

# MORPHOLOGY OF THE 12 MICRON SEYFERT GALAXIES. I. HUBBLE TYPES, AXIAL RATIOS, BARS, AND RINGS

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## ABSTRACT

We have compared the morphological characteristics of the 891 galaxies in the Extended 12  $\mu$ m Galaxy Sample (E12GS) and assessed the effect of the 12  $\mu$ m selection criterion on galaxy properties. The normal spirals in the E12GS have the same axial ratios, morphological types, and bar and ring fractions as other normal spirals. The H II/starburst galaxies have a higher incidence of bars and more than twice the normal rate of “peculiar” morphologies, both of which are attributable to relatively recent disturbances. The 12  $\mu$ m Seyfert galaxies show a small (10%) deficiency of edge-on disks. This is caused by extinction but is a much less severe effect than in optically selected samples. There is a similar modest deficit of highly inclined H II/starburst galaxies in the 12  $\mu$ m sample. The galaxies with active nuclei (Seyfert galaxies and LINERs) have the same incidence of bars as normal spirals but show rings significantly more often than normal galaxies or starbursts. The LINERs have elevated rates of *inner* rings, while the Seyfert galaxies have *outer* ring fractions several times those in normal galaxies. The different formation times of bars and rings suggest an interpretation of these differences. Bars form relatively quickly and indicate that material is recently being transported (by redistribution of angular momentum) to the center of the galaxy, where it is likely to trigger a short (e.g.,  $\lesssim 10^8$  yr) burst of star formation. Outer rings may result from similar disturbances but require much more time to form. They would then be associated with more intense nuclear activity if it takes  $10^9$  yr or more for the mass transfer to reach the center and raise the black hole accretion rate, by which time the bar has dissolved or has begun to do so. Inner rings form before outer ones, with a formation time more comparable to bars. Thus, it may be that after an interaction or instability triggers an infall of gas, the galaxy in the earliest stage is likely to show enhanced star formation in its center, while later it is more likely to show LINER activity, and still later it is likely to be a Seyfert galaxy. The trends we find with morphology and nuclear activity are not biased either by the distances of the galaxies or by the slightly elevated recent star formation rates shown by the 12  $\mu$ m galaxies in general.

*Subject headings:* galaxies: active — galaxies: Seyfert — galaxies: spiral — galaxies: starburst — galaxies: structure — infrared: galaxies

## 1. INTRODUCTION

While for many years it was thought that initial conditions uniquely determined galaxy morphology (Eggen, Lynden-Bell, & Sandage 1962), it is now becoming apparent that morphology can be modified by physical processes (e.g., Pfenniger, Combes, & Martinet 1994). It follows that galaxy morphology can be used to study these processes, if a relationship can be established between a morphological feature and the physical mechanism responsible.

The most striking features of disk galaxy morphology are nonaxisymmetric structures such as bars and spiral patterns. Such structures can be caused by instabilities in galactic disks, and the interaction between bars and disks or bulges can give rise to angular momentum transfer and resonance phenomena. Gas, because of its dissipative properties, is expected to have a substantial influence on the development of spiral structure and bars. Numerical simulations suggest that bars can induce substantial inflows of gas (Schwarz 1981; Friedli & Benz 1993), and the consequent evolution of the bar may create thickened structures that resemble bulges (Norman, Sellwood, & Hasan 1996; Friedli & Benz 1995). Environmental effects, such as tidal interactions and mergers, can also induce bars, as well as

bridges, tails, multiple nuclei, and highly nonaxisymmetric “disturbed” structure. Interactions may also alter the Hubble type in spirals, causing them to evolve from late-type unbarred systems toward barred earlier types (Elmegreen, Elmegreen, & Bellin 1990).

Axisymmetric structures in galaxies, bulges and disks, may also be modified over time by these processes and others, and the Hubble sequence itself may turn out to be an evolutionary one (Pfenniger et al. 1994; Martinet 1995). If this were true, there could be a link between normal spiral evolution and the triggering of starburst and nuclear (Seyfert) activity. These kinds of activities would be expected if such evolution, instead of proceeding at quiescent quasi-static rates, were to occur violently on relatively short timescales and involve only modest fractions of the central mass.

In this paper, we investigate the morphology of several classes of disk galaxies in an attempt to better understand the physical processes behind the creation and maintenance of an active galactic nucleus (AGN). Much effort has been devoted to identifying the connection, if any, between host galaxy properties and the AGN (Simkin, Su, & Schwarz 1980; Su & Simkin 1980; Yee 1983; MacKenty 1990; Zitelli



et al. 1993; Danese et al. 1992; Granato et al. 1993; Kotilainen et al. 1992; Kotilainen & Ward 1994). In particular, galactic bars that should facilitate the inward transport of gas to fuel the active nucleus (Shlosman, Begelman, & Frank 1990) are not ubiquitous in Seyfert galaxies, even at the infrared wavelengths thought to favor bar detection (McLeod & Rieke 1995; Mulchaey & Regan 1997). Likewise, interactions are frequent in Seyfert galaxies (Dahari 1985; MacKenty 1989), but not all Seyfert galaxies are found in interacting systems (De Robertis, Yee, & Hayhoe 1998). The only salient difference between Seyfert and normal spiral *morphology* discovered to date is the near-infrared surface brightness of the disk (Hunt et al. 1998): at  $2\ \mu\text{m}$ , Seyfert disks turn out to be almost  $1\ \text{mag arcsec}^{-2}$  brighter than those in normal early-type spirals.

This paper is the first of a series aimed at the investigation of the host galaxy/nuclear connection in AGNs and starbursts through qualitative and then quantitative galaxy morphology. Our study is based on the Extended  $12\ \mu\text{m}$  Galaxy Sample (Rush, Malkan, & Spinoglio 1993, hereafter RMS), and here we report an analysis, with data from the literature, of the Hubble types, axial ratios, and bar and ring fractions. We also assess the impact of the infrared selection criterion on the star formation properties of the sample galaxies. Future papers will present and analyze new optical and near-infrared images of the  $12\ \mu\text{m}$  Seyfert galaxies that will enable us to further quantify the morphologies of these objects.

## 2. THE EXTENDED 12 MICRON GALAXY SAMPLE

We have chosen the Extended  $12\ \mu\text{m}$  Galaxy Sample (hereafter E12GS) for several reasons: (1) The  $12\ \mu\text{m}$  selection avoids biases associated with ultraviolet selection criteria that tend to favor blue Seyfert 1 galaxies and quasars (e.g., Markarian and Green; see Green, Schmidt, & Liebert 1987). (2) Optically selected magnitude-limited samples may be biased against faint nuclei embedded in bright galaxies (e.g., CfA Seyfert sample: Huchra & Burg 1992), but the  $12\ \mu\text{m}$  flux is an approximately constant fraction of the bolometric flux in both types of Seyfert galaxies (RMS). (3) Importantly for statistical studies, the  $12\ \mu\text{m}$  Seyfert galaxies are numerous (116) and make up the largest Seyfert sample of both types yet compiled. (4) The  $12\ \mu\text{m}$  Seyfert galaxies are closer than the CfA Seyferts, thus affording better spatial resolution and higher flux densities. (5) Distances of the two types in the  $12\ \mu\text{m}$  Seyfert sample are similar (69 Mpc for Seyfert 1s vs. 59 Mpc for Seyfert 2s) so that conclusions drawn from type comparison should not suffer from resolution or distance/luminosity effects. (6) Enhanced star formation activity may be favored by the infrared selection criterion, and evaluation of such a selection artifact may help us to better understand the relationship between Seyfert activity and star formation. (7) Finally, the E12GS automatically guarantees similarly selected control samples of H  $\Pi$ /starbursts, LINERS, and nonactive galaxies.

The E12GS was defined by RMS on the basis of  $12\ \mu\text{m}$  flux and contains 891 galaxies.<sup>1</sup> The flux limit is  $0.22\ \text{Jy}$ , and the sample is estimated to be complete to  $0.3\ \text{Jy}$ . The multi-wavelength properties of Seyfert galaxies in the  $12\ \mu\text{m}$  sample are discussed by Rush & Malkan (1996), Rush et al.

(1996), and Rush, Malkan, & Edelson (1996). Near-infrared photometry of the galaxies in general is reported by (Spinoglio et al. 1995).

## 3. MORPHOLOGY OF THE 12 MICRON GALAXIES

We are interested in the morphological characteristics of the  $12\ \mu\text{m}$  galaxies not only for their intrinsic interest, but also to assess any dependence on the  $12\ \mu\text{m}$  selection criterion. Specifically, (1) what are the Hubble types of the  $12\ \mu\text{m}$  galaxies, and how do they compare with those for normal spirals and previous Seyfert samples? (2) What are the axial ratios of the  $12\ \mu\text{m}$  galaxies, and how do they compare with optically selected samples? (3) How does the bar fraction of the  $12\ \mu\text{m}$  galaxies compare with that for normal spirals, and how does it vary with activity class and Hubble type? (4) What fraction of  $12\ \mu\text{m}$  galaxies has rings?

