an approximately solar abundance. Preliminary results from our on-going near-IR spectroscopic survey indicate that galaxies with $Z \simeq Z_{\odot}$ are not unusual at redshifts $z = 1.4$ – 2.5 , at least among the brighter BX and BM objects. In Q1307-BM1163 we seem to have an example of a galaxy that had already reached solar metallicity ~ 10 Gyr ago while continuing to support an extremely vigorous star formation, at a rate of more than $\sim 30 M_{\odot} \text{ yr}^{-1}$ (see § 4.3). This mode of star formation is very different from that undergone by the Milky Way disk at any time in its past (Freeman & Bland-Hawthorn 2002), and it is likely that the descendants of objects like Q1307-BM1163 are to be found among today’s elliptical galaxies and bulges of massive spirals.

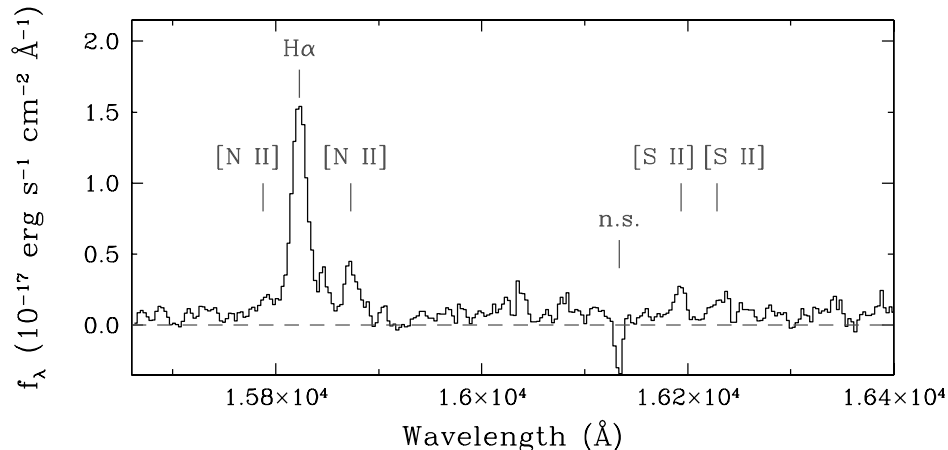


FIG. 7.—Portion of the NIRSPEC *H*-band spectrum of Q1307-BM1163, obtained with a 1800 s exposure through the 0″57 slit. Note that we detect the rest-frame optical continuum from the galaxy, as well as the emission lines indicated. The feature marked “n.s.” is a residual from the subtraction of a strong sky line. [See the electronic edition of the *Journal* for a color version of this figure.]

4.3. Star Formation Rate

From the spectrum reproduced in Figure 7, we measure an $H\alpha$ flux $F_{H\alpha} = (2.9 \pm 0.1) \times 10^{-16}$ ergs s $^{-1}$ cm $^{-2}$. In the adopted cosmology, this implies an $H\alpha$ luminosity $L_{H\alpha} = (3.5 \pm 0.1) \times 10^{42} h_{70}^{-2}$ ergs s $^{-1}$. With Kennicutt's (1998) calibration,

$$\text{SFR}(M_{\odot}\text{yr}^{-1}) = 7.9 \times 10^{-42} L_{H\alpha} \text{ (ergs}^{-1}\text{)}, \quad (4)$$

we then deduce a star formation rate $\text{SFR} = (28 \pm 1) M_{\odot} \text{yr}^{-1}$.

An independent estimate of the SFR is provided by the UV continuum at 1500 Å:

$$\text{SFR}(M_{\odot} \text{Myr}^{-1}) = 1.4 \times 10^{-28} L_{1500} \text{ (ergs}^{-1} \text{Hz}^{-1}) \quad (5)$$

(Kennicutt 1998); both equations (4) and (5) assume continuous star formation with a Salpeter slope for the IMF from 0.1 to 100 M_{\odot} . At $z = 1.411$, 1500 Å corresponds to an observed wavelength of 3617 Å, close to the center of the bandpass of our U_n filter (Steidel et al. 2003). Then, the measured $U_n = 22.22$ (AB) of Q1397-BM1163 corresponds to $\text{SFR} = 30 M_{\odot} \text{yr}^{-1}$. The good agreement between the values of SFR deduced from the $H\alpha$ and the far-UV continuum luminosities is not unusual for bright UV-selected galaxies (Erb et al. 2003). To a first approximation, it presumably indicates that the UV continuum does not suffer a large amount of extinction by dust (see the discussion of this point by Erb et al. 2003).

