THE ABUNDANCE EVOLUTION OF OXYGEN, SODIUM, AND MAGNESIUM IN EXTREMELY METAL POOR INTERMEDIATE-MASS STARS: IMPLICATIONS FOR THE SELF-POLLUTION SCENARIO IN GLOBULAR CLUSTERS

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ABSTRACT

We present full stellar evolution and parametric models of the surface abundance evolution of ¹⁶O, ²²Ne, ²³Na, and the magnesium isotopes in an extremely metal poor intermediate-mass star ($\mathcal{M}_{ZAMS} = 5 \mathcal{M}_{\odot}$, where ZAMS stands for the zero-age main sequence, and Z = 0.0001). ¹⁶O and ²²Ne are injected into the envelope by the third dredge-up following thermal pulses on the asymptotic giant branch. These species and the initially present ²⁴Mg are depleted by hot bottom burning (HBB) during the interpulse phase. As a result, ²³Na, ²⁵Mg, and ²⁶Mg are enhanced. If the HBB temperatures are sufficiently high for this process to deplete oxygen efficiently, ²³Na is first produced and then depleted during the interpulse phase. Although the simultaneous depletion of ¹⁶O and enhancement of ²³Na is possible, the required fine-tuning of the dredge-up and HBB casts some doubt on the robustness of this process as the origin of the O-Na anticorrelation observed in globular cluster stars. However, a very robust prediction of our models are low ²⁴Mg/²⁵Mg and ²⁴Mg/²⁶Mg ratios whenever significant ¹⁶O depletion can be achieved. This seems to be in stark contrast to recent observations of the magnesium isotopic ratios in the globular cluster NGC 6752.

Subject headings: globular clusters: general — stars: abundances — stars: AGB and post-AGB

1. INTRODUCTION

In globular clusters (GCs) spectroscopic observations have revealed large (~1 dex) star-to-star abundance variations of C, N, O, Na, Mg, and Al (e.g., Ramírez & Cohen 2002). The anticorrelations of C-N, O-Na, and Mg-Al point to the simultaneous operation of the CNO, NeNa, and MgAl cycles. In the so-called *evolutionary scenario*, it is assumed that these abundance variations are produced in the vicinity of the hydrogenburning shell in red giant branch (RGB) stars and that some extramixing transports them to the convective envelope (Denisenkov & Denisenkova 1990; Langer, Hoffman, & Sneden 1993; Denissenkov & Weiss 2001).

The evolutionary scenario has been challenged by the recent discovery of C-N, O-Na, and even Mg-Al anticorrelations in the main sequence (MS), MS turnoff, and subgiant stars in the GCs 47 Tucanae and NGC 6752 (Harbeck, Smith, & Grebel 2003; Gratton et al. 2001; Grundahl et al. 2002). A likely origin of these abundance anomalies is pollution by material processed via H burning in more evolved stars. In this *primordial scenario*, intermediate-mass stars (IMSs; $\mathcal{M} \approx 3-8 \mathcal{M}_{\odot}$) in their asymptotic giant branch (AGB) evolution phase have been proposed as contaminators (Ventura et al. 2001; Ventura, D'Antona, & Mazzitelli 2002).

Thermally pulsing (TP)–AGB stars process material in an Heburning shell and in an H-burning shell. The H shell dominates the energy production most of the time. However, recurrent thermonuclear He-shell flashes drive a temporary (~10 yr) pulsedriven convective zone (PDCZ) that encompasses the entire region between the He-burning shell and the H-burning shell (the intershell). Immediately after the end of the thermal pulsing, the base of the convective envelope begins to move inward in mass, and eventually material from below the H shell is dredged up to the envelope (the third dredge-up [TDU]). During the interpulse period in massive AGB stars, hot bottom burning (HBB) further modifies the envelope chemical composition (Boothroyd, Sackmann, & Ahern 1993). In the evolutionary scenario, Al can be synthesized on the RGB if some low-mass stars in GCs were initially enriched with ²⁵Mg, possibly from massive AGB stars (Denissenkov et al. 1998; Denissenkov & Weiss 2001). In this *combined scenario*, star-to-star abundance variations of C, N, O, Na, Mg, and Al in GCs may have multiple origins: (1) on the MS, they may be due to pollution in the past either by the massive AGB stars or by somewhat more massive ($0.9 \leq \mathcal{M}/\mathcal{M}_{\odot} \leq 2$) RGB stars than the present-day MS turnoff stars that had undergone deep extramixing, and (2) on the RGB, they may be partly tracers of the same pollution that occurred on the MS and partly (in the most rapidly rotating stars) they may be due to deep extramixing (Denissenkov & Weiss 2001; Denissenkov & VandenBerg 2003).