To this end, we used the spectroscopic classifications of the E12GS (H  $\Pi$ /starburst, LINER, Seyfert 1 or 2) from the NASA/IPAC Extragalactic Database (NED).<sup>2</sup> From these classifications, three subsets of the  $12\ \mu\text{m}$  galaxies were derived: H  $\Pi$ /starburst (67 objects), LINER (33 objects), and “nonactive” (not H  $\Pi$ /starbursts, not LINERS, and not Seyfert galaxies: 626 objects, hereafter referred to as “normal”). Ambiguous designations such as H  $\Pi$  + LINER (eight objects), H  $\Pi$  + Seyfert (six objects), LINER + Seyfert (14 objects) have been separated out in order to better represent “pure” activity classes, although we have analyzed them where necessary to evaluate the validity of possibly low-significance results.

The resulting percentage of “active” galaxies in the E12GS is roughly 30%, as opposed to the 20% value given by RMS. The increase is in large part due to the different definition and consequent greater number of H  $\Pi$ /starbursts here and to the inclusion of ambiguous classifications. More observations in the literature and continuous updates of NED also contribute to the increase. 29 galaxies are classified as Seyfert galaxies in NED but are not part of the  $12\ \mu\text{m}$  Seyfert samples, and, conversely, 22 of the 116  $12\ \mu\text{m}$  Seyferts are not designated as such in NED. Hence, there may be some doubt about the strict membership of the activity class subsamples we have defined, although the samples should be large enough to submerge the small random effects of mistyping.

Morphological types, bar and ring classes, and major and minor diameters were taken from NED, and the distributions of these compared among the subsets defined above. The optically selected CfA sample of Seyfert galaxies (Huchra & Burg 1992) is also considered in the analysis, so as to better assess any selection effects introduced by the  $12\ \mu\text{m}$  criterion (see § 4).

### 3.1. Morphological Types

The NED revised morphological types are typically taken from the Third Reference Catalogue of Bright Galaxies (RC3) (de Vaucouleurs et al. 1991). The Hubble stage or type index (T) is derived from these according to the principles outlined in RC3. When an object is tagged “pec” (peculiar) but has a well-defined type, we included it in the analysis. “Peculiar” morphology is shown by only 19% of

<sup>1</sup> 3C273 and OJ287 are not considered here.

<sup>2</sup> The NASA/IPAC Extragalactic Database (NED) is operated by the Jet Propulsion Laboratory, California Institute of Technology, under contract with the US National Aeronautics and Space Administration.



the normal 12  $\mu\text{m}$  galaxies, by roughly 25% of the 12  $\mu\text{m}$  Seyferts (21% and 27% for types 1 and 2, respectively), by 35% of the LINERs, and by almost half (45%) of the 12  $\mu\text{m}$  H  $\pi$  spirals.

The distributions of Hubble type index for the different subsamples are shown in Figure 1. It is apparent that the normal 12  $\mu\text{m}$  galaxies are predominantly spirals, as expected, and that there are fewer very late type spirals relative to the UGC distribution (although both have median  $T = 4 = \text{Sbc}$ ). The 12  $\mu\text{m}$  and CfA Seyfert 1 galaxies tend to be early-type spirals (median  $T = 1 = \text{Sa}$ ), while the 12  $\mu\text{m}$  H  $\pi$  galaxies and LINERs tend toward later types (medians  $T = 3, 3.5 = \text{Sb}, \text{Sbc}$ , respectively); Seyfert 2 galaxies are intermediate between the two with median  $T = 2 = \text{Sab}$ .

That Seyfert galaxies tend to reside in early-type spirals has been known for some time (Terlevich, Melnick, & Moles 1987; Moles, Márquez, & Pérez 1995). The trend found here of morphological types in Seyfert galaxies is also similar to that of the Palomar Spectroscopic Survey (Ho, Filippenko, & Sargent 1997a) and of a large sample of nearby Seyfert galaxies (Malkan, Gorjian, & Tam 1998, hereafter MGT). However, the 12  $\mu\text{m}$  H  $\pi$  galaxies tend toward earlier types, and the LINERs toward later types than those detected in the Palomar survey (Ho, Filippenko, & Sargent 1997b). This may be a luminosity effect since the Palomar Survey tends toward low luminosities, or it could be related to the 12  $\mu\text{m}$  selection and dust or gas content. The median morphological type of both 12  $\mu\text{m}$  and CfA Seyfert 2s lies between Seyfert 1s and H  $\pi$  galaxies/LINERs

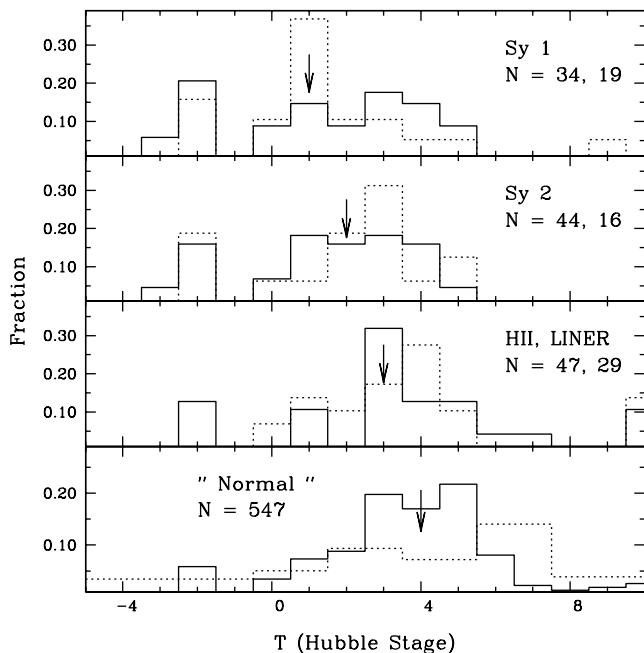


FIG. 1.—Distributions of the morphological type index (Hubble stage). The distributions denoted by a dotted line correspond (from bottom to top) to (1) the distribution of the Uppsala General Catalog as tabulated by Roberts & Haynes (1994); (2) the 12  $\mu\text{m}$  LINER sample; (3) the CfA Seyfert 2s; (4) the CfA Seyfert 1s. The numbers given in this and subsequent histograms refer to the number of objects with (in this case) morphological types defined in NED. The two values given in the H  $\pi$ /LINER panel correspond to the H  $\pi$  and LINER subsamples, respectively, and the two values in the Seyfert panels to the 12  $\mu\text{m}$  and CfA samples. The vertical arrows mark subsample medians, calculated with a type index resolution of unity.

(individual typing uncertainties are  $T \sim 0.7$ ; Buta et al. 1994), a trend confirmed by the ambiguous subsamples: LINER/Seyfert galaxies have median  $T = 2$ , H  $\pi$ /Seyfert galaxies  $T = 3$ , and H  $\pi$ /LINERs  $T = 4$ . There appears to be a global progression from normal spirals and LINERs (median Sbc), to H  $\pi$ /starbursts (Sb), to Seyfert 2 galaxies (Sab), and Seyfert 1 galaxies (Sa).

### 3.2. Axial Ratios

The intrinsic shape of spiral disks may be derived from distributions of axial ratios and has been extensively studied (Sandage, Freeman, & Stokes 1970; Binney & de Vaucouleurs 1981; Fasano et al. 1993; Odewahn, Burstein, & Windhorst 1997). Here we compare the axial ratios in the E12GS with those of normal spirals and with optically selected Seyfert samples. The distributions of the axial ratios of the galaxies in the E12GS are shown in Figure 2. The normal 12  $\mu\text{m}$  galaxies exhibit an axial ratio distribution entirely consistent with normal disk galaxies, being roughly flat from  $b/a \lesssim 1.0$  down to  $\sim 0.2$  (Binney & de Vaucouleurs 1981).