4.4. Kinematics of the Interstellar Medium

From the Gaussian fit of the $H\alpha$ line in Q1307-BM1163 we deduce a one-dimensional velocity dispersion of the ionized gas $\sigma = 126$ km s $^{-1}$ and a redshift $z_{H\alpha} = 1.4105$. The former is close to the mean $\langle\sigma\rangle = 110$ km s $^{-1}$ of the sample of 16 (mostly BX) galaxies at $\langle z_{H\alpha} \rangle = 2.28$ analyzed by Erb et al. (2003). It is, however, significantly higher than the mean $\langle\sigma\rangle = 78$ km s $^{-1}$ of the 16 Lyman break galaxies at $z \simeq 3$ studied by Pettini et al. (2001) and in fact exceeds the highest value found in that sample, $\sigma = 116 \pm 8$ km s $^{-1}$. Erb et al. (2003) commented on the apparent increase in velocity dispersion of star-forming galaxies between $z \sim 3$ and ~ 2 ; it will be interesting to explore such kinematic evolution in more detail and to lower redshifts once our near-IR survey of BX and BM galaxies is more advanced.

Another important aspect of the internal kinematics of LBGs is the large velocity differences that are nearly always measured between interstellar absorption lines, nebular emission lines, and $\text{Ly}\alpha$ emission. If we take the nebular lines to be at the systemic redshifts of the galaxies, the interstellar absorption lines and $\text{Ly}\alpha$ are respectively blue- and redshifted by several hundred km s $^{-1}$ (Pettini et al. 2001; Shapley et al. 2003). In this respect also Q1307-BM1163 is no exception—the numerous interstellar lines in the spectrum have centroids that are blueshifted by 300 km s $^{-1}$ with respect to the redshift defined by the $H\alpha$ emission line, and have velocity widths of ~ 650 km s $^{-1}$; both of these values are quite typical [cf. Pettini et al. 2001, 2002b; Shapley et al. 2003]. This kinematic pattern is most simply explained as being due to large-scale outflows from the galaxies, presumably powered by the energy deposited into the interstellar medium (ISM) by the star formation activity. The resulting “superwinds” are likely to have a far-reaching impact on the surrounding intergalactic medium and are probably at the root of the strong correlation

between LBGs and IGM metals found by Adelberger et al. (2003).

Indeed, a major motivation for pursuing galaxies in the redshift desert is to investigate how the galaxy-IGM connection evolves from $z \sim 3$ to lower redshifts. We can already address one aspect of this question by examining the velocity differences between interstellar absorption, nebular emission, and $\text{Ly}\alpha$ in 27 BX and BM galaxies, which we have observed at $H\alpha$ with NIRSPEC and which also have LRIS-B spectra of sufficiently high quality to measure absorption and (when present) $\text{Ly}\alpha$ redshifts with confidence.

The results of this exercise are shown in Figure 8. For these 27 galaxies, the mean velocity offset of the interstellar lines is $\langle\Delta v_{\text{IS,abs}}\rangle = -175 \pm 25$ km s $^{-1}$. For the 10 galaxies among them that exhibit detectable $\text{Ly}\alpha$ emission, $\langle\Delta v_{\text{Ly}\alpha}\rangle = +470 \pm 40$ km s $^{-1}$. These values, and their observed distributions, are very similar to those found at $z \sim 3$ by Pettini et al. (2001) and Shapley et al. (2003)—a comparison with the data presented in Pettini et al. (2001) is included in Figure 8. Thus, the superwinds generated in active sites of star formation appear to have similar kinematic characteristics from $z \sim 3$ down to at least $z \sim 1.5$, even though their parent galaxies may become more massive over this redshift interval, if the hints provided by the nebular line widths have been correctly interpreted (Erb et al. 2003). These tentative conclusions make it all the more interesting to investigate how the galaxy-IGM connection may evolve to lower redshifts.

5. NEAR-IR PHOTOMETRIC PROPERTIES

We end with a brief comment on the K_s -band magnitudes and $\mathcal{R}-K_s$ colors of UV-selected star-forming galaxies in the