In the primordial scenario, massive AGB stars are thought to be responsible for very low O abundances ($[O/Fe] \leq -0.5$, down from the assumed initial value of +0.4) in MS stars in GCs as a result of *pollution with* O-*depleted material*. Indeed, Ventura et al. (2001, 2002) have reported that in metal-poor massive AGB stars, HBB may be capable of producing the required O depletion. However, nucleosynthesis beyond the CNO cycle has not been investigated yet. In this Letter, we will take into account all of the cycles of nuclear reactions participating in the H burning as well as the effect of the TDU. In § 2, we present the abundances from full stellar evolution models. In § 3, an equivalent parametric AGB model is described. In § 4, we discuss the results of calculations with the parametric models and make our final conclusions.

2. FULL STELLAR EVOLUTION MODELS

Our one-dimensional stellar evolution code (Herwig 2000) includes updated opacities (Iglesias & Rogers 1996; Alexander & Ferguson 1994) and a nuclear network with all relevant reactions, with the rates for the NeNa cycle reactions taken from El Eid & Champagne (1995). A simultaneous, fully implicit, iterative solution of the nuclear network and time-dependent mixing equations for each isotope (Herwig 2001)

and hydrodynamic overshooting with a geometric, exponential decay parameter *f* can be included. We have improved the adaptive time-step and grid-allocation algorithm for the extremely metal poor models in order to ensure that the TDU properties are not affected by numerical resolution issues. The mixing-length parameter is $\alpha_{\text{MLT}} = 1.7$ from calibrating a solar model, and $X(^4\text{He})_{\text{init}} = 0.23$.

We choose a model of initially 5 \mathcal{M}_{\odot} with a metallicity of Z = 0.0001 as a representative example for IMS that might have polluted GC stars of the lowest metallicity ([Fe/H] $\approx \log (Z/Z_{\odot}) = -2.3$). We evolve the initial pre-MS model through all evolutionary phases, and details will be presented in a forthcoming paper. Up to the first thermal pulse on the AGB, exponential overshooting with f = 0.016 has been considered at all convective boundaries. The first envelope abundance alteration occurs as a result of the second dredge-up. Material processed mainly by H-shell burning is brought to the surface. ²³Na is enhanced by 0.75 dex from the conversion of the initial abundance of ²²Ne and some ²⁰Ne. ¹⁶O is depleted by 0.1 dex because of the action of the ON cycle. Magnesium isotopes are changed by less than 0.05, 0.1, and 0.01 dex for mass numbers 24, 25 and 26 in the second dredge-up.

During the TP-AGB phase, the interplay of the TDU and HBB alters the envelope abundances. The TDU brings ²²Ne and a rather uncertain amount of ¹⁶O to the surface. ²⁵Mg and ²⁶Mg are produced by two processes: (1) by HBB in the envelope (at the expense of ²⁴Mg) and (2) by α -captures on ²²Ne in the PDCZ. The second process is important when the temperature in the PDCZ exceeds ~3.5 × 10⁸ K. The HBB also destroys ¹⁶O (and produces ¹⁷O), while ²³Na is produced by proton captures on the dredged-up ²²Ne. At higher temperatures, ²³Na can be destroyed again. The overall budget of the O, Na, and Mg isotopes depends on the interplay of dredge-up and HBB. In addition, mass loss plays an important role. It limits the TP-AGB evolution time with a high HBB temperature and an efficient third dredge-up.