Optically selected Seyfert galaxies tend to avoid edge-on systems (Keel 1980), partly because of the bias of (optical) magnitude-limited samples against extremely inclined systems (Burstein, Haynes, & Faber 1991; Fasano et al. 1993; Maiolino & Rieke 1995). Indeed, the CfA Seyfert galaxies show a strong deficiency of highly inclined disks, especially for the type 1s: the mean  $b/a$  for the CfA type 1 galaxies (type 2s) is 0.80 (0.77), compared with 0.56 for the normal 12  $\mu\text{m}$  galaxies. Figure 2 shows that the 12  $\mu\text{m}$  Seyferts are much less affected by this bias than the CfA sample, as the mean  $b/a$  for the 12  $\mu\text{m}$  type 1 galaxies (type 2 galaxies) is 0.65 (0.64). Eleven percent of the type 2 Seyfert

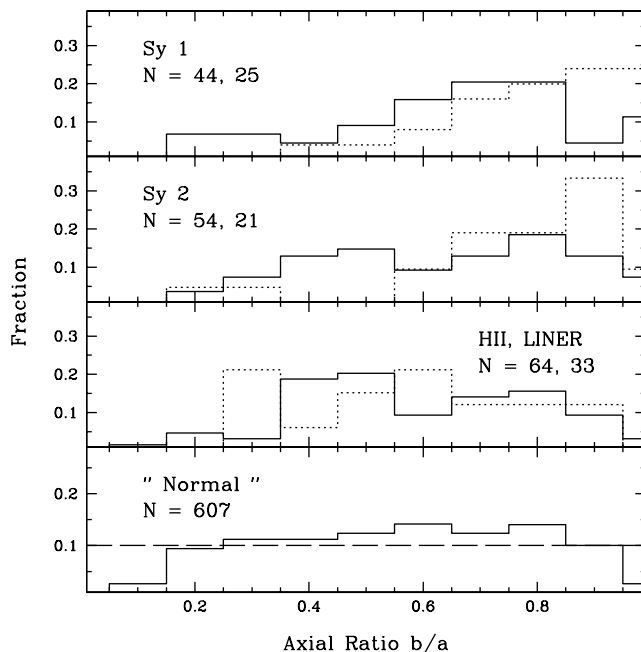


FIG. 2.—Distributions of the axial ratios ( $b/a$ ). The distributions denoted by a dotted line are the same as those in Fig. 1. Numbers under the panel label give the number of galaxies in each subsample with defined axial ratios. The horizontal dashed line in the lowest panel indicates a random distribution in the absence of optical depth effects and assuming disks are intrinsically round.



TABLE 1  
BAR CLASS AND ACTIVITY TYPE

Activity Type	Total <sup>a</sup>	Unbarred (SA)	Weakly Barred (SAB)	Strongly Barred (SB)	Barred (SAB + SB)
Normal .....	447	138 (31) <sup>b</sup>	131 (29)	178 (40)	309 (69)
H II/starburst .....	34	5 (15)	11 (32)	18 (53)	29 (85)
LINER .....	23	9 (39)	9 (39)	5 (22)	14 (61)
12 $\mu$ m Seyfert 1 .....	28	9 (32)	12 (43)	7 (25)	19 (68)
12 $\mu$ m Seyfert 2 .....	33	11 (33)	9 (27)	13 (39)	22 (67)
CfA Seyfert 1 .....	18	8 (44)	5 (28)	5 (28)	10 (56)
CfA Seyfert 2 .....	12	4 (33)	5 (42)	3 (25)	8 (67)
$v < 5000 \text{ km s}^{-1}$					
Normal .....	401	128 (32)	122 (30)	151 (38)	273 (68)
H II/starburst .....	28	5 (18)	10 (36)	13 (46)	23 (82)
LINER .....	23	9 (39)	9 (39)	5 (22)	14 (61)
12 $\mu$ m Seyfert 1 .....	20	6 (30)	9 (45)	5 (25)	14 (70)
12 $\mu$ m Seyfert 2 .....	27	10 (37)	6 (22)	11 (41)	17 (63)
CfA Seyfert 1 .....	11	4 (36)	5 (45)	2 (18)	7 (64)
CfA Seyfert 2 .....	6	2 (33)	2 (33)	2 (33)	4 (67)

<sup>a</sup> Total number of objects with well-defined bar class.

<sup>b</sup> Values in parentheses are percentages.

galaxies and 13% of the type 1s have  $b/a \leq 0.4$ , versus none of the CfA Seyferts. A similar lack of edge-on Seyfert 2 galaxies has been noted by McLeod & Rieke (1995), who attributed it to obscuration on scales of  $\sim 100$  pc or more.

The LINERs and H II galaxies have a mean  $b/a$  of 0.59. Only 9% of the H II galaxies have  $b/a \leq 0.4$ , which implies that if obscuration causes the deficiency of highly inclined galaxies, it operates equally well whether an AGN or a starburst is present.

### 3.3. Bars

Seventy percent of the normal sample and roughly half of each of the active samples have bar classes defined, and those galaxies with bar definitions have been divided into three categories: unbarred (SA); barred (SAB or SB); and strongly barred (SB). Table 1 gives the results of this division. It turns out that 69% of the normal galaxies are barred, in good agreement with the magnitude-limited sample of northern spirals with  $B \leq 13$  of which 68% have bars (see Moles et al. 1995), but slightly higher than the 60% fraction in field spirals (Sellwood & Wilkinson 1993) and in the Palomar Survey spirals (Ho, Filippenko, & Sargent 1997c). If we apply a velocity constraint and consider only those sources with  $v < 5000 \text{ km s}^{-1}$ , the bar fraction is 68%. We conclude that the bar properties of the 12  $\mu$ m normal galaxies are similar to those of optically selected samples (see also Pompea & Rieke 1990).

In contrast to the normal spirals, the vast majority of the 12  $\mu$ m starbursts are barred; 82%–85% (depending on whether the distant galaxies are excluded) of the H II/starbursts with known bar class are barred, and half of them are strongly barred.<sup>3</sup> This is a significantly higher barred fraction of H II galaxies than the 61% found by Ho et al. (1997c), and it does not appear to be a selection effect. A detailed discussion of barred starbursts or star formation in barred galaxies is beyond the scope of this paper, but it would appear that all starbursts, at least relatively luminous ones, are preferentially barred. We have analyzed the bar

fraction of the Markarian nuclear starbursts (as listed by Balzano 1983 and by Mazzarella & Balzano 1986) and found that 87.5% of the (32) galaxies with known bar class are barred and 75% are strongly barred.

The bar fractions of 12  $\mu$ m LINERs and Seyfert galaxies appear very normal with between 61% and 68% of them having bars; the CfA Seyfert galaxies are similar with roughly a 62% bar fraction. Four of nine hybrid LINER/Seyfert galaxies are barred (56%). This is yet another confirmation of the emerging consensus that bars occur in Seyfert galaxies with the same frequency as they occur in the normal spiral population (see § 1 and references therein). Recent work has suggested that bars and distortions are more frequent in type 2 Seyferts than in type 1 galaxies (Maiolino et al. 1997). For the 12  $\mu$ m Seyferts however, this is not the case as the bar fraction (with  $v < 5000 \text{ km s}^{-1}$ ) is greater for type 1 galaxies (70%) than for type 2s (63%). It is only marginally the case for the CfA sample, which has 67% of Seyfert 2 galaxies barred versus 64% of Seyfert 1s, although if all distances are considered, the differences between the two types in the CfA sample are more pronounced (56% type 1 galaxies vs. 67% type 2s; see Table 1). More and higher quality image data are needed to decide if the difference is significant, and if so, if it results from optical selection.

We turn finally to the variation of bar fraction with morphological type. Although the counting statistics are sufficiently large only for the normal sample, we have analyzed the bar fraction as a function of Hubble type as shown in Figure 3; the data have been binned as described in the figure caption. Very late type normal E12GS galaxies show a higher percentage of bars than early types, as also found by (Ho et al. 1997c): 86% (31/36) of the 12  $\mu$ m galaxies with  $T > 6$  are *strongly* barred (SB), and only 8% (3/36) are not barred at all. Otherwise the bar fraction is constant with morphological type, except for S0s and earlier, which tend to have a lower percentage (see also Ho et al.).

Within the errors, the bar fractions of 12  $\mu$ m H II galaxies and Seyfert 2 galaxies are also constant with morphological type, while Seyfert 1 galaxies show a peak of 90% at type Sb ( $T = 3$ ). This type is also the mode in the Hubble type dis-

<sup>3</sup> The hybrid H II/Seyfert sample with defined bar class has four of four, or 100%, barred, while the H II/LINERs have four of seven barred (57%).



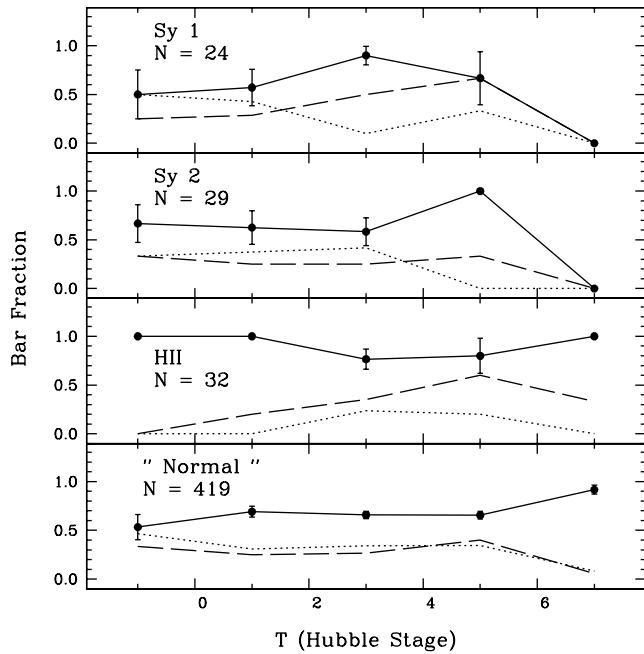


FIG. 3.—Fraction of barred galaxies as a function of Hubble type. The dotted lines show the unbarred galaxies, the dashed lines the weakly barred (SAB), and the solid lines the barred (SAB + SB). Error bars are shown only for the barred distributions, and are derived from counting statistics as  $\sqrt{f(1-f)/N}$ , where  $f$  is the fraction of barred objects in a given morphological type bin and  $N$  is the total number of objects in the bin. Numbers under the panel label give the number of galaxies in each subsample with well-defined bar class. The data have been binned as follows: S0a and earlier ( $T \leq 0$ ); Sa, Sab ( $0 < T \leq 2$ ); Sb, Sbc ( $2 < T \leq 4$ ); Sc, Scd ( $4 < T \leq 6$ ); Sd and later ( $6 < T$ ).

tribution and means that nine of 10 Sb Seyfert 1s are barred. The fraction of unbarred Seyfert 1s is highest for the very early types (S0 and earlier), similar to the case for normal galaxies.