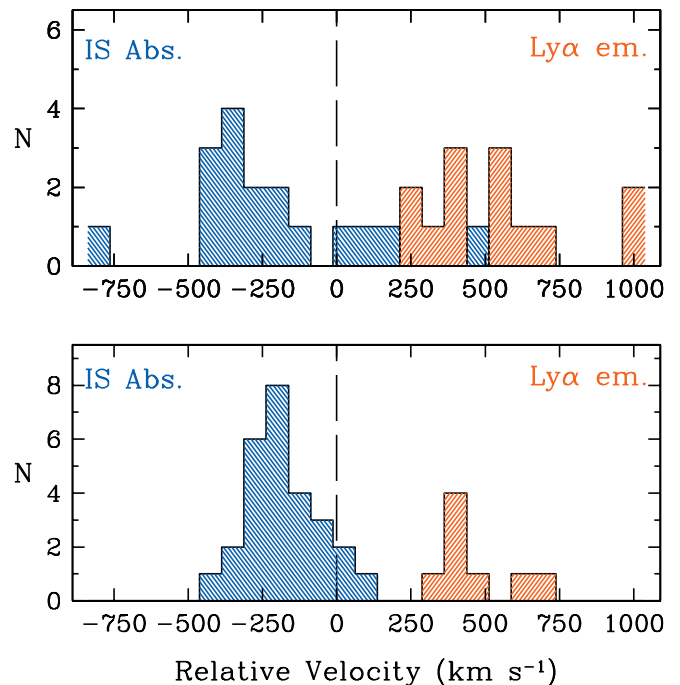


FIG. 8.—Velocity offsets of the interstellar absorption lines (blue-hatched histogram) and, when present, $\text{Ly}\alpha$ emission (red-hatched histogram) relative to the systemic redshifts defined by the nebular emission lines (vertical long-dashed line). The top panel shows the results for $z \sim 3$ LBGs presented by Pettini et al. (2001); the bottom panel shows results for a subsample of 27 BX and BM galaxies that have been observed with NIRSPEC at $H\alpha$ and also have high-quality LRIS-B spectra. The velocity offsets seen in BX and BM galaxies are similar, in both magnitude and distribution, to those typical of LBGs at $z \sim 3$.

redshift desert. In 2003 June we initiated a program of deep K_s -band photometry of these galaxies using the Wide Field Infrared Camera (WIRC) on the Palomar 5.1 m Hale Telescope. The camera employs a 2048×2048 Rockwell HgCdTe array and has a field of view of $8'.7 \times 8'.7$ with a spatial sampling of $0''.25$ per pixel. With ~ 12 hr integrations, the images reach 5σ photometric limits (in $2''$ diameter apertures) of $K_s \simeq 21.7$, sufficiently deep to detect $\sim 85\%$ of the galaxies with spectroscopic redshifts. In Figure 9 we show initial results from the first three WIRC pointings (in the Q1623, Q1700, and Q2343 fields, where only BX candidates have so far been observed spectroscopically); while preliminary, these data already allow a coarse comparison with other faint galaxy samples.

As can be seen from the left-hand panel of Figure 9, $\sim 10\%$ of UV-selected galaxies at $z \sim 1.9$ – 2.5 are brighter than $K = 20$; thus we expect a relatively small overlap between the BX population and the high-redshift tail of published K -selected samples (Cohen et al. 1999a, 1999b; Cimatti et al. 2002; Daddi et al. 2004). However, going only 1 mag deeper to $K \sim 21$ should pick up an appreciable fraction of the spectroscopic BX sample.

It is intriguing to find a clear difference in the $\mathcal{R}-K_s$ colors of BX galaxies at $z \sim 2.2$ and LBGs at $z \sim 3$ (Shapley et al. 2001), in the sense that the former are significantly redder than the latter, on average (see right-hand panel of Fig. 9). For a given star formation history and extinction, galaxies at $z \sim 2.2$ and $z \sim 3.0$ would have identical $\mathcal{R}-K_s$ color (the k -corrections are identical in the two bands for model spectral energy distributions [SEDs] that fit the observed colors), meaning that whatever the cause, there is a significant intrinsic color difference in the UV-selected galaxies in the two redshift intervals. Since the K_s and \mathcal{R} filters straddle the age-sensitive

Balmer break at these redshifts, one interpretation of the redder colors would be that, on average, star formation has been proceeding for longer periods of time in the $z \sim 2$ galaxies as compared with similarly selected galaxies at $z \sim 3$. If the $z \sim 3$ LBGs continued to form stars during the ~ 800 Myr interval between the two epochs, such reddening of the $\mathcal{R}-K_s$ color would be expected. However, we caution that there may be other reasons for the offset evident in Figure 9, possibly related to higher dust extinction and/or larger contamination of the broadband colors with line emission (most of the $z \sim 2$ galaxies would have $H\alpha$ in the K band, whereas most of the $z \sim 3$ galaxies have $[O\text{ III}]$ and $H\beta$ in the K band—although these tend to have roughly the same equivalent widths for the subsamples that have been spectroscopically observed in the near-IR), as well as to the way the different samples were selected.⁹ At present, both the observed increase in one-dimensional line widths and the reddening of the optical/IR colors are qualitatively consistent with a significant overall increase in stellar mass among at least a substantial fraction of the UV-selected populations between $z \sim 2$ and $z \sim 3$.¹⁰ Ongoing near-IR spectroscopy targeting BX (and eventually BM) galaxies with red $\mathcal{R}-K_s$ colors, and full modeling of the observed optical/IR SEDs using population synthesis, will allow us to reach firmer conclusions on the cause of the observed evolution.