All of these effects can be observed in the surface abundance evolution of two TP-AGB model sequences that we evolved from the same early-AGB model (Fig. 1). In the first sequence (Fig. 1*a*), we have isolated the effect of HBB by assuming no overshooting and a rather low time resolution (typically less than 1000 models per TP cycle). As a result, this sequence shows no TDU (apart from one *outlier* seen in the run of ¹⁶O at t = 60,000 yr). We have also assumed mass loss according to Blöcker (1995) with $\eta_{\rm B} = 0.1$, and the model experiences 43 thermal pulses until the envelope mass is lost. This sequence shows a large ¹⁶O depletion, but due to the lack of ²²Ne dredge-up, ²³Na is depleted as well.

In Figure 1b, a model is shown in which we have considered only negligible overshooting at the bottom of the He-flash convection zone (f = 0.002; the intershell abundances are not affected significantly by this very small PDCZ overshoot). At the bottom of the envelope convection, we have assumed f = 0.016, as calibrated at the MS core convection boundary. Here we have used the high spatial and time resolution required to model the TDU. Mass loss has been turned off for this case in order to explore the largest possible nuclear enrichment by HBB and dredge-up. After a few thermal pulses, the TDU is very efficient, and it reaches into ¹⁶O-rich layers below the zones previously covered by the PDCZ. Our models with this deep dredge-up show systematically higher HBB temperatures than the model without dredge-up. The unusually deep dredgeup is likely related to the effect of sustained, fierce H burning in the overshoot layer. We will discuss the details of this effect,



FIG. 1.—Envelope abundance evolution for two full TP-AGB stellar model sequences with $\mathcal{M}_{ZAMS} = 5 \mathcal{M}_{\odot}$, where ZAMS stands for the zero-age main sequence, and Z = 0.0001 (panels *a* and *b*, respectively). The sequences are distinguished by differing assumptions concerning the mixing and the numerical time resolution, as discussed in the text. Panel *c* shows the envelope abundance evolution for our parametric AGB model with $T_{\rm HBB} = 10^8$ K and $\lambda = 1$. In all panels, t = 0 corresponds to the first thermal pulse.

which is unique to very metal poor IMSs, in a forthcoming paper. For the present study, it is sufficient to note that the TDU in these stars could be much more efficient than previous models without overshooting indicated (e.g., Ventura et al. 2002) and that oxygen could be enhanced by this process. This undermines the ability of HBB to deplete oxygen in the envelope. However, with efficient TDU, ²²Ne is dredged up, and ²³Na is, in fact, further increased. The behavior of the magnesium isotopes is qualitatively the same as in the model without dredge-up, indicating that these species are mainly affected by the HBB.

According to our high-resolution, full stellar evolution models with efficient TDU, massive AGB stars *cannot* show si-



FIG. 2.—Final envelope abundances with respect to the initial ones after eight thermal pulses calculated with the parametric AGB model: (λ , T_{HBB}) = (1, 10⁸ K) (*filled squares*), (0.3, 9 × 10⁷ K) (*open circles*), (0.3, 10⁸ K) (*asterisks*), and (0.3, 1.1 × 10⁸ K) (*filled circles*).

multaneously ¹⁶O depletion and Na enhancement. Using parametric models, we will now explore intermediate cases with ²²Ne dredge-up but limited ¹⁶O dredge-up, and we will consider in more detail the evolution of magnesium isotopes.

3. PARAMETRIC MODELS

We have developed a parametric nucleosynthesis and mixing code for massive AGB stars. All processes that are relevant for the abundance evolution of O, Na, and the Mg isotopes are considered. In particular, we include the effects of HBB and dredge-up, as well as mixing and burning in the PDCZ. Most reaction rates are taken from the NACRE (Nuclear Astrophysics Compilation of REaction rates; Angulo et al. 1999) database (see Denissenkov & Tout 2003 for details). For the initial conditions, we assume scaled solar abundances, except for an enhancement of the α -elements (¹⁶O, ²⁰Ne, ²⁴Mg, etc.) by +0.4 dex, and a depletion of Na and Al by the same factor, in accordance with the chemical composition of the halo dwarfs. As in the full stellar models, the metallicity is Z =0.0001. In the following description, we use the subscripts "PDCZ," "HBS," and "HBB" to indicate the temperatures and densities at the base of the PDCZ, in the H-burning shell, and at the bottom of the convective envelope, respectively.