### 3.4. Rings

Rings trace dynamical resonances in galaxies and are locations of strong density enhancements in stars and gas. Numerical simulations show that bar perturbations can form rings (Schwarz 1981) and almost inevitably do in early-type spirals (Combes & Elmegreen 1993; Piner, Stone, & Teuben 1995). Patterns in ring structure have also been interpreted as an evolutionary sequence in Seyfert galaxies where rings signify active gas flow into nuclear regions (Su & Simkin 1980). For these reasons, we have quantified the presence of rings in the E12GS. Inner and outer rings have been associated with inner and outer Lindblad resonances (Schwarz 1981; Buta 1993; Piner et al. 1995), and we have used the RC3 designations “(r)” and “(rs)” to tally inner rings and “(R)” and “(R’)” for outer ones. The tallies are shown in Table 2, together with the (s) (S shape), which designates an inner spiral. Following Simkin et al. (1980), we have applied a velocity constraint ( $v < 5000 \text{ km s}^{-1}$ ), shown in the lower part of Table 2 and in Figure 4, so as to ensure reasonably consistent typing among the different activity types.

Outer rings are relatively rare in normal galaxies, as shown in Table 2. Simkin et al. (1980) found similar fractions for an optically selected comparison sample of normal spirals, so the  $12 \mu\text{m}$  galaxies appear normal in terms of the occurrence of rings. Three features, however, stand out in Table 2: (1) the high fraction of Seyfert galaxies—especially type 1 galaxies—with outer rings (40% for  $v < 5000 \text{ km s}^{-1}$ , as opposed to 10% for the normal  $12 \mu\text{m}$  galaxies); (2) the high fraction of Seyfert galaxies and LINERs with both inner and outer rings; and (3) the high fraction of LINERs with inner rings. Seyfert galaxies show outer rings or simultaneous inner and outer ring structures between 3 and 4 times more frequently than do normal spirals; the formal significance of the higher Seyfert 1 outer ring fraction is  $3.9 \sigma$ . Outer rings occur in LINERs almost twice as often as normal, although with low significance, and with a normal frequency in H II/starburst galaxies. No outer rings are

TABLE 2  
RING CLASS AND ACTIVITY TYPE

Activity Type	Total <sup>a</sup>	Outer Ring(R) + (R')	Inner Ring(r) + (rs)	Outer + Inner <sup>b</sup>	Outer    Inner <sup>c</sup>	S-shaped(s)
Normal .....	547	58 (11) <sup>d</sup>	209 (38)	36 (7)	237 (43)	174 (32)
H II/starburst .....	47	2 (4)	18 (38)	2 (4)	18 (38)	13 (28)
LINER .....	29	4 (14)	13 (45)	3 (10)	14 (48)	8 (28)
$12 \mu\text{m}$ Seyfert 1 .....	34	10 (29)	13 (38)	6 (18)	17 (50)	12 (35)
$12 \mu\text{m}$ Seyfert 2 .....	45	11 (24)	14 (31)	5 (11)	21 (47)	11 (24)
CfA Seyfert 1 .....	19	6 (32)	6 (32)	2 (11)	10 (53)	10 (53)
CfA Seyfert 2 .....	16	3 (19)	7 (44)	3 (19)	7 (44)	1 (6)
$v < 5000 \text{ km s}^{-1}$						
Normal .....	479	48 (10)	192 (40)	31 (6)	215 (45)	162 (34)
H II/starburst .....	36	2 (6)	16 (44)	2 (6)	16 (44)	11 (31)
LINER .....	23	4 (17)	13 (57)	3 (13)	14 (61)	8 (35)
$12 \mu\text{m}$ Seyfert 1 .....	22	9 (41)	10 (45)	6 (27)	13 (59)	9 (41)
$12 \mu\text{m}$ Seyfert 2 .....	35	10 (29)	12 (34)	5 (14)	18 (51)	9 (26)
CfA Seyfert 1 .....	10	4 (40)	4 (40)	2 (20)	6 (60)	7 (70)
CfA Seyfert 2 .....	8	2 (25)	3 (38)	2 (25)	3 (38)	1 (12)

<sup>a</sup> Number of objects with well-defined morphological type.

<sup>b</sup> Number of objects with both an inner and an outer ring.

<sup>c</sup> Number of objects with either an inner or an outer ring.

<sup>d</sup> Values in parentheses are percentages.



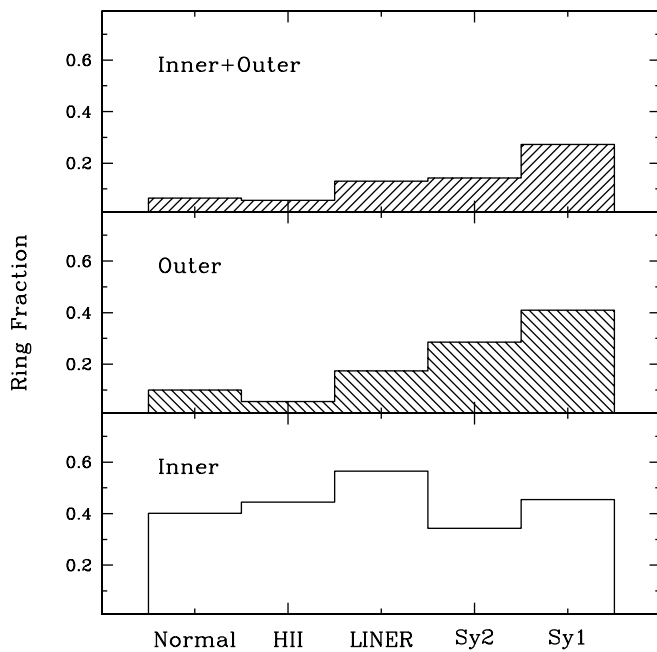


FIG. 4.—Fraction of ringed galaxies as a function of activity class. The lower panel shows inner rings, the middle panel outer ones, and the upper panel those galaxies with both inner and outer rings. Only those objects with ( $v < 5000 \text{ km s}^{-1}$ ) are shown in the figure.

found in any of the hybrid activity classes, although S shapes occur in 70% of the H II hybrids (H II/LINER, H II/Seyfert). The anomalously high outer ring fraction in Seyfert galaxies is similar to results reported for Simkin et al. (1980) for a smaller optically selected sample. The incidence of inner rings (40%) and S shapes (33%) in normal spirals (see also Simkin et al. 1980) is exceeded only by the LINERs (57%), a  $2\sigma$  effect. MGT also found that inner rings are not unusually frequent in Seyfert galaxies.

Because inner and outer rings may occur preferentially in very early type barred spirals (Combes & Elmegreen 1993; Elmegreen et al. 1992), we have checked to ensure that the high outer ring fraction in Seyfert galaxies is not due to their predominantly early Hubble types. Considering only  $12 \mu\text{m}$  normal galaxies with  $T \leq 2$  (Sab), the outer ring fraction increases by a factor of 2 (to 24%), while the inner ring fraction remains roughly constant (at 43%). Among only early types, the Seyfert outer ring fractions are 60% and

50%, for types 1 and 2, respectively. These fractions remain significantly higher than normal, although instead of a  $4\sigma$  effect, it is around  $2\sigma$ .

We can also examine what percentage of barred and unbarred galaxies have rings, as shown in Table 3. While 10% of all normal  $12 \mu\text{m}$  galaxies have outer rings, 13% of barred normal spirals do, and 7% of the unbarred spirals. While the presence of a bar may influence the occurrence of an outer ring, bars definitely appear to be associated with inner rings: 52% of barred spirals have inner rings, but only 38% of unbarred spirals have them. Such a result is not surprising since inner resonances are expected to develop in early-type barred spirals (Combes & Elmegreen 1993). These fractions for  $12 \mu\text{m}$  spirals are consistent with those reported by de Vaucouleurs & Buta (1980) who find a fraction of 43% of the unbarred and almost 70% of the barred spirals in the Second Reference Catalogue of Bright Galaxies (RC2; de Vaucouleurs, de Vaucouleurs, & Corwin 1976) to have inner rings. Finally, twice the normal fraction ( $\geq 80\%$ ) of unbarred H II/starburst galaxies have inner rings.