⁹ The $z \sim 3$ sample of Shapley et al. (2001) oversampled the optically brightest galaxies and galaxies having the reddest UV colors relative to a random \mathcal{R} -selected spectroscopic sample, so that the two samples may not be exactly analogous. Possible differential selection effects will be quantified in future work.

¹⁰ Very recently, an increase in the stellar mass of UV-selected galaxies has been inferred between $z \sim 4$ and $z \sim 3$ in the GOODS-S field, using similar arguments (see Papovich et al. 2003).

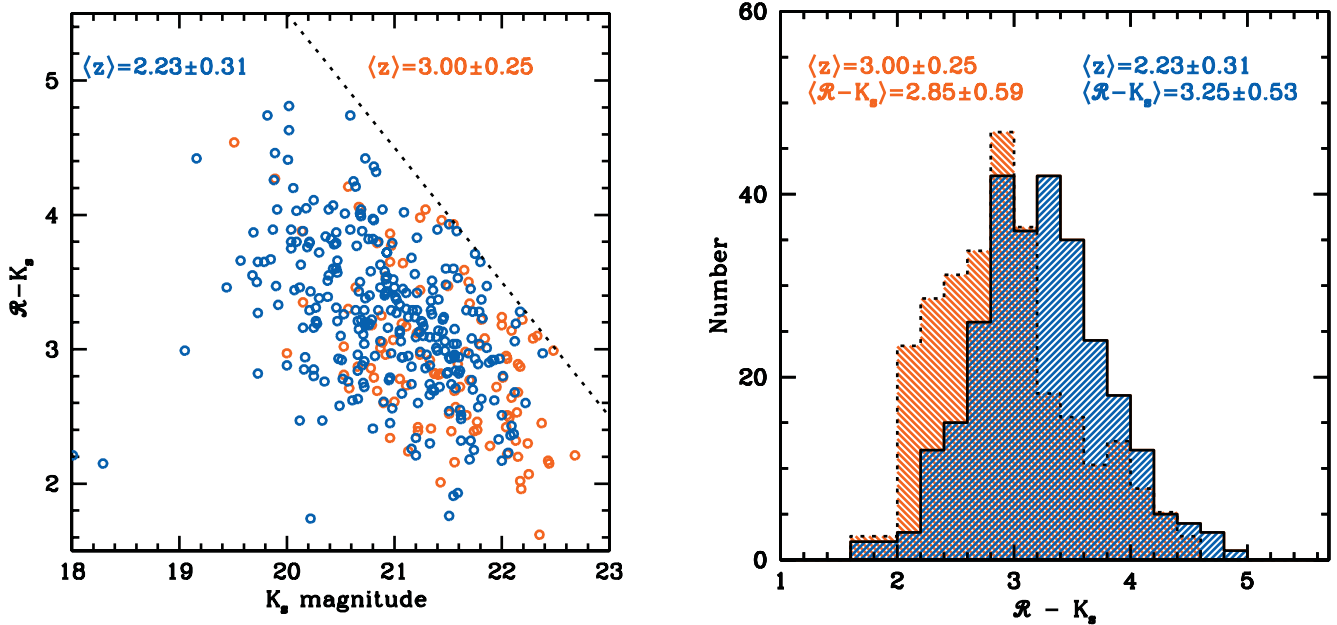


FIG. 9.—Color-magnitude diagram for the 283 BX galaxies with K_s -band measurements to date from the Q1623, Q1700, and Q2343 fields (blue points); the 5σ detection limit is $K_s \simeq 22$. The red points are the sample of 107 galaxies at $z \sim 3$ from Shapley et al. (2001). The dotted line indicates galaxies with $\mathcal{R} = 25.5$, the limit for both spectroscopic samples. Approximately 15% of the BX galaxies with spectroscopic redshifts were not significantly detected in the images; most of these have optical magnitudes $\mathcal{R} > 25.0$. Right: The distributions of $\mathcal{R}-K_s$ colors for the same BX sample at $\langle z \rangle = 2.2$ (blue) as compared with that of the $z \sim 3$ LBGs studied by Shapley et al. (2001). The BX galaxies are significantly redder in their optical/IR colors despite having been selected to span the same range of UV color as the LBGs (see text for discussion).