A TP sequence starts with a calculation of the mixing and nucleosynthesis in the PDCZ. The pre-TP composition of the intershell zone is a mixture consisting of a fraction γ of material from the preceding PDCZ and a fraction $(1 - \gamma)$ containing H-shell ashes. For the first thermal pulse, we take $\gamma = 0$. The mass $\Delta \mathcal{M}_{PDCZ}$ of the PDCZ is divided into 25 zones with the temperature and density decreasing linearly from T_{PDCZ} and ρ_{PDCZ} at its bottom to T_{HBS} and ρ_{HBS} at its top. For the mixing in the PDCZ, which is treated as a diffusion process, we assume a constant coefficient $D_{mix} = 10^{15}$ cm² s⁻¹. For the temperature T_{PDCZ} , we choose the maximum value observed in the full stellar models. For that reason, we are really modeling only the hightemperature phase of the PDCZ. The He burning is stopped every time when the mass fraction abundance of ¹²C in the PDCZ has reached the value 0.23, as proposed by Renzini & Voli (1981). After that, a fraction λ of the total mass $\Delta \mathcal{M}_{PDCZ}$ of material with the final abundances from the PDCZ is added to the envelope to simulate the effect of the TDU. Then, we follow the changes of the envelope composition due to the HBB during the interpulse period Δt_{ip} . For this, we process the envelope abundance distribution after the TDU in one zone at constant $T_{\rm HBB}$ and $\rho_{\rm HBB}\delta$ (a dimensionless factor δ accounts for the fact that after averaging over the mass of the convective envelope, coefficients in the nuclear kinetics network can be written in a form $\langle \sigma v \rangle (T_{\text{HBB}}) N_{\text{A}} \rho_{\text{HBB}} \delta$, where $\langle \sigma v \rangle (T) N_{\rm A}$ is a reaction rate at a temperature T and $\delta = (1 - \delta)^2 (T) N_{\rm A}$ $a)^{-1} \int_a^1 (\rho/\rho_{\text{HBB}}) [\langle \sigma v \rangle (T) / \langle \sigma v \rangle (T_{\text{HBB}})] dx$, with $x = M_r / M$ and a = $M_{\rm HBB}/M$; the full stellar evolution models give $\delta \approx 10^{-5}$, which means that HBB takes place in a narrow zone adjacent to the base of the convective envelope). In addition to the HBB computation, we calculate the abundance distribution of the Hburning ashes from the new post-HBB envelope composition with another one-zone model at H-shell temperature and density. This whole sequence is repeated for eight TP cycles.

We use the following structure parameters from the full models: the CO-core mass $\mathcal{M}_c = 0.96 \ \mathcal{M}_{\odot}, \ \Delta \mathcal{M}_{PDCZ} = 0.0025 \ \mathcal{M}_{\odot}, \ T_{PDCZ} = 3.2 \times 10^8 \ \text{K}, \ \rho_{PDCZ} = 5 \times 10^3 \ \text{g} \ \text{cm}^{-3}, \ T_{HBS} = 1.2 \times 10^8 \ \text{K}, \ \rho_{HBS} = 40 \ \text{g} \ \text{cm}^{-3}, \ T_{HBB} = 10^8 \ \text{K}, \ \rho_{HBB} \delta = 10^{-5} \ \text{g} \ \text{cm}^{-3}, \ \text{and} \ \Delta t_{ip} = 10^4 \ \text{yr}.$ Our test calculations have shown that the envelope abundances depend weakly on the parameter γ for a wide range of $0.2 \le \gamma \le 0.6$. Therefore, its value was kept constant at $\gamma = 0.6$, as given by the full stellar evolution calculations.