### 3.5. Morphology Summary

We have examined the attributes, gleaned from NED, of the E12GS, and find the following:

1. The normal spirals in the E12GS are truly normal in terms of morphological types, axial ratio distribution, bar fraction, and rings. Only one-fifth (19%) of them are designated as morphologically “peculiar.”
2. Almost half (45%) of the  $12 \mu\text{m}$  H II/starburst galaxies are morphologically “peculiar,” and more than 80% of them are barred. A normal percentage of them has rings, but twice the normal fraction ( $\geq 80\%$ ) of unbarred H II/starbursts show inner rings.
3. The axial ratios of the  $12 \mu\text{m}$  Seyfert galaxies show a much smaller deficiency of edge-on systems than in optically selected Seyfert galaxies. This is because selection in the mid infrared is much less biased against Seyfert galaxies suffering large extinction.
4. One-fourth of the  $12 \mu\text{m}$  Seyfert galaxies are classified as having “peculiar” morphology, and, consistently with previous studies, they are not preferentially barred.
5. The incidence of outer rings and simultaneous inner/outer rings in the  $12 \mu\text{m}$  Seyfert galaxies is overwhelmingly higher (3–4 times) than that for normal spirals; LINERs show a high (1.5 times normal) inner ring fraction. Both results are statistically significant.

TABLE 3  
BARS WITH RINGS AND ACTIVITY CLASS<sup>a</sup>

ACTIVITY TYPE	(R) + (R')		(r) + (rs)	
	Barred <sup>b</sup>	Unbarred <sup>b</sup>	Barred <sup>b</sup>	Unbarred <sup>b</sup>
Normal .....	36 (13) <sup>c</sup>	9 (7)	142 (52)	49 (38)
H II/starburst .....	1 (4)	1 (20)	12 (52)	4 (80)
LINER .....	2 (14)	2 (22)	8 (57)	5 (56)
$12 \mu\text{m}$ Seyfert 1 .....	8 (57)	1 (17)	9 (64)	1 (17)
$12 \mu\text{m}$ Seyfert 2 .....	8 (47)	2 (20)	10 (59)	2 (20)
CfA Seyfert 1 .....	4 (57)	0 (0)	4 (57)	0 (0)
CfA Seyfert 2 .....	1 (25)	1 (50)	2 (50)	1 (50)

<sup>a</sup> With  $v < 5000 \text{ km s}^{-1}$ .

<sup>b</sup> Percentages are calculated using total number with a given bar class. (See the third and sixth columns of Table 1.)

<sup>c</sup> Values in parentheses are percentages.



#### 4. STAR FORMATION IN THE 12 MICRON SAMPLE

An important selection effect that may operate in the E12GS is the tendency for infrared-selected galaxies to be dominated by powerful star formation (e.g., Soifer et al. 1987). Star formation occurring on short timescales can alter morphology and thereby flavor results drawn from a morphological analysis. Such a selection artifact could also influence conclusions about star formation and Seyfert activity. For these reasons, we have attempted to quantify star formation in the E12GS on the basis of infrared luminosity ratios and colors.

##### 4.1. Infrared-to-Blue Luminosity Ratio

Infrared (IR) emission measured by the *Infrared Astronomical Satellite* (IRAS) is usually attributed to dust heated by the quiescent interstellar medium (20–25 K), young massive stars ( $\sim 50$  K), and possibly an AGN (e.g., Rowan-Robinson & Crawford 1989). The relative contribution of these processes determines the infrared luminosity output, which can vary substantially from galaxy to galaxy. As the contrasting examples of M31 and Arp 220 illustrate (Telesco 1988), infrared-to-blue luminosity ratios ( $L_{\text{IR}}/L_B$ ) range over a factor of 1000 (e.g., Soifer et al. 1984). Although  $L_{\text{IR}}/L_B$  is not a direct measure of “infrared activity” in galaxies (Soifer et al. 1989), it is commonly used as an indicator of the relative importance of young stars and vigorous star formation (Keel 1993; Combes et al. 1994), and we have calculated  $L_{\text{IR}}/L_B$  for the sample galaxies. For the far-infrared (FIR) contribution (from 40 to 120  $\mu\text{m}$ ), we used the usual expression:  $\text{FIR} = 3.25 \times 10^{-14} f_{\nu}(60 \mu\text{m}) + 1.26 \times 10^{-14} f_{\nu}(100 \mu\text{m})$  (e.g., Persson & Helou 1987). The  $B$ -band contribution was calculated as  $\nu f_{\nu}$ , based on the magnitudes given in NED, taken mostly from RC3.

Figure 5 shows the distributions of  $L_{\text{IR}}/L_B$  for the various activity classes of the E12GS. The normal galaxies in the E12GS are characterized by  $L_{\text{IR}}/L_B$  (median log of 0.16) intermediate between the high ratios typical of infrared-selected galaxies selected at 60  $\mu\text{m}$  (IRAS Bright Galaxy Sample [BGS]; see Soifer et al. 1987; the median [log] of 0.75 is shown by the right vertical arrow in the lowest panel of Fig. 5) and the low ratios in optically selected galaxies (de Jong et al. 1984; medians [log] of  $-0.4$  [unbarred] and  $-0.26$  [barred] shown by the left arrows). We conclude that the tendency of infrared selection criteria to favor high  $L_{\text{IR}}/L_B$  is much less pronounced at 12  $\mu\text{m}$  than at 60  $\mu\text{m}$ .

The 12  $\mu\text{m}$  selected Seyfert galaxies have higher  $L_{\text{IR}}/L_B$  (median log:  $-0.05$ ,  $0.31$  for type 1 and type 2 galaxies, respectively) than their optically selected CfA counterparts ( $-0.14$ ,  $0.13$  for the CfA Seyfert 1, 2 galaxies); Seyfert 2s in both samples show higher values of  $L_{\text{IR}}/L_B$  than in Seyfert 1s. The 12  $\mu\text{m}$  H II/starbursts have a median (log)  $L_{\text{IR}}/L_B$  (0.55) larger than any of the other classes, comparable only to the BGS, while 12  $\mu\text{m}$  LINERS show the lowest  $L_{\text{IR}}/L_B$  of any of the 12  $\mu\text{m}$  activity classes, similar to those in Seyfert 1 galaxies. Although these results seem to indicate a moderate 12  $\mu\text{m}$  selection effect on Seyfert galaxies, some fraction of the Seyfert 60  $\mu\text{m}$  flux can be due to the AGN (Spinoglio et al. 1995). Such a contribution would boost  $L_{\text{IR}}/L_B$ , independently of recent star formation history.

##### 4.2. The Proportion of Warm and Cold Dust Components

IRAS observations revealed that infrared-to-blue luminosity ratios correlate with the flux ratio  $\Theta \equiv f_{\nu}(60$

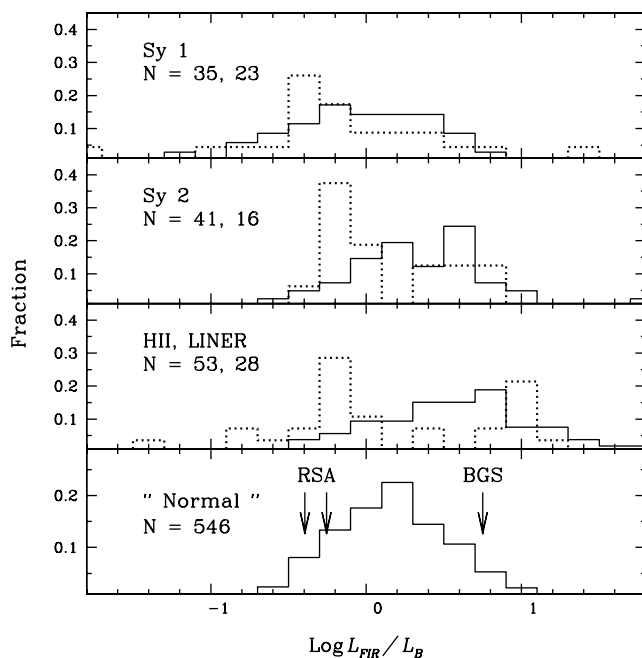


FIG. 5.—Distributions of  $\log L_{\text{IR}}/L_B$ . The distributions denoted by a dotted line are the same as those in Fig. 1. The vertical arrows in the lowest panel mark medians of the unbarred ( $-0.4$ ) and barred ( $-0.26$ ) Shapley-Ames galaxies as analyzed by De Jong et al. (1984) and the IRAS Bright Galaxy Sample (Soifer et al. 1987) selected at 60  $\mu\text{m}$  (0.75).