6. SUMMARY

The main thrust of this paper has been to show that the redshift interval $1.4 \lesssim z \lesssim 2.5$, which has so far been considered hostile to observations, is in fact ripe for scientific exploration. Galaxies in what used to be called the redshift desert can in reality be easily identified from their broadband U_nGR colors and can be studied very effectively with a combination of ground-based optical and near-IR spectroscopy, provided the optical instrumentation has high efficiency in the near-UV. It is then possible to cover most of the rest-frame UV and optical spectra of these galaxies, from $\text{Ly}\alpha$ to $\text{H}\alpha$, and gain access to a wider range of important spectral diagnostics than is usually available for the so-far better-studied Lyman break galaxies at $z \sim 3$ (or even galaxies at $z \lesssim 1$). In addition, because the luminosity distances are lower, the galaxy luminosity function can be probed ~ 0.6 – 1.1 mag deeper than is the case at $z \sim 3$, and there are more galaxies brighter than $\mathcal{R} \sim 23.5$ whose spectra can be recorded at high spectral resolution and S/N for further, detailed, investigation.

We have presented the first results from our survey for galaxies at $1.4 \lesssim z \lesssim 2.5$, in seven fields totaling ~ 0.5 square degrees; five of the fields were chosen because they include one or more bright background QSOs. Over this area, we have identified thousands of candidates that satisfy newly defined BX and BM color selection criteria and have spectroscopically confirmed 863 of them to be at $z > 1$; 692 are in the targeted $z = 1.4$ – 2.5 range. The rest-frame UV spectra of BX and BM galaxies are very similar to those of LBGs, with a rich complement of stellar and interstellar lines. There seem to be proportionally fewer galaxies with detectable $\text{Ly}\alpha$ emission, but we have not established yet whether this is related to the color selection cuts we have adopted or is a real effect. The fraction of faint AGNs within this sample is 3.2%, essentially the same as in the LBG sample at $z \sim 3$.

We have illustrated the range of physical properties that can be investigated with the combination of rest-frame UV and optical spectroscopy using, as an example, one of the brightest objects in the sample, Q1307-BM1163. This $z = 1.411$ galaxy is forming stars at a rate $\sim 30 M_\odot \text{ yr}^{-1}$ and with a Salpeter slope at the upper end of the IMF. Various abundance indicators, based on stellar wind and photospheric lines, show that the metallicity of the youngest stars is close to solar; this is in good agreement, as expected, with the solar abundance of oxygen in its H II regions implied by the high $[\text{N II}]/\text{H}\alpha$ ratio. We draw attention to the potential for abundance determinations of newly developed UV spectral indices that measure the strengths of blends of photospheric lines; once properly calibrated, these indices may allow the metallicities of large numbers of galaxies to be approximately assessed from spectra of only moderate S/Ns. Viewed at a look-back time of ~ 10 Gyr, Q1307-BM1163 is clearly turning gas into stars,

and enriching its ISM with their products, at a much faster rate than that experienced by the Milky Way disk at any time in its past. We speculate that by $z = 0$ it will have become an elliptical galaxy or perhaps the bulge of a massive spiral.

The galactic-scale winds that are commonly seen in LBGs at $z \sim 3$ are still present in star-forming galaxies at later epochs, generating velocity differences of several hundred km s^{-1} between absorption lines produced by the outflowing ISM and the emission lines from the star-forming regions. The typical velocity difference of ~ 200 – 300 km s^{-1} between emission and absorption does not seem to change between $z \sim 3$ and ~ 2 , even though there is evidence that the velocity dispersion of the ionized gas increases by $\sim 40\%$ between these two epochs, possibly reflecting a growth in the typical galaxy mass.

Initial results from deep K_s -band imaging of spectroscopically confirmed BX galaxies show that a larger proportion of the $z \sim 2$ galaxies have relatively red $\mathcal{R}-K_s$ colors, as would be expected if the $z \sim 2$ galaxies have been forming stars at close to their observed rate for a longer period of time than their $z \sim 3$ counterparts (and hence would have correspondingly larger stellar masses). Approximately 10% of the BX spectroscopic sample are very bright in the near-IR ($K_s < 20$) and thus would be expected to comprise part of the high-redshift tail of current K_s -selected spectroscopic surveys.

There are several other issues of interest which can be addressed with a large sample of galaxies at these intermediate redshifts, such as their luminosity function and integrated SFR density, the impact of the superwinds on the galaxies' environment and the intergalactic medium at large, and the relationship between galaxy morphology and kinematics. We intend to consider these topics in the future, as our survey progresses beyond the initial stages which have been the subject of this paper.