The efficiency of the nuclear processing of the envelope material in the HBB is determined by three parameters: Δt_{ip} , $\rho_{HBB}\delta$, and T_{HBB} . However, while the efficiency is linearly proportional to the first two of them, it depends on a high power of T_{HBB} . Therefore, in our model, only the third parameter characterizes the efficiency of HBB. The envelope abundances are also strongly affected by the efficiency of the TDU (parameter λ). In the full stellar evolution models, λ depends critically on the efficiency of convection-induced extramixing, and T_{HBB} depends on the efficiency of convective energy transport in the envelope. In our parametric model, λ and T_{HBB} are free parameters.

4. RESULTS FROM THE PARAMETRIC MODEL AND GENERAL DISCUSSION

Parametric calculations were carried out for $\lambda = 0.3$ and 1 and for $T_{\text{HBB}} = 0.9, \dots, 1.1 \times 10^8$ K. The time evolution of the envelope abundances (Fig. 1c) resembles the main features seen in the results of the full stellar evolution calculations: the dredge-up of ²²Ne, the production and destruction of Na via ²³Na(p, α)²⁰Ne and ²³Na(p, γ)²⁴Mg, the depletion of ²⁴Mg, and the production of ²⁵Mg and ²⁶Mg. ¹⁶O is depleted just by HBB. In our standard parametric model, we assume that 2% of the ¹⁶O mass fraction is dredged up from the CO core during every thermal pulse, in agreement with the average *minimum* predicted by the full stellar models. In the parametric model, the ¹⁶O abundance becomes significantly depleted with X_{fin} (¹⁶O)/ $X_{\text{init}}(^{16}\text{O}) \leq 0.2 \text{ for } T_{\text{HBB}} > 10^8 \text{ K and } \lambda = 0.3 \text{ (Fig. 2). Thus,}$ if no or very little ¹⁶O is brought to the surface, then we confirm the findings of Ventura et al. (2001, 2002) that ¹⁶O is efficiently destroyed by the HBB in the metal-poor massive AGB stars. However, our parametric models confirm the result of the full models that high HBB temperatures do not favor the Na production that would be required to explain the O-Na anticorrelation in GC stars in the primordial scenario. Indeed, at $T = 10^8$ K, the sum of the rates of the reactions 23 Na $(p, \alpha)^{20}$ Ne and 23 Na $(p, \gamma)^{24}$ Mg is ~6.8 times as large as that of 16 O $(p, \gamma)^{17}$ F, the lower and upper limits of this ratio being 2.1 and 45, respectively (Angulo et al. 1999). Without the 22 Ne dredge-up source, which replenishes Na (as in our first full stellar evolution model), the O depletion is accompanied by Na destruction at $T = 10^8$ K.

But even with the dredge-up of ²²Ne, the final Na abundance in the envelope is found to be very sensitive to small variations of T_{HBB} : increasing T_{HBB} from 0.9 × 10⁸ K to 1.1 × 10⁸ K turns the Na production into the Na destruction (Fig. 2). Consequently, for high HBB temperatures, both O and Na may be depleted simultaneously, resulting in the O-Na correlation instead of the O-Na anticorrelation. If dredge-up of ¹⁶O is as efficient as predicted by the high-resolution full stellar models with hydrodynamic overshooting, the temperatures for efficient ¹⁶O depletion would definitively be too high for Na production. Furthermore, Iliadis et al. (2001) have recommended using a rate of the reaction 22 Ne $(p, \gamma)^{23}$ Na that is by a factor of $\sim 10^{2.7}$ smaller than the value given by NACRE at $T = 10^8$ K. This makes Na production in massive AGB stars even more problematic. On the other hand, a rate of the reaction ${}^{22}Ne(p, \gamma){}^{23}Na$ from El Eid & Champagne (1995), used in § 2, is a factor of $\sim 10^{3.9}$ larger than the NACRE value, which favors Na production. In summary, we cannot entirely rule out that contamination by massive AGB stars causes the O-Na anticorrelation in the primordial scenario. However, this seems to be very unlikely because it requires a fine-tuning of the AGB model parameters. This is not supported by the latest rate of the reaction ²²Ne(p, γ)²³Na either.