$\mu\text{m})/f_{\nu}(100 \mu\text{m})$  (de Jong et al. 1984), although the correlation is looser in IR-selected samples (Soifer et al. 1984). This was probably the first observational evidence of the presence of a cold dust component and a more variable warm component: the higher the 60  $\mu\text{m}/100 \mu\text{m}$  ratio, the higher the proportion of warm dust and the more  $L_{\text{IR}}$  emitted relative to the optical. Such correlations are shown for the E12GS in Figure 6. The two bold data points in the normal panel show the loci of optically selected samples (lower left point; de Jong et al. 1984) and 60  $\mu\text{m}$  selected ones (upper right point; Soifer et al. 1989). It can be seen that the normal 12  $\mu\text{m}$  galaxies are well-represented by these values, while almost half of the H II/starbursts exceed them. On the other hand, more Seyfert galaxies fall below the normal range than above it, and they show the worst correlation of any of the activity classes.

Subsequent work demonstrated that IR emission from the interstellar medium (ISM), the cold component, is not well represented by “classical” dust grains in thermal equilibrium (silicate and graphite particles with diameters ranging from 0.005 to 0.25  $\mu\text{m}$ ), as they fail to produce the observed emission for  $\lambda < 40 \mu\text{m}$  (Pajot et al. 1986). Very small grains transiently heated by the absorption of single UV photons were proposed by Sellgren (1984) to explain the excess mid-infrared emission. In galaxies, a general relationship between IRAS color ratios  $f_{\nu}(60 \mu\text{m})/f_{\nu}(100 \mu\text{m})$  and  $f_{\nu}(12 \mu\text{m})/f_{\nu}(25 \mu\text{m})$  was found by Helou (1986) and interpreted as the interplay between the contributions from classical and very small grains. Indeed, an empirical estimate of the small-to-large dust grain ratio  $\Gamma \equiv \nu f_{\nu}(12 \mu\text{m})/\text{FIR}$  has been shown to depend only on the flux ratio  $\Theta$  in Galactic nebulae and in optically and IR-selected samples of galaxies (Helou, Rytter, & Soifer 1991, hereafter HRS).



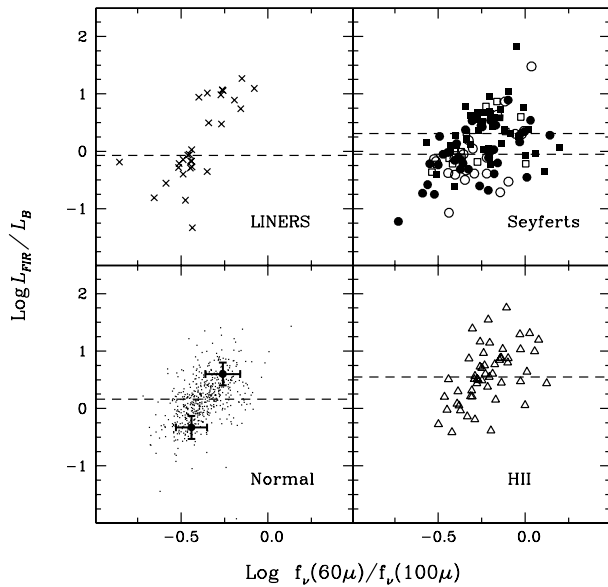


FIG. 6.—Plots of  $L_{\text{IR}}/L_B$  vs. far-infrared flux ratio  $f_{\nu}(60 \mu\text{m})/f_{\nu}(100 \mu\text{m})$ ; scale is logarithmic. In the upper right-hand panel, the  $12 \mu\text{m}$  Seyfert galaxies are marked with filled symbols (circles for type 1, squares for type 2), and the Cfa Seyfert galaxies with the respective open symbols. In the normal panel, the two bold data points correspond to the mean and spread in an optically selected sample (RSA galaxies: de Jong et al. 1984), and in a  $60 \mu\text{m}$  selected sample (BGS: Soifer et al. 1989). Each panel contains dashed lines that show the median  $L_{\text{IR}}/L_B$  for the activity class; in the Seyfert panel, the upper horizontal dashed line marks type 2 galaxies, and the lower type 1s. The two outlying points (upper right point) in the Seyfert panel are Arp 220 ( $12 \mu\text{m}$  Seyfert 2) and Mrk 231 (Cfa Seyfert 1).

Given that the E12GS is defined on the basis of  $12 \mu\text{m}$  flux, the selected galaxies may be anomalous in their dust content or relative contributions from the large and small dust grains. We have therefore considered the behavior of  $\Gamma$ , the ratio of  $12 \mu\text{m}$  flux to FIR, in the sample galaxies. Following HRS, Figure 7 shows  $\Gamma$  versus  $\Theta$  for the various activity classes in the E12GS. The phenomenological model described by HRS, and plotted in Figure 7, consists of two dust phases: a cool one represented with a quiescent ISM energy density of  $u_c \simeq 0.5 \text{ eV cm}^{-3}$  and the other with an increasing fraction immersed in a higher intensity field  $u_w \simeq 30 \text{ eV cm}^{-3}$  (solid line), or  $u_w \simeq 100 \text{ eV cm}^{-3}$  (dotted line). Also shown in Figure 7 as a dashed line in the lower left-hand panel is the linear fit to data from the Galactic interstellar medium in the vicinity of stars as given by HRS. The remaining panels in Figure 7 show as a dot-dashed line the colors that would be observed were the quantities artificially controlled by the  $12 \mu\text{m}$  flux limit; such a trend is not followed by the data. The figure shows convincingly that the HRS two-phase dust model is appropriate for the bulk of the normal galaxies, the LINERS, and the H II/starbursts, except, perhaps at high  $\Theta$ .

To assess the influence of star formation properties on the morphological characteristics described in the previous section, we have calculated the fractions of bars and rings in “star-forming” and “quiescent” galaxies in the E12GS, as distinguished by the far-infrared flux ratio  $\Theta$ . The median value of the H II/starbursts was used as the threshold:  $\Theta = 0.51$ ; galaxies with the far-infrared flux ratio greater than this value were (arbitrarily) considered “star forming,” and the others “quiescent.” With this criterion, 20% of the

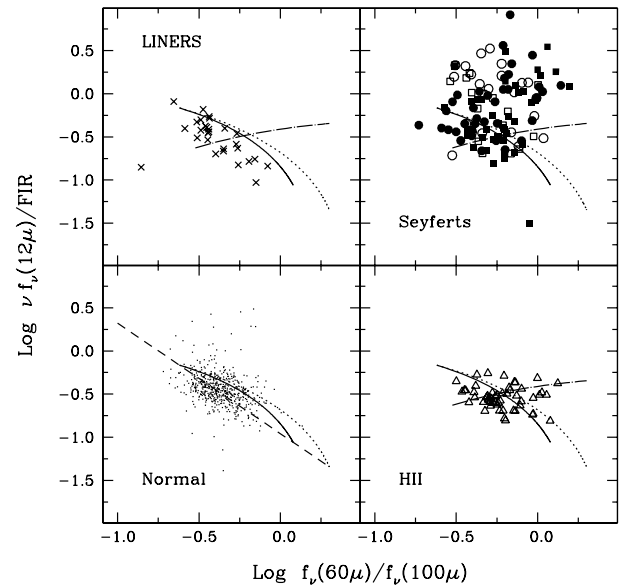


FIG. 7.—Plots of  $\Gamma \equiv \nu f_{\nu}(12 \mu\text{m})/\text{FIR}$  vs.  $f_{\nu}(60 \mu\text{m})/f_{\nu}(100 \mu\text{m})$ ; scale is logarithmic. In all the panels, the models described by HRS are shown as a solid line and dotted line; the dashed line in the normal panel corresponds to the linear fit for Galactic interstellar medium data given by HRS and is not reproduced in the other panels. The monotonically increasing dot-dashed line in the active panels (not shown in the normal panel) represents the trend that would be followed if the colors were dictated by the flux limit at  $12 \mu\text{m}$  (see HRS). The outlier (lower point) in the Seyfert panel is Arp 220.

normal  $12 \mu\text{m}$  galaxies turn out to be actively star forming, along with 50% of the H II/starbursts (by definition), 13% of the LINERS, 30% of the Seyfert 1 galaxies, and 56% of the Seyfert 2 galaxies. The occurrence of bars in the star-forming galaxies is significantly ( $4.8 \sigma$ ) higher than the quiescent category (86% vs. 63%) only for the normal galaxies; there is no equivalent trend for H II/starbursts, LINERS, or Seyfert galaxies.

Normal star-forming galaxies also have a higher percentage of outer rings than their quiescent counterparts (24% vs. 8%), with a significance of  $2.4 \sigma$ ; the same is true for Seyfert 1 galaxies (83% vs. 28%,  $3 \sigma$ ), but not for Seyfert 2 galaxies (40% vs. 33%). An opposing trend emerges for inner rings, with quiescent normal galaxies having a higher inner ring fraction (50%) than the star-forming ones (37%), an effect that is only marginally significant at  $2.1 \sigma$ . The same is true for Seyfert 2 galaxies (66% quiescent vs. 27% star forming, significance  $2.2 \sigma$ ), but not for Seyfert 1 galaxies. Evidently outer rings tend to prefer “actively star-forming” galaxies, while inner ones prefer quiescent ones.<sup>4</sup> Nevertheless, even the quiescent Seyferts have outer ring fractions of around 30%. We conclude then that the high outer ring fraction found in Seyfert galaxies is not an artifact of the  $12 \mu\text{m}$  selection criterion, which may favor more recent star formation.