We would like to thank the rest of the team responsible for the design, construction, and commissioning of the LRIS-B instrument and the upgraded CCD camera, particularly Jim McCarthy, John Cromer, Ernest Croner, Bill Douglas, Rich Goeden, Hal Petrie, Bob Weber, John White, Greg Wirth, Roger Smith, Keith Taylor, and Paola Amico. We have benefited significantly from software written by Drew Phillips, Judy Cohen, Patrick Shopbell, and Todd Small. C. C. S., A. E. S., M. P. H., and D. K. E. have been supported by grants AST 00-70773 and AST 03-07263 from the US National Science Foundation and by the David and Lucile Packard Foundation. N. A. R. has been supported by an NSF Graduate Fellowship. K. L. A. acknowledges support from the Harvard Society of Fellows.

APPENDIX

THE LRIS-B SPECTROGRAPH

The blue channel of the LRIS spectrograph (LRIS-B) was anticipated as an upgrade to the LRIS instrument (Oke et al. 1995) from the initial planning stages of the first-light Keck Observatory instrumentation suite and was begun as a project in 1995. LRIS-B was installed on the LRIS instrument during the summer of 2000 and saw first light in 2000 September. After the installation of LRIS-B, the spectrograph now provides two independent, optimized, imaging spectrograph channels that simultaneously observe the same 5.5×8.0 field of view in two different wavelength ranges through the use of a dichroic beam splitter. Figure 10 illustrates a section view of the LRIS instrument that is color coded to indicate the light paths through the red and blue channels. The red side of the instrument maintains identical performance to the original LRIS spectrograph and (as always) employs reflection gratings to