A very robust prediction of the primordial scenario can be made with respect to the magnesium isotopes. For temperatures in the vicinity of $T_{\rm HBB} \approx 10^8$ K that allow ¹⁶O depletion, the ²⁴Mg abundance is depleted in any case even more, producing ²⁵Mg and ²⁶Mg in turn. This result is consistently found in all of our models for a wide range of parameters. The evolution of ²⁴Mg in relation to ¹⁶O is a robust result because (1) at T = 10^8 K, the ratio of the ²⁴Mg(p, γ)²⁵Al rate to the ¹⁶O(p, γ)¹⁷F rate is ~6.4, the lower and upper limits being 3.9 and 13, respectively (Angulo et al. 1999), and (2) there is no source of ²⁴Mg in AGB stars [production of ²⁴Mg in the reaction ²³Na(p, γ)²⁴Mg in the HBB is unimportant].

Therefore, in the primordial scenario with massive AGB stars as the contaminators, the MS turnoff stars with $[O/Fe] \leq -0.5$ must have ²⁴Mg depleted and ²⁵Mg enhanced by more than

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1 order of magnitude. Our assumed initial chemical composition has [O/Fe] = 0.4, $[^{24}Mg/Fe] = 0.4$, $[^{25}Mg/Fe] = 0.0$, and $[^{26}Mg/Fe] = 0.0$, i.e., the Mg isotopic ratios $^{24}Mg : ^{25}Mg : ^{26}Mg =$ 90.5 : 4.5 : 5.0. According to those models in Figure 2 with significant O depletion, material released by massive AGB stars may have log $(X_{fin}/X_{init}) \approx -1.0, -1.5, 1.2,$ and 0.5 for O, ^{24}Mg , ^{25}Mg , and ^{26}Mg , respectively (in Fig. 2, the label ^{26}Mg represents the sum of ^{26}Mg and ^{26}Al). In low-mass RGB stars in the primordial scenario, ~90% of the mass of the convective envelope has to consist of this material. Accordingly, on the upper RGB, such a star would have [O/Fe] = -0.32, $[^{24}Mg/Fe] = -0.50$, $[^{25}Mg/Fe] = 1.2$, $[^{26}Mg/Fe] = 0.5$, and the Mg isotopic ratios $^{24}Mg : ^{25}Mg : ^{26}Mg = 13 : 71 : 16$.

These predictions seem to be in conflict with the results of the Mg isotopic composition analysis of RGB stars in the GC NGC 6752 reported by Yong et al. (2003). In the least polluted stars, these authors infer ${}^{24}Mg : {}^{25}Mg : {}^{26}Mg \approx 80 : 10 : 10$ and $[O/Fe] \approx 0.6$; in the most contaminated stars, they find ²⁴Mg : ^{25}Mg : $^{26}Mg \approx 60 : 10 : 30$ and [O/Fe] ≈ -0.1 . Thus, despite having O depleted by a factor of ~5, the NGC 6752 red giants still exhibit the ²⁴Mg-dominated isotopic ratios. Moreover, the second most abundant isotope is ²⁶Mg instead of ²⁵Mg. We see only two possibilities to remove this disagreement within the primordial scenario: either (1) the ratio of the reaction rates of ${}^{4}Mg(p, \gamma)^{25}Al$ and ${}^{16}O(p, \gamma)^{17}F$ at $T \approx 10^8$ K is much less than the value given by Angulo et al. (1999), and at the same time the reaction ${}^{25}Mg(p, \gamma){}^{26}Al$ is faster; or (2) the HBB temperature in massive metal-poor AGB stars is somewhat lower than 10⁸ K, in which case the ²⁴Mg destruction would be suppressed (see the open circles in Fig. 2). However, in the second case, ¹⁶O destruction would be suppressed as well, and ²⁵Mg (and not ²⁶Mg) could still be produced in a large amount. Therefore, we would need deep extramixing on the RGB to deplete O and to produce ²⁶Mg and probably Al (in the form of either ²⁷Al or ²⁶Al^g) at the expense of ²⁵Mg. This is exactly the combined scenario proposed by Denissenkov et al. (1998), with the minor modification that deep extramixing in the RGB stars slightly more massive than the present-day MS turnoff stars in GCs might have contributed to the star-to-star abundance variations as well.

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