#### 4.3. Mid-Infrared Flux Ratio

The HRS model for dust emission does not apply to the majority of Seyfert galaxies, mostly because of the excess at

<sup>4</sup> Eighty-seven percent of the LINER sample is quiescent and also has the highest inner ring fraction of any of the activity classes.



25  $\mu\text{m}$  that characterizes the objects in the upper right-hand corner of the Seyfert panel in Figure 7.<sup>5</sup> Indeed, the mid-infrared flux ratio  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  in the 12  $\mu\text{m}$  Seyfert galaxies correlates extremely well with  $\Gamma$ , but not with  $L_{\text{FIR}}/L_B$  or with  $L_{\text{FIR}}$ , unlike optically selected Seyferts where smaller  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  is associated with higher  $L_{\text{FIR}}$  (Hunt 1991). The large deviation from the dust model in Figure 7 must therefore arise from the mid-infrared excess observed in Seyfert galaxies (Miley, Neugebauer, & Soifer 1985; Edelson & Malkan 1986), attributed to an AGN, that is, either emission directly from the nucleus or from dust heated by it.

We have also examined the “warm” and “cold” fractions of the 12  $\mu\text{m}$  galaxies on the basis of the mid-infrared flux ratio  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$ . Following Soifer et al. (1989), we distinguish between “warm” and “cold” with  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m}) = 0.17$  and find 21% of the normal 12  $\mu\text{m}$  galaxies to be warm, as opposed to the 16% fraction in the 60  $\mu\text{m}$  selected BGS. This higher percentage is almost certainly due to the 12  $\mu\text{m}$  flux selection criterion because of the correlation between  $f_{\nu}(12\ \mu\text{m})$  and  $f_{\nu}(25\ \mu\text{m})$ . The warm fractions of H II/starbursts (18%) and LINERs (13%) are similar to the BGS, while the much greater fractions (almost 60%) of warm Seyfert galaxies arise from the mid-infrared excess cited above.

We have investigated the occurrence of rings and bars in warm and cold galaxies in the E12GS. It turns out that the ring fractions in H II/starbursts and LINERs are similar for warm and cold objects but the outer ring fraction in warm normal 12  $\mu\text{m}$  galaxies is greater (17%) than in cold ones (9%), a significant trend at 3.1  $\sigma$ . A similar conclusion holds for the outer rings in Seyferts: 54% (50%) of warm Seyfert 1s (Seyfert 2 galaxies) have outer rings, versus 33% (18%) of cold Seyfert galaxies, but only the difference in Seyfert 2 galaxies is—marginally—significant at 1.9  $\sigma$ . It therefore seems plausible to interpret the higher outer ring fractions in warm normal 12  $\mu\text{m}$  galaxies as due to unidentified Seyferts, seen also in the normal panel in Figure 7 as the vertically displaced outliers. There are no significant differences in the bar frequencies between warm and cold 12  $\mu\text{m}$  galaxies of any activity class. We conclude then that even though  $\frac{1}{5}$  of the E12GS is “warm,” as opposed to  $\frac{1}{6}$  of the 60  $\mu\text{m}$  selected BGS, the ring and bar fractions do not depend significantly on mid-infrared flux ratio, except perhaps as it relates to Seyfert activity.

#### 4.4. Star Formation Summary

The analysis of the infrared properties of the E12GS reveals the following:

1. The  $L_{\text{FIR}}/L_B$  ratios of galaxies in the E12GS are intermediate between optically selected and 60  $\mu\text{m}$  selected samples but tend to be higher in the H II/starbursts and in the Seyfert 2 galaxies.
2. While the high values of  $L_{\text{FIR}}/L_B$  and the general properties of the infrared emission in 12  $\mu\text{m}$  normal galaxies, LINERs, and H II/starbursts can be explained by the two-phase dust model proposed by HRS, those of Seyfert galaxies cannot.

3. Deviations from the dust model in Seyfert galaxies seems to be due to their excess emission at 25  $\mu\text{m}$ , thought to have origin in either dust heated by the AGN or in the AGN itself.

4. Bar fractions in the E12GS are significantly greater for greater far-infrared flux ratio  $f_{\nu}(60\ \mu\text{m})/f_{\nu}(100\ \mu\text{m})$  *only for the normal galaxies*; no class shows a dependence of bar fractions on  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$ .

5. Outer rings in normal galaxies and Seyfert galaxies tend to prefer higher  $f_{\nu}(60\ \mu\text{m})/f_{\nu}(100\ \mu\text{m})$  and  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$ ,<sup>6</sup> while for inner rings the reverse is true. Nevertheless, the high outer ring fractions in Seyfert galaxies and the high inner ring fraction in LINERs do not appear to be the result of a selection artifact.

These considerations lead us to conclude that the 12  $\mu\text{m}$  selection criterion does influence the star formation activity of the E12GS, but the effect is mild compared with that of 60  $\mu\text{m}$  selection. Not unexpectedly, the 12  $\mu\text{m}$  H II/starbursts display extreme values of the “star formation” indicators, but they are compatible with trends predicted by two-component dust models. The infrared properties of the 12  $\mu\text{m}$  Seyfert galaxies may be partly affected by recent star formation activity, at least in Seyfert 2s, but there is undeniably a strong contribution from an AGN, since  $\Gamma$  correlates very well with the mid-infrared flux ratio  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$ , known to be an indicator of Seyfert activity.

## 5. INTERPRETATION AND IMPLICATIONS

We have examined the morphological attributes and global star formation characteristics of the 891 galaxies in the E12GS. In what follows, we attempt to link together some of the results and provide a coherent picture of what they might imply for galaxies hosting AGNs and starbursts in particular and for spiral galaxies in general.

### 5.1. How Many AGNs Do We Miss from Extinction in the Galactic Disk?

If we simply assume that the *intrinsic* distribution of  $b/a$  in the Seyfert host galaxies must be uniform, like what we observe in the normal 12  $\mu\text{m}$  galaxies, we can estimate how many inclined Seyfert galaxies are missing from the 12  $\mu\text{m}$  sample. Even if *all of the Seyfert galaxies* within the flux limit were picked up by the E12GS, the identification of their Seyfert nuclei still rests on optical spectroscopy, which could be incomplete in the presence of large extinction in the body of the host galaxy. The  $b/a$  distributions of the 12  $\mu\text{m}$  Seyfert 1 and 2 galaxies can be made identical to that of the normal galaxies with the addition of five highly inclined ( $b/a < 0.4$ ) Seyfert 1s and five highly inclined Seyfert 2s. This corresponds to incompleteness of 12% and 9% for the Seyfert 1 and 2 galaxies, respectively. This may be, however, an overestimate, especially for type 1s with a median Hubble type of Sa, since the axial ratio distributions of the 12  $\mu\text{m}$  Seyfert galaxies are very similar to those of normal early-type spirals (Binney & de Vaucouleurs 1981).

<sup>5</sup> Large values of the  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  flux ratio were used initially to define potential Seyfert samples (de Grijs, Miley, & Lub 1987).

<sup>6</sup> The former is significant for Seyfert 1s while the latter for Seyfert 2s.



### 5.2. *Why Are Outer Rings but Not Bars Abnormally Frequent in Seyfert Nuclei?*

Previous researchers suggested that, since many reasonably sized galaxies already harbor massive black holes, perhaps from their early formation days, the key to making them Seyfert galaxies is a gas supply that can fuel their nucleus during the current epoch. Alternatively, black holes could be formed and maintained by normal stellar evolution in a massive compact nuclear star cluster (Norman & Scoville 1988). Either way, any dynamical mechanism that can redistribute angular momentum, and cause gas to spiral in very close to the center, should be strongly associated with central bursts of star formation and observed nuclear activity.

Bars, or nested bars, are thought to be such a mechanism. In fact they probably *do* function in this way, because they seem to be helpful in promoting a burst of star formation in the center of a “normal” galaxy, as indicated in § 3. However, it is not understood why bars do *not* promote Seyfert nuclear activity, even in any weak statistical sense.