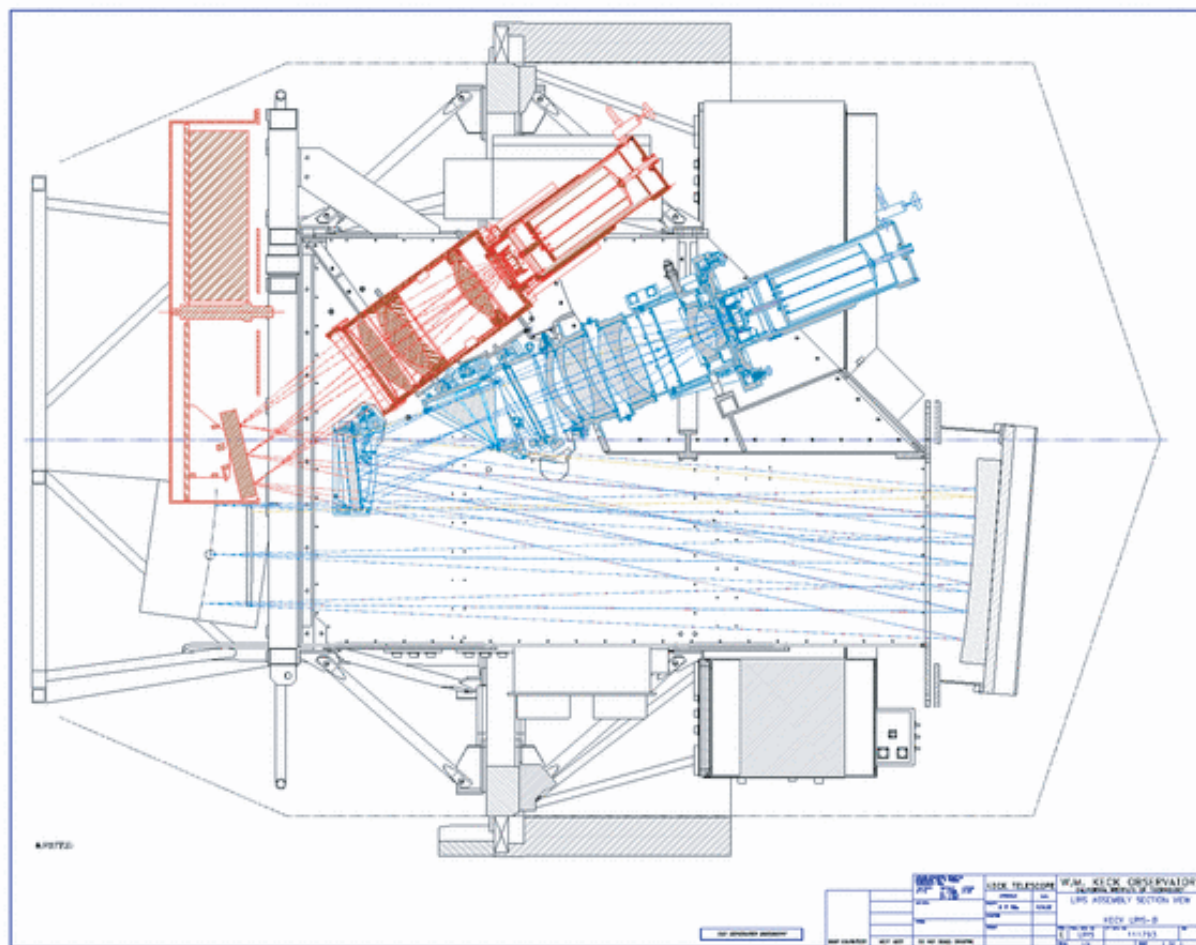


FIG. 10.—Section view of the LRIS instrument after addition of the LRIS-B components. The light path is illustrated with incoming rays from the left. The light is collimated by the mirror (*lower right*) and then split into blue and red beams at the dichroic. The LRIS-B optical elements are shaded in blue; from left to right, they are the dichroic beam splitter, the grism, the filter, the camera, and the CCD Dewar.

disperse red light that is passed by the beam splitter. The blue channel uses UV/blue-optimized gratings as dispersers. An early technical description of LRIS-B is given by McCarthy et al. (1998).¹¹

In brief, the LRIS-B upgrade involved the installation of a UV/blue-optimized spectrograph camera, replacement of the camera bulkheads for both the existing spectrograph (LRIS-R) and LRIS-B, and installation of independent carousels and transport mechanisms to store and deploy blue-side dichroics, gratings, and filters into the beam. In addition, all of the LRIS electronics were enclosed inside a glycol-cooled compartment for better control of the thermal environment at the Cassegrain focus of Keck I, and the overall instrument software was reconfigured to accommodate the operations of both blue and red side mechanisms and the simultaneous operation of two independent detector trains. Each of the optical elements (moving from left to right in Fig. 10, the dichroic, grism, and filter) can be changed in less than 60 s, and in particular a grism or filter can be deployed or retracted from the beam in ~ 30 s, enabling rapid switching from imaging to spectroscopic mode, which greatly improved the efficiency of slit-mask alignment with LRIS.

The light path for LRIS-B goes as follows: a slit mask (or long slit) is deployed in the telescope focal plane, at a position centered $6'$ off axis. After passing into the instrument and through a field lens, all light is collimated by the reflecting collimator. The dichroic beam splitter (placed just in front of the red channel reflection grating) receives the collimated beam and reflects wavelengths shortward of the dichroic cutoff into the blue channel, passing light longward of the cutoff directly onto the red side grating (or flat mirror in the case of imaging). The blue light is then dispersed by a selectable grism, after which it passes through a selectable filter (tilted by about 6° with respect to the collimated beam to avoid internal reflections) and into the LRIS-B camera.

Because both the red and blue channels of the spectrograph share the same paraboloidal reflecting collimator (see Fig. 10), the original protected silver coating on the 0.53 m diameter collimator mirror was replaced by the hybrid coatings developed by the

¹¹ More recent information is available at <http://www.ckck.hawaii.edu/realpublic/inst/lris/lrisb.html>.

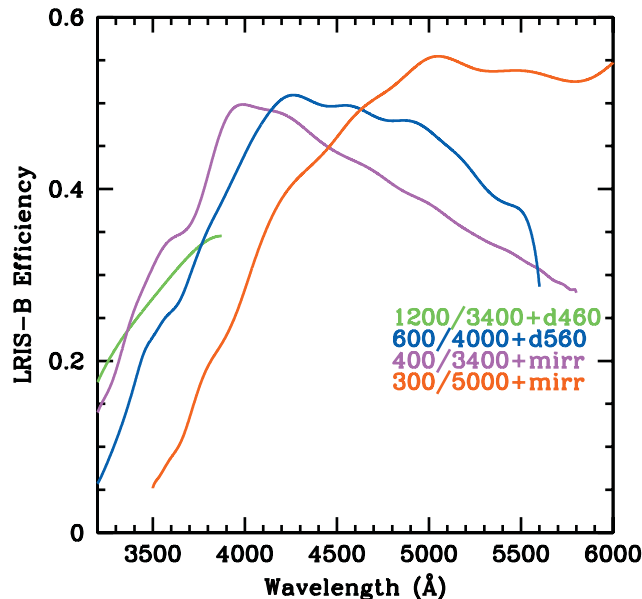


FIG. 11.—Total spectroscopic system throughput of the LRIS-B instrument (excluding slit losses and the telescope) as a function of wavelength for several configurations. The 400/3400 grism was used in all the observations presented in this paper because it offers the highest throughput between 3100 and 4000 Å—the most important wavelength range for spectroscopic confirmation in the $z = 1.4$ – 2.5 redshift desert. Note that slightly higher UV throughput using this grism is achieved when a dichroic beam splitter rather than the flat mirror is used.

group at Lawrence Livermore National Laboratory (Thomas & Wolfe 2000), which provide reflectivity of $\geq 95\%$ at all wavelengths from 3100 Å to 1 μm .

The instrument currently allows the user to select one of five possible dichroic beam-splitting optics: a flat aluminized mirror, which sends all of the light into the blue camera, and dichroics that divide the beam at 4600 (d460), 5000 (d500), 5600 (d560), or 6800 (d680) Å. The reflectivity of the dichroics for wavelengths shortward of the design cutoff are generally better than 96% (i.e., superior to the reflectivity of aluminum), with transmittance better than 90% longward of the cutoff.

There are currently four grisms available with LRIS-B: a 1200 lines mm^{-1} grism blazed at 3400 Å, which can cover the wavelength range 3000–4300 Å with a resolution of $R \simeq 3600$ (with a 0".7 slit); a 600 lines mm^{-1} grism blazed at 4000 Å ($R \simeq 1600$); a 400 lines mm^{-1} grism blazed at 3400 Å optimized for the highest throughput at wavelengths $\lesssim 4000$ Å ($R \sim 1000$); and a 300 lines mm^{-1} grism blazed at 5000 Å ($R \sim 900$). With suitable choice of dichroic beam splitter and LRIS-red side grating, it is possible to cover all wavelengths from the atmospheric cutoff near 3000 Å to 1 μm with high efficiency.

The LRIS-B filters, which include publicly available u' , B , G , and V , are generally only used for imaging programs or for slit-mask alignment images. User-supplied filters (which must be larger than ~ 7.5 by 8 inches in order not to vignette the parallel beam) may be installed in the filter carousel. With suitable choice of dichroic and red-side filter, it is possible to image in two passbands simultaneously.

The LRIS-B camera is all refractive, constructed from 12 CaF₂ and fused silica elements with optimized coatings that achieve $< 0.5\%$ reflection losses at each of the eight air/glass surfaces. The LRIS-B camera has an aspheric first element that is placed slightly off-axis to correct much of the coma introduced by the parabolic collimator. Tests carried out during the commissioning period confirmed that the camera achieves images with RMS image diameters better than 22 μm (0".20) over the full 8'.0 by 5'.5 field of view and from 3100 to 6000 Å without the need to refocus. The camera performs well to ~ 6800 Å, beyond which the image quality and throughput of the system deteriorate somewhat; in general, this is not a problem since red light is directed into the red channel of the spectrograph.

Initially, the LRIS-B detector was an engineering-grade SITe 2048×2048 pixel CCD. This was replaced in 2002 June by a science grade mosaic of two EEV (Marconi) 2048×4096 devices selected to have particularly high near-UV and blue quantum efficiency. Four amplifiers (two on each CCD) are used to read out mosaic in $\simeq 40$ s, with an average readout noise of $\simeq 4$ electrons pixel^{-1} . With the new detector, LRIS-B records images and spectra over the full field of view of the instrument with the exception of a 13" interchip gap that runs parallel to the dispersion direction, and which coincides with the bar that is used to support the slit masks in the slit-mask frames. The plate scale at the detector is 0".135 per 15 μm pixel.

To our knowledge, LRIS-B is the only UV/blue-optimized faint object spectrograph on an 8–10 m class telescope. It is particularly worthwhile implementing this type of instrument at a site such as Mauna Kea, which, because of its high altitude, has atmospheric opacity in the 3100–4000 Å range that is 20%–30% lower at zenith than that at many other observatory sites. Because every optical element has been optimized for the blue and UV, the instrument achieves remarkably high efficiency. The total *spectroscopic* system throughput of Keck I+LRIS-B measured during the commissioning run with the science-grade CCD mosaic averages $\sim 40\%$ in the 3800–6000 Å range and 25% at 3500 Å; the corresponding values for the instrument alone (that is, neglecting telescope losses) are higher by about 30%. The measured instrumental throughput for a number of grism and dichroic combinations is shown in Figure 11. LRIS-B represents an increase in spectroscopic efficiency over the original LRIS instrument (now LRIS-R) of a factor of ~ 2.5 at 4000 Å, and even at 5000–6000 Å the throughput gain is $\sim 40\%$ relative to LRIS-R; the gain

at wavelengths $\lambda < 4000 \text{ \AA}$ is more than a factor of 10. Coupled with the very dark night sky background in the UV-visual range, LRIS-B allows for unprecedented spectral throughput and is optimized for spectroscopy of very faint objects at intermediate to low resolution.

At present, there is no atmospheric dispersion corrector (ADC) for the Keck I Cassegrain, so that the full broadband capabilities of the instrument using multislit masks are not yet realized. However, at the time of this writing an ADC is being designed and constructed at the University of California Observatories for deployment in late 2004.

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