One answer to this dilemma may lie in the large fraction of outer rings in Seyfert galaxies. Buta & Combes (1996) discuss the observational and theoretical properties of rings in spiral galaxies, and we mention here a few points germane to our discussion but not already mentioned previously. First, bars in galaxies require between 2 and  $5 \times 10^8$  yr to form (Combes & Elmegreen 1993); subsequently, formation of nuclear and inner rings requires roughly  $10^8$  yr, and outer rings about  $3 \times 10^9$  yr. Second, while outer rings (*R*) can be sustained for a Hubble time in the absence of tidal interactions, in dense environments they are relatively fragile and tend to be either completely destroyed or converted into pseudorings (*R'*). Third, inner or nuclear rings can have a lifetime as short as  $10^8$  yr, because of nuclear gas inflow and consequent star formation. Fourth, because of the long time necessary for the formation of an outer ring, true outer rings<sup>7</sup> would not be expected to be observed preferentially in strongly barred galaxies. This last point is true because strong bars are thought to be only a transient phase in the life of the galaxy, as they can be dissolved or converted into lenses or triaxial configurations by massive gas flow to the center. It follows that rings may supplant bars as signals of historical angular momentum transfer late in the life of the galaxy. Seyfert galaxies, with their high ring frequency, may have reached an advanced stage in their evolution, which would be characterized by “older” indicators of angular momentum transfer.

Such timescale considerations would also help explain the extremely high bar fraction in H II/starburst galaxies. If bars, associated with centralized starbursts, signal mass transfer *only early on in the galaxies' development*, then we could interpret the low outer ring fraction in H II galaxies as an indication of lack of time; outer rings have not yet had time to form in starbursts. On the other hand, 80% (of the 20%) *unbarred* H II fraction present inner rings; such structures would have had sufficient formation time if the time-scales delineating “early” and “late” (or young and old) are roughly  $10^8$  and  $10^9$  yr, respectively. An implication of this scenario is that the “trigger delay time” for Seyfert activity should be much longer than that for starbursts.

### 5.3. *Implications for AGN Unification*

The core idea of AGN unification schemes is that apparently different types of nuclear activity may in fact be intrinsically similar, if only we could observe them more fully. Two examples of AGN unification are the hypotheses that (1) the emission lines in “classical” LINERs are photoionized by a hard continuum, the same as in Seyfert 2 nuclei, except that the ionization parameter is lower because of the lower intensity of radiation reaching the emitting clouds; or (2) each narrow-line AGN (e.g., Seyfert 2) actually contains a broad-line region like those in Seyfert 1 galaxies, but something obscures it from our view.

One implication of the unification hypothesis is that the apparently different types of active nuclei should reside in statistically similar galactic environments. In other words, the host galaxy should not “make much difference” to the AGNs, if they are all fundamentally the same kind of objects. Thus, a (negative) test is proposed: are there any significant differences between the host galaxies of different classes of AGNs? As usual, the most difficult aspect of such tests is finding suitably unbiased samples, so that apparent differences are not artificially produced by selection effects.

This was a strong motivation for our morphological study of the host galaxies of the 12  $\mu$ m AGNs. In terms of bars, the 12  $\mu$ m AGNs pass the unification test, since we could not find any significant differences among AGN types. In contrast, the H II-region galaxies show a higher bar frequency. In this regard, then, LINER galaxies resemble more the Seyfert galaxies than the H II/starbursts.

In our relatively unbiased AGN sample, we found apparent host galaxy differences among different AGN types: (1) The LINERs appear to have an unusually high incidence of *inner* ( $\sim 10^8$  yr formation time) rings, in contrast to the Seyfert 1 galaxies, which have an unusually high incidence of *outer* ( $\sim 10^9$  yr formation time) rings. This complicates the simplest version of unification for LINERs and Seyfert galaxies. One possible embellishing solution, for example, might be to postulate that LINER and Seyfert nuclei are the same objects, but seen at different evolutionary stages, as revealed by the differences in the rings in their host galaxies (see above). (2) The Seyfert 2 galaxies appear to have later morphological types than Seyfert 1 galaxies. Again a possible complication could be that Seyfert 2 nuclei are dustier, creating more obscuration along more lines of sight (see discussion by MGT).

We could carry the proposed negative test further by formulating a counterhypothesis: Seyfert, LINER, and starburst activity in galaxies could be directly related to the evolutionary status of the galaxy, at least since its last major disturbance. Previous work (see § 1) suggests that non-axisymmetric perturbations, such as bars, induce rapid evolution of spiral disks through transfer of angular momentum; evolution in this sense is most likely from late to early spiral types (Pfenniger 1993; Martinet 1995). The presence of gas is fundamental in this process because of its dissipative properties and destabilizing influence.

It follows that Seyfert nuclei, hosted by predominantly early-type spirals, would represent a more evolved manifestation of activity (central gas deposit) than either LINERs or starbursts, which tend to be found in later Hubble types. Type 1 Seyfert galaxies would also be older, more evolved, than type 2 galaxies since they are found in slightly earlier morphological types. The high frequency of outer rings in

<sup>7</sup> As opposed to pseudo-outer rings, which appear to be younger.



Seyferts, inner rings in LINERs, and bars in H II/starbursts would also follow, since the different formation times and lifetimes of these structures would reflect differences in the evolutionary stage of the galaxies. If peculiar morphologies reflect recent (young) disturbances, likely since they occurred more frequently in the past (van den Bergh 1998 and references therein), then the peculiar fractions that decrease systematically going from H II/starbursts (45%), to LINERs (37%), Seyfert 2s (27%), and Seyfert 1s (21%) obey a similar trend in evolutionary status. The high H I mass fractions in starbursts that decrease going to Seyfert 2 and 1 galaxies, together with an opposing trend in disk surface brightness (Hunt et al. 1998) are also compatible with such a progression of activity and Hubble type. Finally, an evolutionary sequence from starbursts to Seyfert 2 galaxies to type 1s (e.g., Oliva et al. 1995) would be a possible consequence.

In the same vein, if Seyfert 2 nuclei were younger/less evolved than those in type 1s, and considering that type 2 nuclei tend to be weaker relative to the galaxy than type 1s (Yee 1983), we would deduce that the intensity of nuclear activity increases with age. If age goes hand in hand with morphological type, we might therefore expect to find trends with measures of nuclear activity. Figure 8, where the mid-infrared flux ratio  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  is plotted against the Hubble type index, may show such a trend. As discussed in § 4.3,  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  is a good indicator of Seyfert activity, and the figure shows a correlation between it and Hubble type T; the correlation is significant at greater than 99.9% (two-tailed). As the mid-infrared flux ratio becomes larger, the morphological types become earlier, and the nuclei older and more intense, if time increases to the left as it would be our hypothesis correct. If  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  measures black hole mass, presumably related to nuclear intensity, such a trend would also be consistent with the correlation between black hole mass and total bulge luminosity (Kormendy & Richstone 1995), since early-type spirals tend to have more luminous bulges than late types.

While our findings are inconsistent with some of the consequences of the unification scheme for AGNs, and perhaps more consistent with other hypotheses, our study suffers from limitations. We have used qualitative data from the literature, and some of the results are of necessity plagued by small number statistics. High-quality multiwaveband image data are needed to systematically quantify the occurrence of bars, rings, and lenses and to better evaluate their influence on the galaxy as a whole. Indeed, lenses may

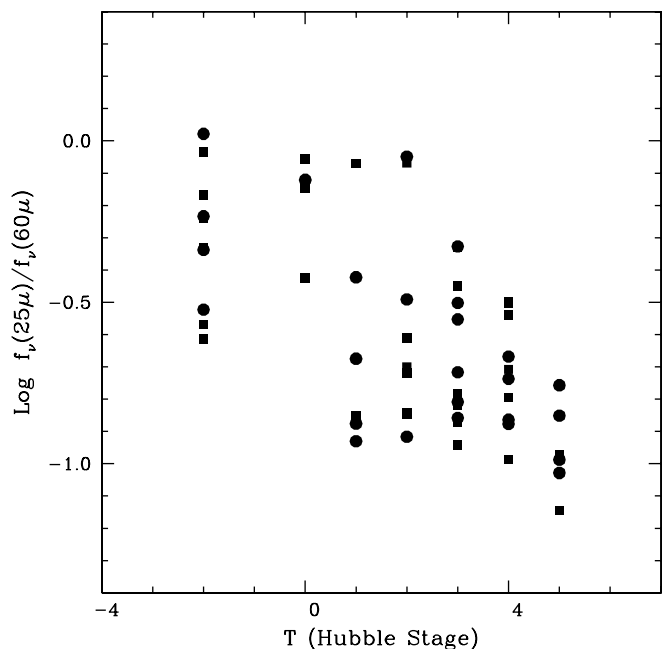


FIG. 8.—Plots of mid-infrared flux ratio  $f_{\nu}(25\ \mu\text{m})/f_{\nu}(60\ \mu\text{m})$  vs. Hubble type index T for the 12  $\mu\text{m}$  Seyfert galaxies. Seyfert 1s are marked with filled circles, and type 2s with filled squares. The parametric correlation coefficient for the regression is  $-0.62$  for 28 data points, corresponding to a Student- $t$  statistic of 4.06.

follow from bar dissolution (Combes 1996), but the lack of consistent literature data in this regard precluded analysis. A subsequent paper will report on an optical and near-infrared image atlas of the 12  $\mu\text{m}$  Seyfert galaxies with the aim of further investigating the connection between nuclear activity and galaxy morphology.

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